



EML Schema Descriptions

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Abstract:

This document contains the descriptions of the schemas used in EML v4.0. This document provides an explanation of the core schemas used throughout, definitions of the simple and complex datatypes, plus the EML schemas themselves. It also covers the conventions used in the specification and the use of namespaces, as well as the guidance on the constraints, extendibility, and splitting of messages.

Status:

This document is an OASIS Standard.

It is updated periodically on no particular schedule. Committee members should send comments on this specification to the election@lists.oasis-open.org list. Others should subscribe to and send comments to the election-services-comment@lists.oasis-open.org. To subscribe, send an email message to election-comment-request@lists.oasis-open.org with the word "subscribe" as the body of the message.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Election and Voter Services TC web page (<http://www.oasis-open.org/committees/election/>).

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200 1 Introduction

201 This document describes the OASIS Election Mark-up Language (EML) version 4.0 schemas.
202 The messages that form part of EML are intended for transfer between systems. It is not intended
203 that all outputs of a registration or election system will have a corresponding schema.
204 This document and its accompanying set of schemas do not claim to satisfy the final
205 requirements of a registration or election system. It is incumbent on the users of this document to
206 identify any mistakes, inconsistencies or missing data and to propose corrections to the OASIS
207 Election and Voter Services Technical Committee.

208 1.1 Background

209 The following is the Executive Summary of the 'EML Process & Data Requirements':

OASIS, the XML interoperability consortium, formed the Election and Voter Services Technical Committee in the spring of 2001 to develop standards for election and voter services information using XML. The committee's mission statement is, in part, to:

"Develop a standard for the structured interchange among hardware, software, and service providers who engage in any aspect of providing election or voter services to public or private organizations...."

The objective is to introduce a uniform and reliable way to allow election systems to interact with each other. The overall effort attempts to address the challenges of developing a standard that is:

- Multinational: our aim is to have these standards adopted globally
- Flexible: effective across the different voting regimes. E.g. proportional representation or 'first past the post'.
- Multilingual: flexible enough to accommodate the various languages and dialects and vocabularies.
- Adaptable: resilient enough to support elections in both the private and public sectors.
- Secure: able to secure the relevant data and interfaces from any attempt at corruption, as appropriate to the different requirements of varying election rules.

The primary deliverable of the committee the Election Mark-up Language (EML). This is a set of data and message definitions described as XML schemas. At present EML includes specifications for:

- Candidate Nomination, Response to Nomination and Approved Candidate Lists
- Voter Registration information, including eligible voter lists
- Various communications between voters and election officials, such polling information, election notices, etc.
- Logical Ballot information (races, contests, candidates, etc.)
- Voter Authentication
- Vote Casting and Vote Confirmation
- Election counts and results

- Audit information pertinent to some of the other defined data and interfaces

210 As an international specification, EML is generic in nature, and so needs to be tailored for specific
211 scenarios. Some aspects of the language are indicated in EML as required for all scenarios and
212 so can be used unchanged. Some aspects (such as the ability to identify a voter easily from their
213 vote) are required in some scenarios but prohibited in others, so EML defines them as optional.
214 Where they are prohibited, their use must be changed from an optional to prohibited
215 classification, and where they are mandatory, their use must be changed from an optional to
216 required classification.

217 1.2 Viewing Schemas

218 EML schemas are supplied as text documents. For viewing the structure of the schemas, we
219 recommend use of one of the many schema development tools available. Many of these provide
220 graphical displays.

221 The Schematron schemas are mainly short and simple to understand as text documents for those
222 with a working knowledge of XPath [4].

223 1.3 Schema Diagrams in this Document

224 The schema diagrams in this document were created using XML Spy 2004. The following is a
225 guide to their interpretation.

226 In this section, terms with specific meanings in XML or XML Schema are shown in italics, e.g.
227 *sequence*.

228 Note that the diagrams in this document do not use the default diagramming options of XML Spy,
229 but have additional information. The additional information to be shown can be set using the
230 menu selections Schema Design | View Config.

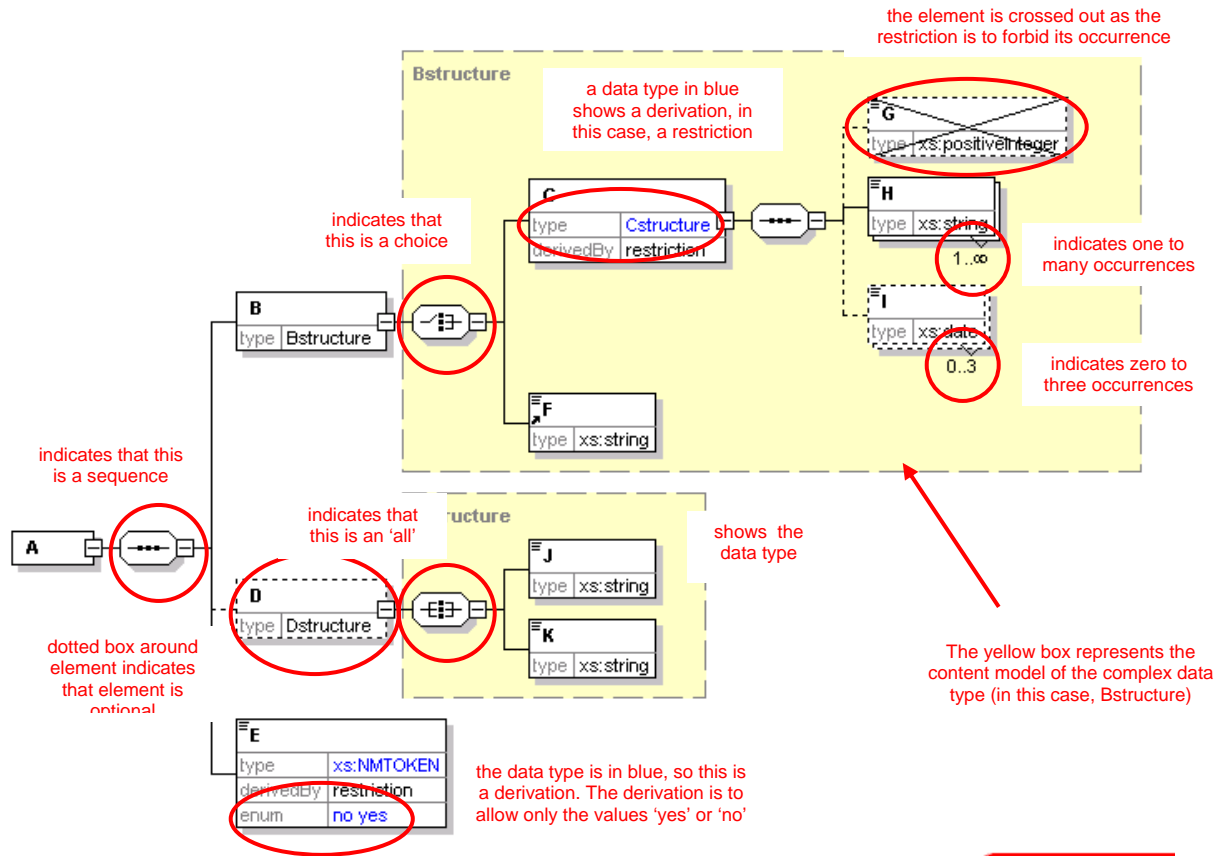
231 In this section, and throughout this document, the prefix "xs" denotes the XML schema
232 namespace <http://www.w3.org/2001/XMLSchema>.

