

STATE OF OREGON



2010

ANNUAL VERIFIED
STATEMENT OF ECONOMIC INTEREST

[INFORMATION FOR THE CALENDAR YEAR 2009]

RECEIVED
APR 15 2010
OREGON GOVERNMENT
ETHICS COMMISSION

PLEASE READ CAREFULLY:

- The Oregon Government Ethics Commission (OGE) has been informed that you are a public official who is required by ORS 244.050 to file a Statement of Economic Interest (SEI) form. The governing body you serve has provided us with your name, position and mailing address. If any of our information is incorrect, please notify your governing body as soon as possible, and also make the correction on the SEI form before you return it so we may update our records.
- You must file if you will hold your position on April 15, 2010. The information you report must reflect the economic interests you held at **any time during the calendar year January 1, 2009 through December 31, 2009**. This applies even if you did not hold your position during the calendar year 2009.
- Do not leave any section blank. Indicate "N/A" if the requested information does not apply to you. You may attach additional sheets if necessary to provide complete information. Please see instructions on page 2 for additional information.
- Enter your name in the space provided at the top of each page.
- Sign, date and provide your daytime telephone number in the spaces provided at the bottom of page 6.
- **Please make a copy of the completed form and retain it for your own records.**

NOTE: Failure to complete and file this form by the final date for filing may subject you to an automatic civil penalty of \$10.00 for each of the first 14 days the statement is late and \$50.00 for each day thereafter, up to a maximum of \$5,000 [ORS 244.350(4)(c)]. Additional sanctions may also apply [ORS 244.380].

DAN SALTZMAN
PORTLAND COMMISSIONER
230
1221 SW 4TH AVE
PORTLAND OR 97204-1900

Annual Verified Statement of Economic Interest
Filing Instructions

- ORS 244.050 specifically identifies certain public officials who are required to complete the SEI form. Your position is one of those listed. **If you do not believe that you are required to file a SEI or if you have other questions, please call the OGEC at (503) 378-5105 as soon as possible.**
- If you hold more than one position that is required to file, you may receive multiple forms. You need only return one form. We will apply the filing date of that one form to each position in our database that is associated with your name. It helps us if you indicate on the front page of the form what additional position(s) you hold.
- The most common errors officials make when filing their form are:
 - (a) Forgetting to sign and date the form on the last page.
 - (b) Failing to list all sources of household income for question 2. All sources of income exceeding 10% of the total annual household income must be listed. *(The question does not relate only to the public position you hold.)* Do not overlook the fact that a pension or social security benefit represents part of the household income. Please refer to the definition of income on page 3.
 - (c) Completing items 7 to 10 when not necessary. Please carefully read the instructions in the box on page 5. The questions need to be answered only if the conditions described in the instructions apply to your responses.
- **Please do not fail to respond to this notification!** ORS 244.350(4)(c) prescribes assessment of a penalty of \$10 for each of the first 14 days the statement is late and \$50 for each day thereafter that passes after the filing deadline date, up to a maximum of \$5000.
- Please return this form to the Oregon Government Ethics Commission as soon as possible. **It must be postmarked or received no later than Thursday, April 15, 2010.** Please contact the OGEC at 503-378-5105 if you have questions
- Return your form as soon as possible:

By mail to be postmarked on or before April 15 to: Oregon Government Ethics Commission
3218 Pringle Rd. SE, Suite 220
Salem, OR 97302-1544

By Fax to 503-373-1456, or
By scanning and emailing to ogec.mail@state.or.us

Please remember to keep a copy for your records

STATUTORY REFERENCES

Item 4-A, ORS 244.020(6)(b)(F) – Reasonable expenses paid by any unit of the federal government, a state or local government, a Native American tribe that is recognized by federal law or formally acknowledged by a state, a membership organization to which a public body as defined in ORS 174.109 pays membership dues or a not-for-profit corporation that is tax exempt under section 501(c)(3) of the Internal Revenue Code, for attendance at a convention, fact-finding mission or trip, conference or other meeting if the public official is scheduled to deliver a speech, make a presentation, participate on a panel or represent state government as defined in ORS 174.111, a local government as defined in ORS 174.116 or a special government body as defined in ORS 174.117.

Item 4-B, ORS 244.020(6)(b)(H) – Reasonable food, travel or lodging expenses provided to a public official, a relative of the public official accompanying the public official, a member of the household of the public official accompanying the public official or a staff member of the public official accompanying the public official, when the public official is representing state government as defined in ORS 174.111, a local government as defined in ORS 174.116 or a special government body as defined in ORS 174.117.