233 The diagram below represents a simple schema. The *root element* of an *instance* described by
234 this schema is the *element* A. The *content model* of this element is a *sequence* of the elements B,
235 D and E. The *element* B is of *complex data type* Bstructure. This contains a *choice* of either
236 *element* C or *element* F. *Element* C is a *restriction* of another *complex data type* Cstructure. In
237 this case, the restriction is to forbid the use of the *element* G (which is defined in Cstructure as
238 optional). The other *elements* allowed are H, which can appear any number of times (but must
239 appear at least once), and I, which can appear up to three times (or not at all). *Element* D is
240 optional, and of *data type* Dstructure. This has a *content model* requiring *all of elements* J and
241 K, which are both of *type* xs:string. Finally, *element* E is of *simple data type* Etype, which is
242 *restricted* from the xs:NMTOKEN *data type* by only allowing the values 'yes' and 'no'.

243 It is important to remember that these diagrams do not include any *attributes*. In this document,
244 these are shown in tables below the diagrams.

245 The full schema is shown below the diagram.

246



247

Generated with XMLSpy Schema Editor www.xmlspy.com

248

```

249 <?xml version="1.0" encoding="UTF-8"?>
250 <!-- edited with XMLSPY v2004 rel. 2 U (http://www.xmlspy.com) by Paul
251 Spencer (Boynings Consulting) -->
252 <xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
253 elementFormDefault="qualified" attributeFormDefault="unqualified">
254   <xs:element name="A">
255     <xs:complexType>
256       <xs:sequence>
257         <xs:element name="B" type="Bstructure"/>
258         <xs:element name="D" type="Dstructure" minOccurs="0"/>
259         <xs:element name="E">
260           <xs:simpleType>
261             <xs:restriction base="xs:NMTOKEN">
262               <xs:enumeration value="no"/>
263               <xs:enumeration value="yes"/>
264             </xs:restriction>
265           </xs:simpleType>
266         </xs:element>
267       </xs:sequence>
268     </xs:complexType>
269   </xs:element>
270   <xs:complexType name="Bstructure">
271     <xs:choice>
272       <xs:element name="C">
273         <xs:complexType>

```



```

274     <xs:complexContent>
275         <xs:restriction base="Cstructure">
276             <xs:sequence>
277                 <xs:element name="G" type="xs:positiveInteger"
278 minOccurs="0" maxOccurs="0"/>
279                 <xs:element name="H" type="xs:string"
280 maxOccurs="unbounded"/>
281                 <xs:element name="I" type="xs:date" minOccurs="0"
282 maxOccurs="3"/>
283             </xs:sequence>
284         </xs:restriction>
285     </xs:complexContent>
286 </xs:complexType>
287 </xs:element>
288 <xs:element ref="F"/>
289 </xs:choice>
290 </xs:complexType>
291 <xs:complexType name="Cstructure">
292     <xs:sequence>
293         <xs:element name="G" type="xs:positiveInteger" minOccurs="0"/>
294         <xs:element name="H" type="xs:string" maxOccurs="unbounded"/>
295         <xs:element name="I" type="xs:date" minOccurs="0" maxOccurs="3"/>
296     </xs:sequence>
297 </xs:complexType>
298 <xs:complexType name="Dstructure">
299     <xs:all>
300         <xs:element name="J" type="xs:string"/>
301         <xs:element name="K" type="xs:string"/>
302     </xs:all>
303 </xs:complexType>
304 <xs:element name="F" type="xs:string"/>
305 </xs:schema>

```

306 1.4 EML Message Validation

307 It is up to each specific system implementation whether it uses these schemas for validation of
308 EML messages for either testing or live use. The recommended approach is to validate incoming
309 messages against the EML schemas (with the application-specific EML externals schema), then
310 further validate against the relevant Schematron schema. The first stage requires the use of an
311 XML processor (parser) that conforms to W3C XML Schema. The second stage requires either
312 an XSLT processor or a dedicated Schematron processor.

313 However, an implementation may choose to:

- 314 • modify the EML schemas to incorporate those application-specific constraints that can be
315 represented in W3C XML Schema;
- 316 • not validate the rules that are encoded as Schematron schemas;
- 317 • not perform any validation; or
- 318 • develop some alternative validation.

319 1.5 Namespaces

320 The message schemas and the core schema are associated with the namespace
321 urn:oasis:names:tc:evs:schema:eml. This is defined using the prefix eml. The XML
322 Schema namespace <http://www.w3c.org/2001/XMLSchema> is identified by the prefix xs and
323 the XML Schema Instance namespace <http://www.w3.org/2001/XMLSchema-instance> by
324 the prefix xsi.

325 Use is also made of namespaces for the Extensible Name and Address Language (xNAL). The
326 Extensible Name Language namespace `urn:oasis:tc:ciq:xsdschema:xNL:2.0` is
327 identified by the prefix `xnl`, and the Extensible Language namespace
328 `urn:oasis:names:tc:ciq:xsdschema:xAL:2.0` by the prefix `xal`.

329 **1.6 Extensibility**

330 Various elements allow extensibility through the use of the `xs:any` element. This is used both for
331 display information (for example, allowing the sending of HTML in a message) and for local
332 extensibility. Note that careless use of this extensibility mechanism could reduce interoperability.

333 **1.7 Additional Constraints**

334 The EML schemas provide a set of constraints common to most types of elections worldwide.
335 Each specific election type will require additional constraints, for example, to enforce the use of a
336 seal or to ensure that a cast vote is anonymous. It is recommended that these additional
337 constraints be expressed using the Schematron language. This allows additional constraints to be
338 described without altering or interacting with the EML schemas. Any document that is valid to a
339 localization expressed in Schematron must also be a valid EML document.

340 **1.8 Conventions**

341 Within this specification, the following conventions are used throughout:

342 Diagrams are shown as generated by XML Spy 2004 which was also used to generate the
343 schemas and samples. These diagrams show element content, but not attributes

344 Elements and attributes in schemas are identified by partial XPath expressions. Enough of a path
345 is used to identify the item without putting in a full path.

2 Processing using Schematron

346

347 This section gives a short introduction to how validation can be achieved using Schematron
348 schemas and an XSLT processor. Alternatively, direct validation using the Schematron schemas
349 can be achieved using a dedicated Schematron processor.

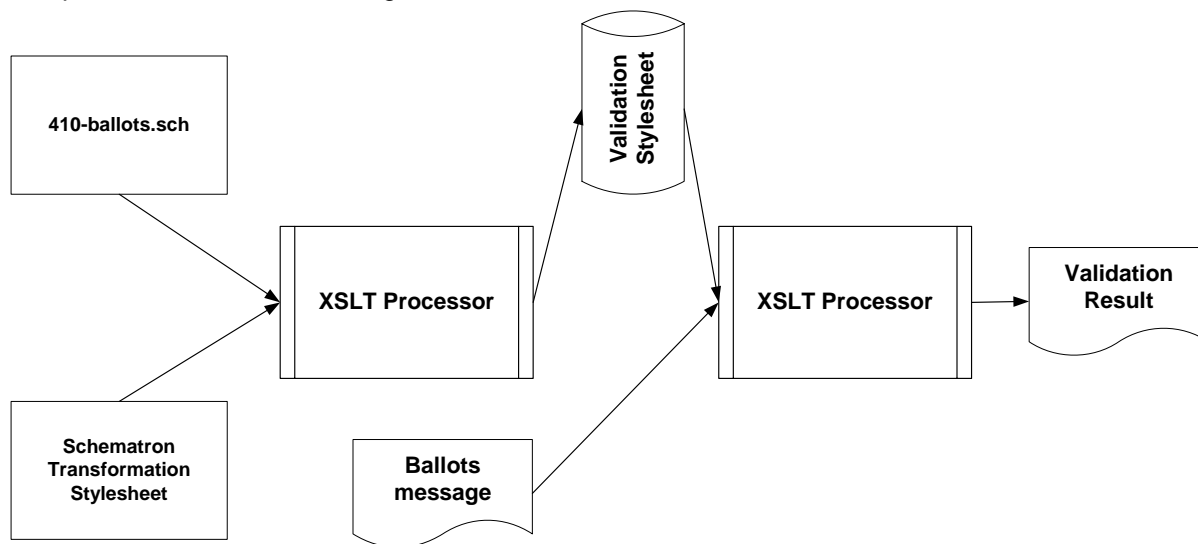
2.1 Validation using the Schematron Schemas

350

351 A Schematron schema is an XML document that can be converted to XSLT using an XSLT
352 stylesheet. There is a published stylesheet (skeleton1-5.xslt) that can be used to achieve this.
353 This produces an HTML output from the validation. A separate stylesheet can be produced that
354 will create an output to the specification below. This stylesheet can import the skeleton and just
355 over-ride those aspects where changes are required.

356 This stylesheet can be used once on each Schematron schema to produce the XSLT file that will
357 be used for validating a specific message type. This stylesheet is then used to transform the
358 incoming EML message into an error report based on the additional constraints.

359 The process is shown in the diagram below.



360
361

362

3 Splitting of Messages

363 There is sometimes a need to split long messages into several parts. By their nature, each of
364 these messages will contain a small amount of background information and a single element type
365 that is repeated many times. For example, the 330-electionlist message can have many
366 `VoterDetails` elements.

367 When a message is split, each part must be a complete, valid message. This will contain all the
368 background information with a number of the repeated element types. Information in the EML
369 element indicates the sequence number of the message and the number of messages in the
370 sequence. Each message in the sequence must contain the same `TransactionId`, and must
371 indicate the repeated element according to the table below. Only the messages shown in the
372 table may be split in this way.

Message	Repeated Element
330-electionlist	VoterDetails
340-pollinginformation	Polling
410-ballots	Ballot
460-votes	CastVote
470-vtokenlog	VTokens
480-auditlog	LoggedSeal

373 For ease of implementation, a message that can be split may contain the elements used for
374 splitting even if the entire message is sent in one piece. In this case, the values of
375 `SequenceNumber` and `NumberInSequence` will both be "1".

376 4 Error Messages

377 The 130 schema is used to define a message for reporting errors in EML messages.

378 Error messages are given codes. These fall into one of five series:

1000	XML well-formedness or Schema validation error
2000	Seal error
3000	EML rule error
4000	Localization rule error
5000	System specific error

379 If the error type is not message-specific (or is a general rule applying to several schemas), the
380 series reference above is used. If it is message-specific, the last three digits of the error series
381 (and possibly a final alpha character) reflect the message type. A three digit error code is
382 appended to the series code, separated by a hyphen.

383 An error code relating to a localization applicable to all message types could therefore be 4000-
384 001. One specific to the localization of schema 110 could be 4110-002.

385 4.1 All Schemas

386 4.1.1 XML well-formedness or Schema validation error

Error code	Error Description
1000-001	Message is not well-formed
1000-002	Message is not valid

387 4.1.2 Seal Errors

Error code	Error Description
2000-001	The Seal does not match the data

388 4.1.3 EML Additional Rules

389 The following rules apply to messages regardless of localization. One of the two rules on splitting
390 will apply to each message type as described in the table below.

Error Code	Error Description
3000-001	If there are processing units in the <code>AuditInformation</code> , one must have the role of sender
3000-002	If there are processing units in the <code>AuditInformation</code> , one must have the role of receiver

3000-003	This message must not contain the elements used for splitting
3000-004	The value of the <code>Id</code> attribute of the <code>EML</code> element is incorrect
3000-005	The message type must match the <code>Id</code> attribute of the <code>EML</code> element
3000-006	All messages that are split must include the correct sequenced element name.

391

	3000-003	3000-006
110	✓	
120	✓	
130	✓	
210	✓	
220	✓	
230	✓	
310	✓	
330		✓
340		✓
350a	✓	
350b	✓	
350c	✓	
360a	✓	
360b	✓	
410		✓
420	✓	
430	✓	
440	✓	
445	✓	
450		✓
460		✓
470		✓
480		✓
510	✓	
520	✓	
610	✓	
620	✓	
630	✓	

392

5 EML Core Components

393

The core schema contains elements and data types that are used throughout the e-voting schemas.

394

395

To help message schema diagrams fit on the page, these elements and data types are not expanded each time they appear in other diagrams.

396

397

The following schema components are defined in the EML core.

Elements	Complex Data Types	Simple Data Types
Accepted	AffiliationIdentifierStructure	ConfirmationReferenceType
Affiliation	AffiliationStructure	CountingAlgorithmType
AffiliationIdentifier	AgentIdentifierStructure	DateType
Agent	AgentStructure	EmailType
AgentIdentifier	AreaStructure	ErrorCodeType
Area	AuditInformationStructure	GenderType
AuditInformation	AuthorityIdentifierStructure	LanguageType
AuthorityIdentifier	BallotIdentifierRangeStructure	MessageTypeType
BallotIdentifier	BallotIdentifierStructure	SealUsageType
BallotIdentifierRange	CandidateIdentifierStructure	ShortCodeType
Candidate	CandidateStructure	TelephoneNumberType
CandidateIdentifier	ComplexDateRangeStructure	VotingChannelType
ContactDetails	ContactDetailsStructure	VotingMethodType
ContestIdentifier	ContestIdentifierStructure	VotingValueType
CountingAlgorithm	DocumentIdentifierStructure	YesNoType
DocumentIdentifier	ElectionGroupStructure	
ElectionIdentifier	ElectionIdentifierStructure	
ElectionStatement	EmailStructure	
EventIdentifier	EMLStructure	
EventQualifier	EventIdentifierStructure	
Gender	EventQualifierStructure	
Logo	IncomingGenericCommunicationStructure	
ManagingAuthority	InternalGenericCommunicationStructure	
MaxVotes	LogoStructure	
MessageType	ManagingAuthorityStructure	
MinVotes	MessagesStructure	
NominatingOfficer	NominatingOfficerStructure	
NumberInSequence	OutgoingGenericCommunicationStructure	
NumberOfPositions	PeriodStructure	
Period	PictureDataStructure	
PersonName	PollingDistrictStructure	
PollingDistrict	PollingPlaceStructure	

Elements	Complex Data Types	Simple Data Types
PollingPlace	PositionStructure	
Position	ProcessingUnitStructure	
PreviousElectoralAddress	ProposalIdentifierStructure	
Profile	ProposalStructure	
Proposal	ProposerStructure	
ProposalIdentifier	ProxyStructure	
Proposer	ReferendumOptionIdentifierStructure	
Proxy	ReportingUnitIdentifierStructure	
ReferendumOptionIdentifier	ResponsibleOfficerStructure	
ReportingUnitIdentifier	ScrutinyRequirementStructure	
ResponsibleOfficer	SealStructure	
ScrutinyRequirement	SimpleDateRangeStructure	
Seal	TelephoneStructure	
SequenceNumber	VoterIdentificationStructure	
TransactionId	VoterInformationStructure	
VoterName	VTokenStructure	
VotingChannel	VTokenQualifiedStructure	
VotingMethod		
VToken		
VTokenQualified		

398 **5.1 Simple Data Types**

399 The simple data types are included here with their base data types and any restrictions applied.

400 **5.1.1 ConfirmationReferenceType**

401 `xs:token`.

402 The reference generated once the confirmation of a vote has been completed.

403 **5.1.2 CountingAlgorithmType**

404 `xs:token`

405 The method of counting used for more complex forms of election.

406 **5.1.3 DateType**

407 Union of `xs:date` and `xs:dateTime`

408 There are several possible dates associated with an election. Some of these can be either just a
409 date or have a time associated with them. These can use this data type.

410 **5.1.4 EmailType**

411 `xs:token` with restrictions.

412 Restrictions: `xs:maxLength: 129`

413 `xs:pattern: [^@]+@[^@]+`

414 This type is a simple definition of an email address, pending a more complete description that is
415 widely accepted in industry and government. It allows any characters except the @ symbol,
416 followed by an @ symbol and another set of characters excluding this symbol.

417 **5.1.5 ErrorCodeType**

418 `xs:token`

419 One of a pre-defined set of error codes as described in the section "Error Messages".

420 **5.1.6 GenderType**

421 `xs:token` with restrictions.