- (i) On an officially sanctioned trade-promotion or fact-finding mission; or
- (ii) In officially designated negotiations, or economic development activities, where receipt of the expenses is approved in advance.

Name SALTZMAN, DANIEL
Last First

5. **HONORARIA:** List all honoraria (see definition on page 3) allowed in ORS 244.042, with a value exceeding \$15, received by you or a member of your household during **2009**.

<u>Date</u>	<u>Organization Name</u>	<u>Nature of Event</u>	<u>Amount</u>
1. N/A			
2.			

6. **SHARED BUSINESS WITH LOBBYIST:** List the name of any compensated lobbyist who was associated with a business with which you or a member of your household was also associated during **2009**. (Example: The public official or household member is an employee or owner of a private company that also employs a lobbyist. Owning stock in a publicly traded company in which the lobbyist also owns stock is not a relationship that requires disclosure.)

<u>Name of Lobbyist</u>	<u>Name of Business</u>	<u>Type of Business</u>
1. N/A		
2.		

PLEASE NOTE – Do NOT answer items 7, 8, 9 and 10 unless the source of the interest is derived from an individual or business that has a legislative or administrative interest or that has been doing business, does business or could reasonably be expected to do business with the governmental agency of which you hold an official position or over which you exercise any authority.

"Legislative or administrative interest" means an economic interest, distinct from that of the general public in any matter subject to the decision or vote of the public official acting in the public official's capacity as a public official.

(Please refer to the instructions in the box above.)

7. **INCOME OF \$1,000 OR MORE:** Respond only if you or a member of your household received a source of income exceeding an aggregate amount of \$1,000 during **2009**, and that income was derived from an individual or business that has been doing business, does business or could reasonably be expected to do business with, or has a legislative or administrative interest in the governmental body you serve.

<u>Income Source</u>	<u>Address</u>	<u>Description</u>
1. N/A		
2.		

(Please refer to the instructions in the box above.)

8. **DEBT OF \$1,000 OR MORE:** Respond only if you or a member of your household owed a debt of \$1,000 or more to a person (see definition of "person" on page 3) during **2009**, and that debt involved an individual or business that did business with, or reasonably could be expected to do business with, or had a legislative or administrative interest in the public body you serve. (Note: Do not list loans from state or federally regulated financial institutions (banks, etc.) or retail credit accounts and do not list the amounts owed.)

<u>Name of Creditor</u>	<u>Date of Loan</u>	<u>Interest Rate of Loan</u>
1. N/A		
2.		

Name SALTZMAN, DANIEL
 Last First

2. **SOURCES OF INCOME:** Identify the sources of income (See definition of "income" on page 3) received by you or a member of your household, who is 18 years of age or over, during the **2009** calendar year that produced **10% or more of the total annual household** income. (Your business would be a source, not the individual clients of your business.)

<u>Name of Source</u>	<u>Address of Source</u>	<u>Description of Source</u>
1. See Attached Schedule		
2.		
3.		

3. **REAL PROPERTY:** List all real property (residential, commercial, vacant land, etc.) in which, during **2009**, you or a member of your household had any ownership interest, any option to purchase or sell, or any other right of any kind in real property, including a land sales contract, **located within the geographical boundaries of the public entity you serve.** (Boundaries for legislators, or filers from state agencies, boards, commissions or institutions would be the state borders. Boundaries for local filers would be the limits of the city, county or district you serve.) **Do not list your principal residence.**

<u>Description</u>	<u>Address</u>
1. See Attached Schedule	
2.	
3.	

4. **OFFICE RELATED EVENTS:**

A. List the amount of any expenses with an aggregate value exceeding \$50 provided to you during **2009** when participating in a convention, mission, trip or other meeting as described in ORS 244.020(6)(b)(F), (see reference on page 2), which is an exception to gift restrictions. (Do not list expenses that were paid by the public body you represent.)

<u>Date</u>	<u>Organization Name</u>	<u>Address</u>	<u>Nature of Event</u>	<u>Amount</u>
1. N/A				
2.				
3.				

B. List the amount of any expenses with an aggregate value exceeding \$50 provided to you during **2009** when participating in a mission, negotiations, or economic development activities described in ORS 244.020(6)(b)(H), (See reference on page 2), which is an exception to the gift restrictions. (These events are those that were officially sanctioned or designated by your public body. Do not list expenses that were paid by the public body you represent.)