422 Restrictions: `xs:enumeration: male, female, unknown`

423 The gender of a voter or candidate. Options are male, female or unknown (unknown is not
424 allowed in all contexts).

425 **5.1.7 LanguageType**

426 `xs:language`

427 Declaration of the type of language used in the election.

428 **5.1.8 MessageTypeType**

429 `xs:NMTOKEN`

430 This is the alphanumeric type of the message (e.g. 440 or 350a). This may be required for audit
431 purposes.

432 **5.1.9 SealUsageType**

433 `xs:NMTOKEN` with restrictions.

434 Restrictions: `xs:enumeration: receiver, sender`

435 Indicates whether a device logging a seal was the sender or receiver of the seal.

436 **5.1.10 ShortCodeType**

437 `xs:NMTOKEN`

438 This identifies an aspect of the election (such as a contest or candidate) when voting using SMS
439 or other voting mechanisms where a short identifier is required.

440 **5.1.11 TelephoneNumberType**

441 `xs:token` with restrictions.

442 Restrictions: `xs:maxLength: 35`

443 `xs:minLength: 1`

444 `xs:pattern: \+?[0-9()\-\s]{1,35}`

445 Since this must allow for various styles of international telephone number, the pattern has been
446 kept simple. This allows an optional plus sign, then between 1 and 35 characters with a
447 combination of digits, brackets, the dash symbol and white space. If a more complete definition
448 becomes widely accepted in industry and government, this will be adopted.

449 **5.1.12 VotingChannelType**

450 `xs:token` with restrictions.

451 Restrictions: `xs:enumeration`: SMS, WAP, digitalTV, internet, kiosk, polling, postal,
452 telephone, other
453 This type exists to hold the possible enumerations for the channel through which a vote is cast.
454 SMS is the Short Message Service (text message). WAP is the Wireless Access Protocol.
455 If `other` is used, it is assumed that those managing the election will have a common
456 understanding of the channel in use.

457 **5.1.13 VotingMethodType**

458 `xs:token` with restrictions.

459 Restrictions: `xs:enumeration`: AMS, FPP, OPV, SPV, STV, approval, block, partylist,
460 supplementaryvote, other

461 The `VotingMethod` type holds the enumerated values for the type of election (such as *first past
462 the post* or *single transferable vote*). The meanings of the acronyms are:

- 463 • AMS – Additional Member System
- 464 • FPP - First Past the Post
- 465 • OPV - Optional Preferential Voting
- 466 • SPV - Single Preferential Vote
- 467 • STV - Single Transferable Vote

468 **5.1.14 VotingValueType**

469 `xs:positiveInteger`.

470 Indicates a value assigned when voting for a candidate or referendum option. This might be a
471 weight or preference order depending on the election type.

472 **5.1.15 YesNoType**

473 `xs:token` with restrictions.

474 Restrictions: `xs:enumeration`: no, yes

475 This is a simple enumeration of `yes` and `no` and is used for elements and attributes that can only
476 take these binary values.

477 **5.2 Complex Data Types**

478 The choice between defining an element or a data type for a reusable message component is a
479 significant design issue. It is widely accepted as good practice to use element declarations when
480 there is good reason to always refer to an element by the same name and there is no expectation
481 of a need to derive new definitions. In all other cases, data type declarations are preferable. The
482 term *schema component* is used to refer to elements and data types collectively.

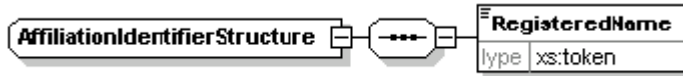
483 When defining a complete mark-up language, limiting the use of elements and types can restrict
484 further development of the language. For that reason, both data types and elements are defined
485 in EML. Only where an element is an example of a primitive or derived data type defined in XML
486 Schema part 2 is no explicit data type defined within EML.

487 In use, it is expected that, for example:

- 488 • A voting token will always have an element name `VToken` and so will use the element
489 name;

- A logo or a map have similar definitions, so both use the `PictureDataStructure`. There is no `PictureData` element.
- Within voter identification, some elements will usually need to be made mandatory and so a schema will specify a new element based on the `VoterIdentificationStructure` data type.

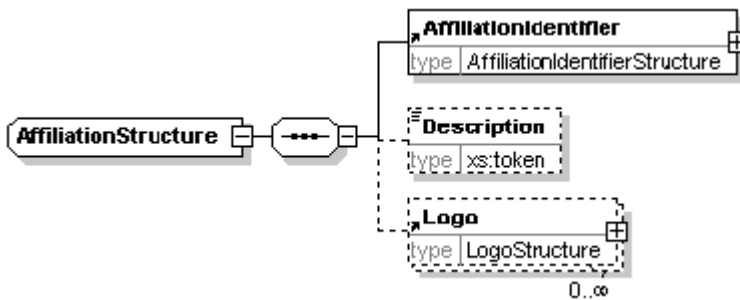
5.2.1 AffiliationIdentifierStructure



Element	Attribute	Type	Use	Comment
AffiliationIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	
	ExpectedConfirmationReference	ConfirmationReferenceType	optional	

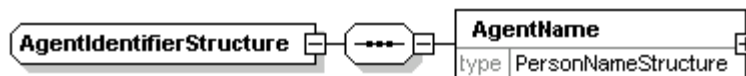
This data type is used to identify an affiliation, such as a political party. The identifier indicates the official name and ID of the organization. It supports use of a short code for voting systems such as SMS, and an expected confirmation reference for security systems that require this.

5.2.2 AffiliationStructure



`AffiliationStructure` data type indicates membership of some organization such as a political party. The description will normally be used to indicate the name usually associated with the organization, and so is the value that will usually be shown on a ballot. An organization may indicate several logos, each with a rôle. For example, one rôle might indicate that the logo should be used on a ballot paper. Each logo can be identified by a URL or sent as a Base64 encoded binary value. In the latter case, the format of the logo (BMP, TIFF, PNG, GIF or JPEG) must be indicated.

5.2.3 AgentIdentifierStructure

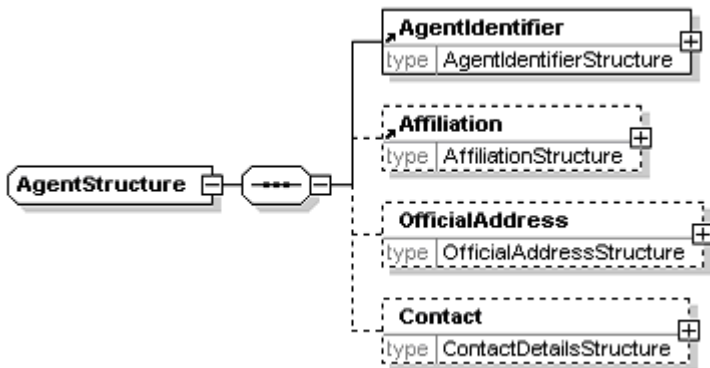


Element	Attribute	Type	Use	Comment
AgentIdentifierStructure	Id	xs:NMTOKEN	optional	

	DisplayOrder	xs:positiveInteger	optional	
--	--------------	--------------------	----------	--

512 The agent identifier contains a name and ID. The data type for the name is localized using the
513 EML externals schema.

514 5.2.4 AgentStructure



515

Element	Attribute	Type	Use	Comment
AgentStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	Role	xs:token	optional	

516 A candidate in an election can have one or more agents, each agent having a specific rôle,
517 identified by the `Role` attribute. For example, an agent may be allowed access to the count, but
518 not to amend details of the candidate.

519 The agent has an identifier, comprising a name and ID, and an affiliation. He or she also has an
520 official address and a standard set of contact details.

521 5.2.5 AreaStructure

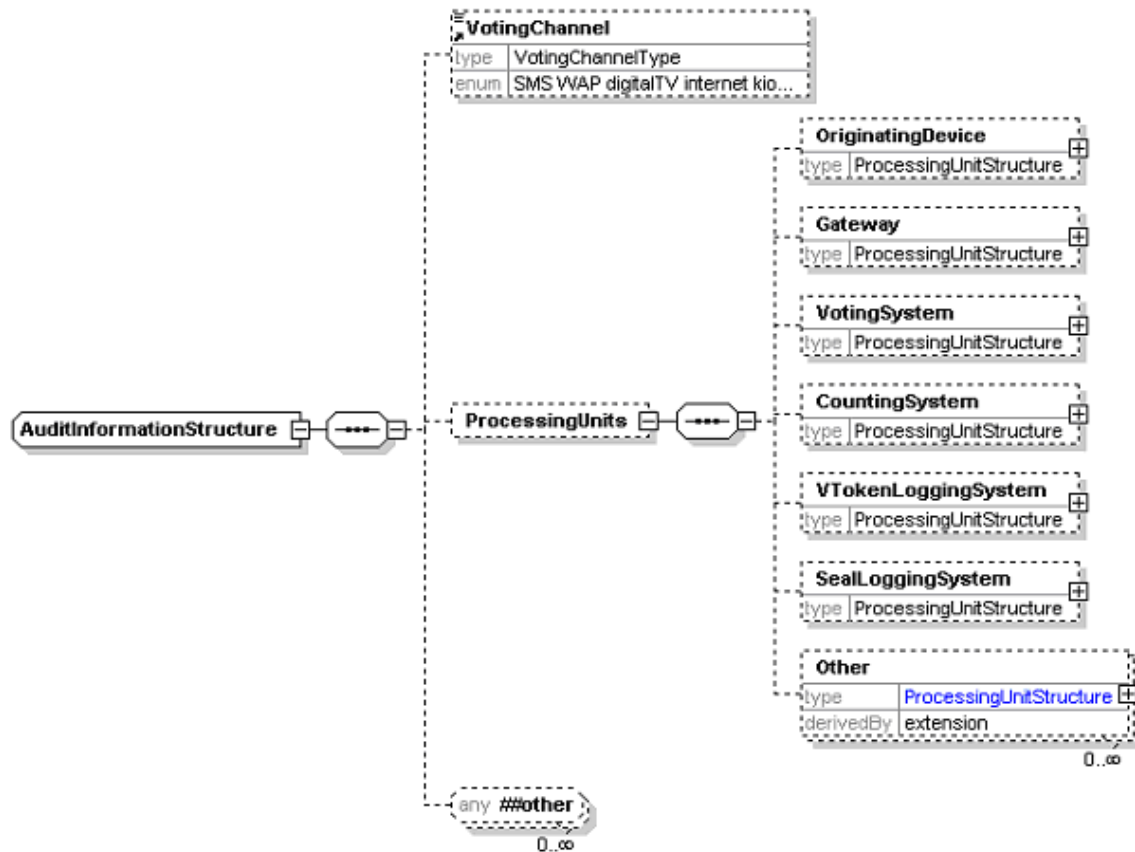
522 The `AreaStructure` is an extension of `xs:token` to add the following attributes:

Element	Attribute	Type	Use	Comment
AreaStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	Type	xs:token	optional	

523 This data type is used to define elements defining the geographical area covered by a contest.

524 The `Type` attribute is used to indicate the type of area, such as "county".

5.2.6 AuditInformationStructure



526

Element	Attribute	Type	Use	Comment
Other	Role	xs:token (restricted)	required	Standard attribute for a ProcessingUnitStructure
	Type	xs:token	required	Additional attribute for this element

527 The AuditInformationStructure is used to define an element to provide information for audit
 528 purposes. It allows the voting channel in use to be described, with the identities of those devices
 529 that have participated in the message being sent. Each device has an attribute to describe its rôle
 530 (see ProcessingUnitStructure).

531 Where a device does not fit any of the categories here, it can be described as Other with the
 532 addition of a Type attribute.

533

5.2.7 AuthorityIdentifierStructure

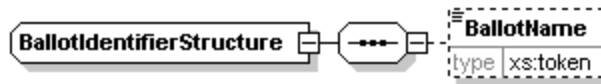
534 The AuthorityIdentifierStructure is an extension of xs:token to add the following
 535 attributes:

Element	Attribute	Type	Use	Comment
AuthorityIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

536 This data type defines information to identify an election authority. This may include a system ID
 537 and text description.

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5.2.8 BallotIdentifierStructure

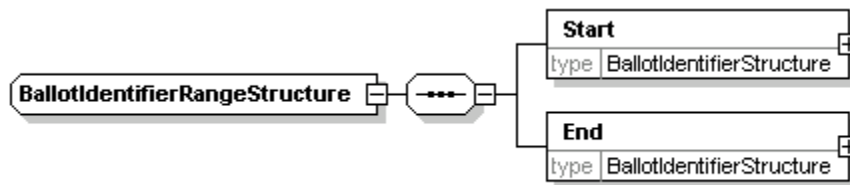


Element	Attribute	Type	Use	Comment
BallotIdentifierStructure	Id	xs:NMTOKEN	required	
	DisplayOrder	xs:positiveInteger	optional	

543 This data type is used to define an element that is an identifier for a ballot. This will usually use
544 the `Id` attribute as the identifier, but might use a name to indicate a set of identical ballots.
545 Elements using this data type will usually only be used for paper ballots.

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5.2.9 BallotIdentifierRangeStructure

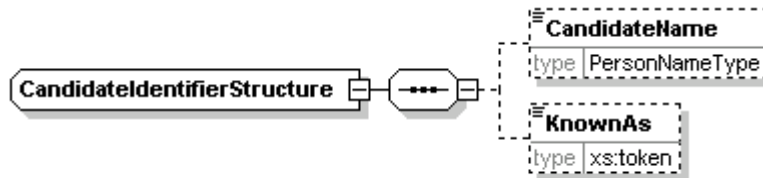


Element	Attribute	Type	Use	Comment
BallotIdentifierRangeStructure	Colour	xs:token	optional	

555
556 This data type is used to define an element that identifies a range of ballots. This might be used,
557 for example, to assign ranges of ballot identifiers to different reporting units for a contest. It is
558 unlikely that the ballot name would be used when defining range, the `Id` attribute being used
559 instead. Elements using this data type will usually only be used for paper ballots.