<u>Date</u>	<u>Organization Name</u>	<u>Address</u>	<u>Nature of Event</u>	<u>Amount</u>
1. N/A				
2.				
3.				

Name SALTZMAN, DANIEL
Last First

DEFINITIONS

"Business" means any corporation, partnership, proprietorship, firm, enterprise, franchise, association, organization, self-employed individual and any other legal entity operated for economic gain. This does not include income-producing not-for-profit corporations that are tax-exempt under section 501(c) of the Internal Revenue Code with which a public official or relative of a public official is associated in a non-compensated capacity. [ORS 244.020(2)]

"Income" means income of any nature derived from any source, including but not limited to any salary, wage, advance, payment, dividend, interest, rent, honorarium, return of capital, forgiveness of indebtedness, retirement income, real estate transactions, inheritance income, or anything of economic value received as income including income from government sources (i.e., social security, your public salary, etc.). [ORS 244.020(8)]

"Honorarium" means a payment or something of economic value given to a public official in exchange for services upon which custom or propriety prevents the setting of a price. Services include, but are not limited to, speeches or other services rendered in connection with an event. [ORS 244.020(7)]

"Relative" means:

- the spouse of the public official;
- any children of the public official and of the public official's spouse;
- any siblings and spouses of siblings of the public official and of the public official's spouse;
- parents of the public official and of the public official's spouse;
- any individual for whom the public official has a legal support obligation;
- any individual for whom the public official provides benefits arising from the public official's public employment or from whom the public official receives benefits arising from that individual's employment. [ORS 244.020(15)]

"Person" means, for purposes of this form, (a) the public official required to file a Statement of Economic Interest and (b) an individual, corporation, partnership, joint venture, and any other similar organization or association.

"Member of Household" means any person who resides with the public official. [ORS 244.020(10)]

1. BUSINESS OFFICE OR DIRECTORSHIP; BUSINESS NAME:

A. If you or a member of your household were an officer or director of a business (see definition of "business" above) during **2009**, please indicate that information below. (These would be personal business ventures, not the public position you hold. Items A and B may be the same and Item B may be subsidiary of parent company listed in Item A for example.)

Business Name	Business Address	Description of Business	Title of Office	Held By Whom
1. N/A				
2.				

B. List the names under which you or members of your household did business (see definition of "business" above) during **2009**:

Business Name	Business Address	Description of Business	Held By Whom
1. N/A			
2.			

Name SALTZMAN, DANIEL
Last First

(Please refer to instructions in the box on page 5.)

9. **BUSINESS INVESTMENT OF MORE THAN \$1,000:** Respond only if you or a member of your household had a personal, beneficial interest or investment in a business (see definition of "business" on page 3) of more than \$1,000 during 2009, if the investment involved an individual or business that did business with, or reasonably could be expected to do business with, or had a legislative or administrative interest in the public body you serve. (Note: Do not list the amount of the investment. Do not list individual items in a mutual fund or blind trust, or a time or demand deposit in a financial institution, shares in a credit union, or the cash surrender value of life insurance.)

<u>Business Name</u>	<u>Address</u>	<u>Description of Business</u>
1. N/A		
2.		

(Please refer to instructions in the box on page 5.)

10. **SERVICE FEE OF MORE THAN \$1,000:** Respond only if you (not your business) received a fee of more than \$1,000 in 2009 from a person (see definition of "person" on page 3) for whom you performed a service, if the service involved an individual or business that did business with, or reasonably could be expected to do business with, or had a legislative or administrative interest in the public body you serve. (Do not list fees if you are prohibited from doing so by law or a professional code of ethics.)

<u>Name</u>
1. N/A
2.

11. **VERIFICATION:** Under penalties for false swearing/false affirmation, I declare that the information submitted in this document is, to the best of my knowledge and belief, true, accurate and complete.

x Dan Saltzman x 4/14/10
 (Signature) (Date)

x 503-823-4151
 (Daytime Telephone Number)

Return your form as soon as possible:

By mail to be postmarked on or before April 15 to: Oregon Government Ethics Commission
3218 Pringle Rd. SE, Suite 220
Salem, OR 97302-1544

By Fax to 503-373-1456, or
By scanning and emailing to ogec.mail@state.or.us

Please remember to keep a copy for your records.