560
561

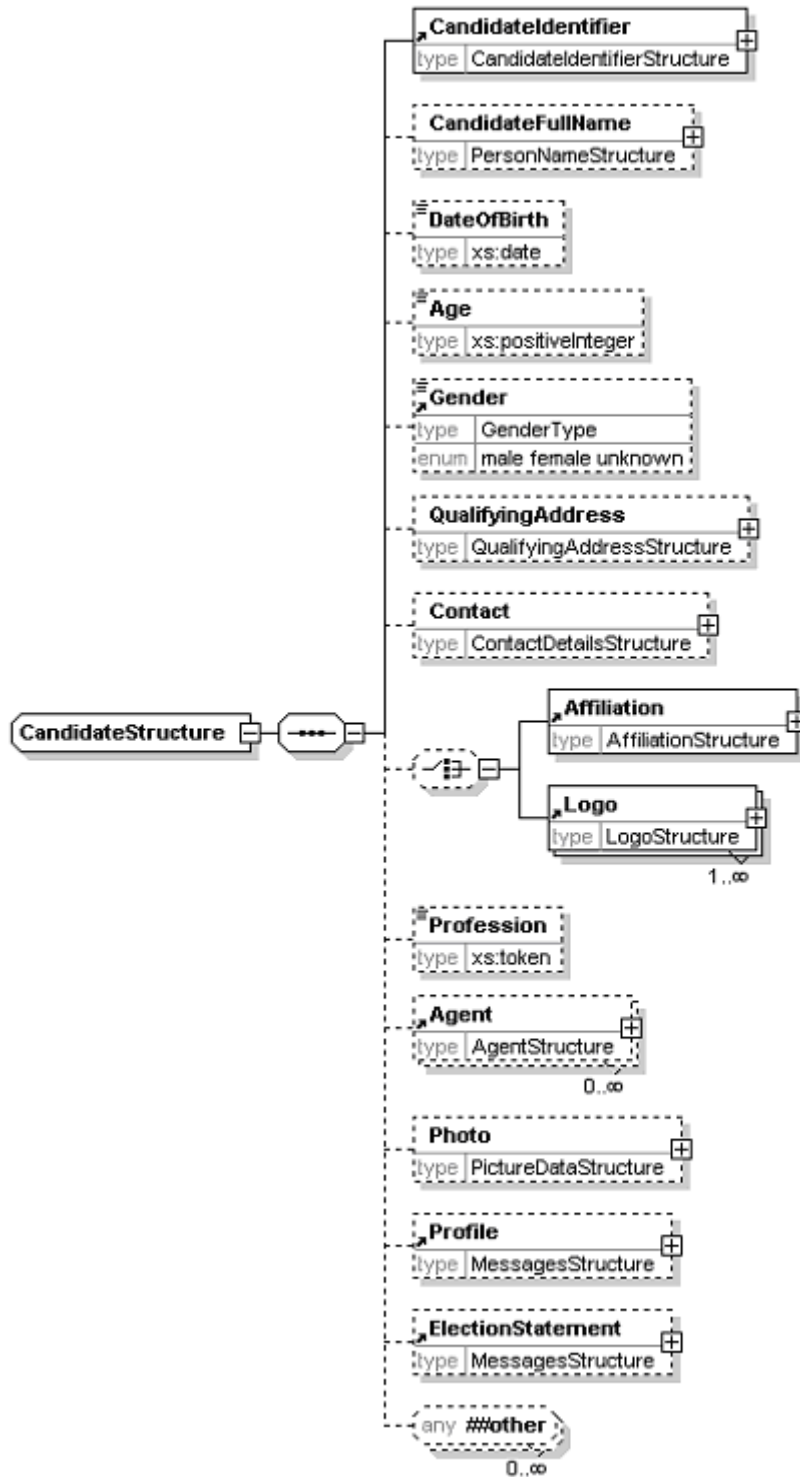
5.2.10 CandidateIdentifierRangeStructure



Element	Attribute	Type	Use	Comment
CandidateIdentifierStructure	Id	xs:NMTOKEN	required	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	
	ExpectedConfirmationReference	ConfirmationReferenceType	optional	

562 The candidate identifier indicates a system ID for the candidate and the candidate's name as it
563 will appear in a ballot. Sometimes an additional line is required on the ballot to help identify the
564 candidate. This will use the `KnownAs` element of the candidate identifier. A short code can also be
565 included, either for SMS voting or where the security mechanism in place requires it. An
566 `ExpectedConfirmationReference` attribute also allows for security mechanisms where the
567 confirmation reference may be different for each combination of voter and candidate.

5.2.11 CandidateStructure



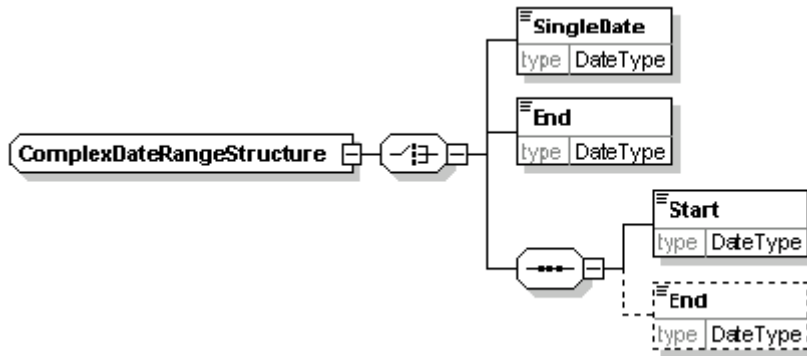
Element	Attribute	Type	Use	Comment
CandidateStructure	Independent	YesNoType	optional	
	DisplayOrder	xs:positiveInteger	optional	

570 The candidate description includes all the information required about the candidate. In different
 571 messages, the amount of information is reduced, either by restricting the information in EML or as
 572 part of a localization.

573 The candidate has an identifier. The full name of the candidate may also be provided, and
 574 whether the candidate is an independent. This is supplied as an attribute rather than affiliation as
 575 certain election types treat independents differently from other candidates, even though they may
 576 define an affiliation.

577 The candidate profile describes the candidate. The election statement describes the opinions of
 578 the candidate. Optionally, a photo may be included, either as a link or as Base64 encoded binary.

579 **5.2.12 ComplexDateRangeStructure**



580

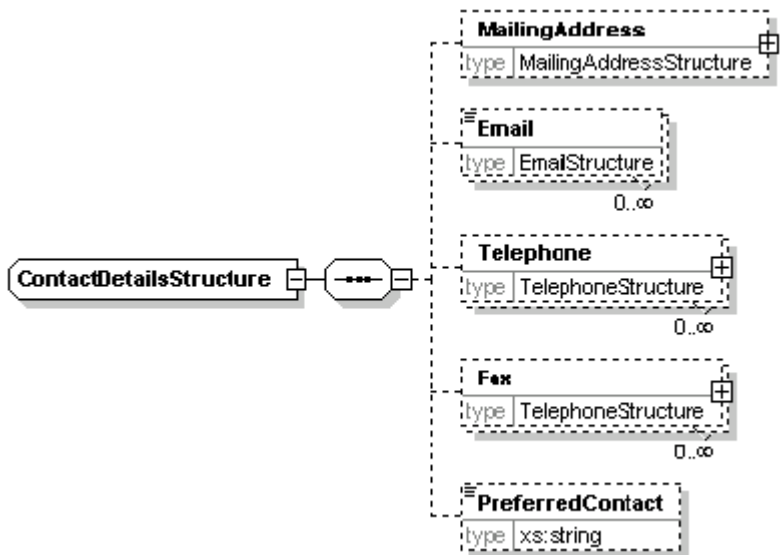
Element	Attribute	Type	Use	Comment
ComplexDateRangeStructure	Type	xs:token	required	

581 This data type is used to describe ranges of dates or dates and times. Each date can be a single
 582 date, a start date, an end date or include both start and end dates.

583 The `Type` attribute is used to indicate the purpose of the date (e.g. "deadline for nominations"). It
 584 is likely that this will be removed before release of EML version 4 and applied to elements instead
 585 as an extension of this data type.

586

5.2.13 ContactDetailsStructure

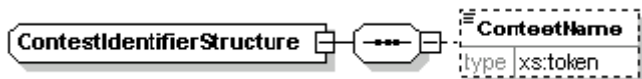


587

Element	Attribute	Type	Use	Comment
ContactDetailsStructure	DisplayOrder	xs:positiveInteger	optional	

588 This data type is used in many places throughout the EML schemas. The mailing address uses
 589 whatever format is defined in the EML externals schema document. Where several addresses or
 590 numbers can be given (for example, email addresses), there is a facility to indicate whichever is
 591 preferred. The overall preferred method of contact can also be provided by placing an XPath to
 592 the preferred method in the PreferredContact element.

5.2.14 ContestIdentifierStructure



594

Element	Attribute	Type	Use	Comment
ContestIdentifierStructure	Id	xs:NMTOKEN	required	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	

595 This data type is used to define an element that is an identifier for a contest. It holds a name and
 596 ID. A short code can also be included, for example, for SMS voting.

5.2.15 DocumentIdentifierStructure

598 The DocumentIdentifierStructure is an extension of xs:token to add the following
 599 attribute:

Element	Attribute	Type	Use	Comment
DocumentIdentifierStructure	Href	xs:anyURI	required	

600

601 This allows identification of external documents relating to an event, election or contest. The
 602 document can have a name and URL.

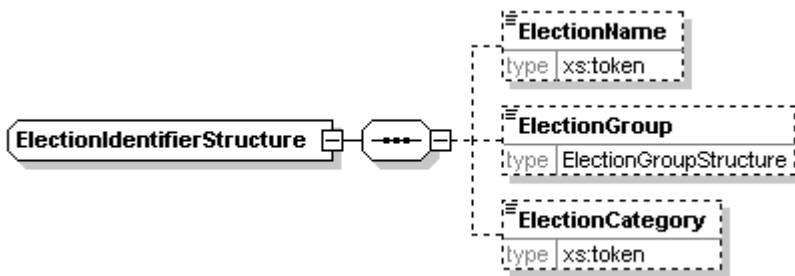
603 5.2.16 ElectionGroupStructure

604 The ElectionGroupStructure is an extension of xs:token to add the following attribute:

Element	Attribute	Type	Use	Comment
DocumentIdentifierStructure	Id	xs:token	required	

605 The election group is used to group a number of elections together. This could be required, for
 606 example, under the additional member system, where two elections are held, the result of one
 607 influencing the result of the other. It could also be used at a company AGM, where proposals
 608 might be grouped for display purposes.

609 5.2.17 ElectionIdentifierStructure



610

Element	Attribute	Type	Use	Comment
ElectionIdentifierStructure	Id	xs:NMTOKEN	required	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	

611 The election identifier is used wherever the election needs to be specified. There is an Id
 612 attribute, which can often be used on its own to identify the election. In other cases, particularly
 613 where the content of a message is to be displayed, the election name can also be provided. The
 614 election group is used to group a number of elections together as described above.

615 The election category is used in messages where several elections are included in the message,
 616 but may be treated differently under localisation rules. Each election that requires different
 617 treatment will be given a category unique within that election event, allowing a Schematron
 618 processor to distinguish between the elections.

619

5.2.18 EmailStructure

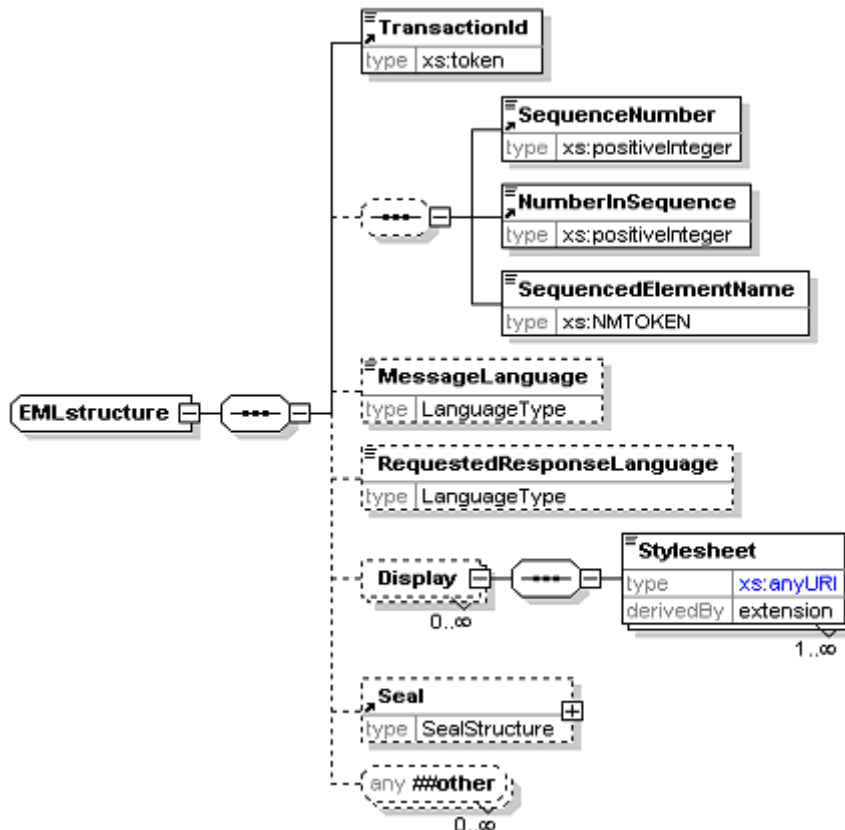
620 The EmailStructure is an extension of the EmailType to add the following attribute:

Element	Attribute	Type	Use	Comment
EmailStructure	Preferred	YesNoType	optional	

621 The Preferred attribute is used to distinguish which of several email addresses to use.

622

5.2.19 EMLstructure



623

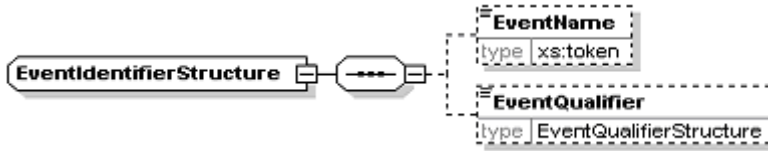
Element	Attribute	Type	Use	Comment
EMLstructure	Id	MessageTypeType	required	
	SchemaVersion	xs:NMTOKEN	required	
	ShortCode	ShortCodeType	optional	
Stylesheet	Type	xs:token	required	

624 The EML element defined by this data type forms the root element of all EML documents. The
 625 transaction ID is used to group messages together, for example, when they are split using the
 626 message splitting mechanism. This mechanism is implemented using the next three elements.
 627 The optional message language indicates the language of the message using ISO 639 three
 628 letter language codes, while the requested response language can be used to indicate the
 629 preferred language for a response. This element is used in messages from the voter or candidate
 630 to the election organizers.

631 The display element allows the definition of stylesheets to display the message. Multiple
 632 stylesheets can be declared. When displaying on the web, the first is likely to be an XSLT

633 stylesheet, while the second might describe a CSS stylesheet to be incorporated as well. The
 634 `Type` attribute of the `Stylesheet` element should contain a media types as defined in RFC 2046
 635 Pt 2 [1] using the list of media types defined by IANA [2], for example, `text/xsl`. The final element
 636 defined is the seal, which is used to seal the complete message.

637 **5.2.20 EventIdentifierStructure**



638

Element	Attribute	Type	Use	Comment
EventIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

639 The event identifier is used wherever the election event needs to be specified. There is an `Id`
 640 attribute, which can often be used on its own to identify the event. In other cases, particularly
 641 where the content of a message is to be displayed, the event name can also be provided. The
 642 event qualifier is used to further identify the event.