DANIEL R. SALTZMAN
 SCHEDULE OF ECONOMIC INTEREST, ITEM 2
 2009 SOURCES OF INCOME

NAME OF SOURCE	ADDRESS OF SOURCE	DESCRIPTION OF SOURCE	HOUSEHOLD MEMBER WHO RECEIVED
1. CITY OF PORTLAND	1120 SW 5TH AVENUE PORTLAND, OR 97204	\$100,412 WAGES	DANIEL R. SALTZMAN
2. BOECKMAN ROAD LLC	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201	\$86,250 PARTNER DISTRIBUTION	DANIEL R. SALTZMAN
3. ORCHARDS CENTER, LLC	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201	\$106,000 PARTNER DISTRIBUTION	DANIEL R. SALTZMAN
4. FOXBOROUGH, LLC	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201	\$148,250 PARTNER DISTRIBUTION	DANIEL R. SALTZMAN
5. GALLERY PARK, LLC	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201	\$98,125 PARTNER DISTRIBUTION	DANIEL R. SALTZMAN
6. SALISH LAKE, LLC	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201	\$134,000 PARTNER DISTRIBUTION	DANIEL R. SALTZMAN
7. ALLIANCEBERNSTEIN HOLDING L.P.	1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105	\$21 PARTNER DISTRIBUTION	DANIEL R. SALTZMAN
8. BRIDGEPORT COMMONS LLC	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201	\$80,000 PARTNER DISTRIBUTION	DANIEL R. SALTZMAN
9. WESTLAKE MEADOWS LLC	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201	\$67,326 PARTNER DISTRIBUTION	DANIEL R. SALTZMAN
10. HASTINGS RANCH LLC	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201	\$82,000 SHAREHOLDER DISTRIBUTION	DANIEL R. SALTZMAN

DANIEL R. SALTZMAN
 SCHEDULE OF ECONOMIC INTEREST, ITEM 5
 REAL PROPERTY

DESCRIPTION	ADDRESS
1. 12.5% PARTNERSHIP INTEREST IN GALLERY PARK, LLC 00-0045319, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
2. 33.334% PARTNERSHIP INTEREST IN FIVE OAKS DRIVE, LLC DBA ASH CREEK, 93-1189675, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
3. 33.334% PARTNERSHIP INTEREST IN LINCOLN STREET, LLC 91-1653425, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
4. 22.5% PARTNERSHIP INTEREST IN SALTZMAN PROPERTIES, LLC, 93-1125689, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
5. 20% PARTNERSHIP INTEREST IN ORCHARDS CENTER, LLC 93-1285067, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
6. 25% PARTNERSHIP INTEREST IN FOXBOROUGH, LLC 93-1285086, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
7. 25% PARTNERSHIP INTEREST IN BOECKMAN ROAD LLC, 93-1186168, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
8. 20% PARTNERSHIP INTEREST IN SALISH LAKE, LLC 04-3715888, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
9. 20% PARTNERSHIP INTEREST IN HASTINGS RANCH, LLC 65-1161718, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
10. 20% PARTNERSHIP INTEREST IN WESTLAKE MEADOWS, LLC, 56-2304797, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
11. 33.334% SHAREHOLDER INTEREST IN OREGON PACIFIC CAPITAL MANAGEMENT CORP, 93-1153623, REAL ESTATE	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
12. 20% PARTNERSHIP INTEREST IN BRIDGEPORT COMMONS LLC, 05-0914884, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
13. 20% PARTNERSHIP INTEREST IN WESTFAL LLC, 74-3207218, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
14. 20% PARTNERSHIP INTEREST IN GOOSEHOLLOW TOWNHOMES LLC, 93-0493834, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
15. 20% PARTNERSHIP INTEREST IN 12TH & PEARL LLC, 06-1803339, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
16. 20% PARTNERSHIP INTEREST IN OP PEARL LLC, 80-0182025, REAL ESTATE INVESTMENT COMPANY	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201
17. 3.944897% SHAREHOLDER INTEREST IN OREGON PACIFIC INVESTMENT & DEVELOPMENT, 93-0502904, REAL ESTATE INVESTMENT CORPORATION	1800 SW FIRST AVENUE, STE 600 PORTLAND, OR 97201

THIS CORPORATION IS A PARTNER IN THE FOLLOWING
 REAL ESTATE PARTNERSHIPS:
 FIRST HARRISON COMPANY 93-6056177
 PARKSIDE PLAZA 93-0751243
 SALTZMAN PROPERTIES 93-1125689
 VLF, LLC 93-1845824
 PARKSIDE CENTER 93-0813510