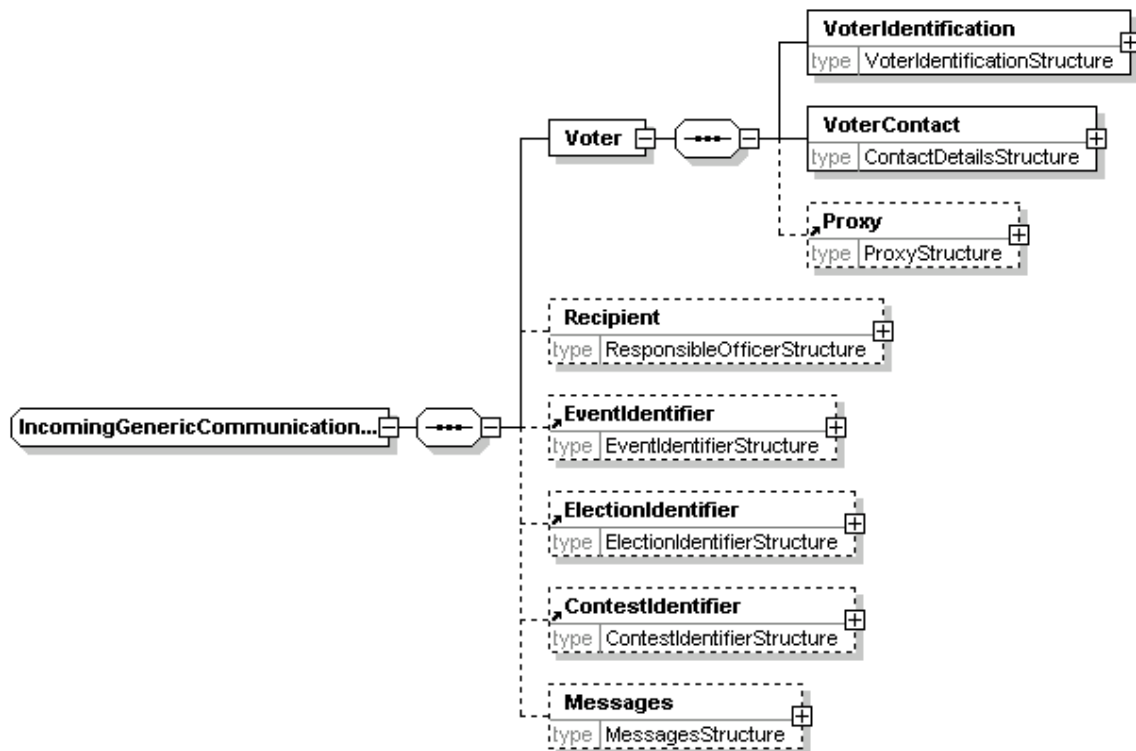
643 **5.2.21 EventQualifierStructure**

644 The `EventQualifierStructure` is an extension of `xs:token` to add the following attribute:

Element	Attribute	Type	Use	Comment
EventQualifierStructure	Id	xs:NMTOKEN	optional	

645 The event qualifier is used to further identify the event. For example, there might be "County
 646 Elections" covering an entire country, but the events are organized at a county level, so the event
 647 qualifier would identify the county.

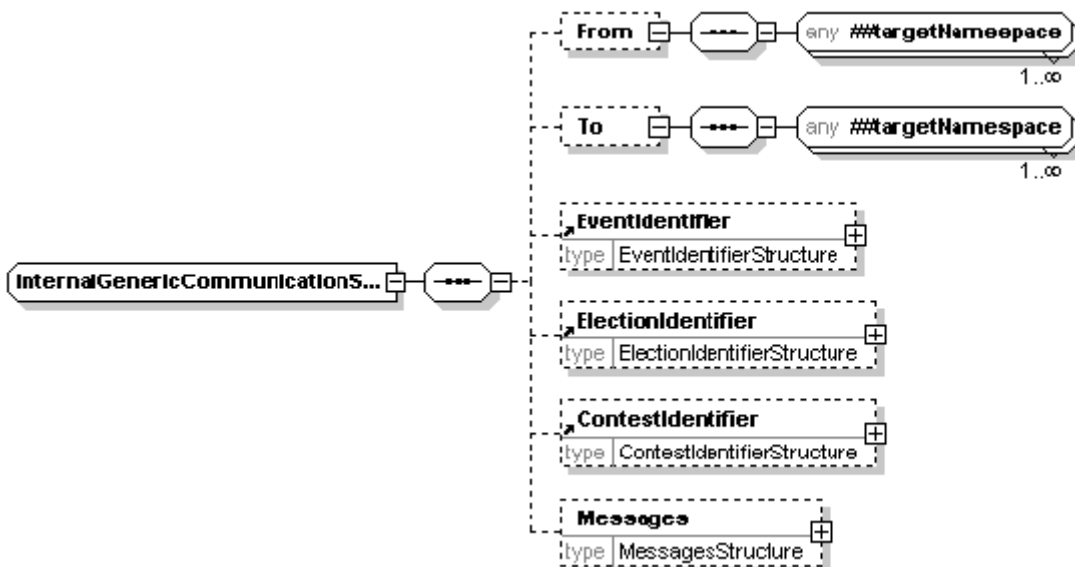
5.2.2 IncomingGenericCommunicationStructure



649

650 This data type provides a common structure for incoming communications. Individual message
 651 types, such as that used for selecting a preferred voting channel (schema 360b) are based on
 652 extensions of this type.

5.2.23 InternalGenericCommunicationStructure



654

655 This data type provides a common structure for communications between entities involved in the
 656 organization of an election. Individual message types are based on extensions of this type. The
 657 sender and recipient can use any elements defined within EML.

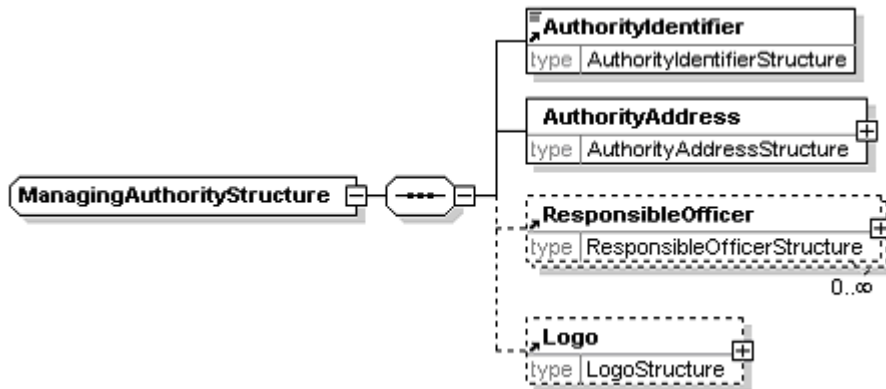
5.2.24 LogoStructure

658 The LogoStructure is an extension of the PictureDataStructure to add one attribute:
 659

Element	Attribute	Type	Use	Comment
LogoStructure	Id	xs:NMTOKEN	optional	Standard attribute for a PictureDataStructure
	DisplayOrder	xs:positiveInteger	optional	Standard attribute for a PictureDataStructure
	Role	xs:token	optional	Additional attribute for this element

660 This element extends the picture data structure by adding an attribute to define the rôle of the
 661 logo. This can be used to indicate the purpose of the logo (for example, it is to appear on a
 662 ballot).

5.2.25 ManagingAuthorityStructure



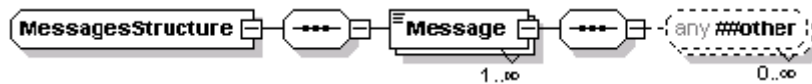
664

665 The managing authority is the body responsible for an election event, election, contest or
 666 reporting unit. In most cases, not all of these will be required, but sometimes more than one is
 667 necessary. For example, an election using the additional member system might be organized on
 668 a regional basis, whilst local authorities organise their local election events. In this case, the
 669 region becomes the managing authority for the contest, whilst the local authority is the managing
 670 authority for the event. There will also be an authority responsible for the overall conduct of the
 671 election, although this information might not be required.

672 The managing authority indicates the authority name, address, Id, any logo that might be required
 673 for display during the election and a list of responsible officers.

674

5.2.26 MessageStructure



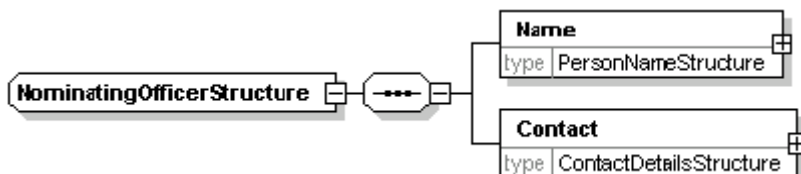
675

Element	Attribute	Type	Use	Comment
MessageStructure	DisplayOrder	xs:positiveInteger	optional	
Message	Format	xs:topken	optional	
	Type	xs:token	optional	
	Lang	LanguageType	optional	

676 The Message element is of 'mixed' type, so can have both text and element content. The
 677 intention is that it should have one or the other. The Message element has three attributes: Lang
 678 is used to indicate the language of the message using ISO 639 three letter language codes,
 679 Format indicates the format of element content using the media types definition from RFC 2046
 680 Pt 2 [1] and the list of media types defined by IANA [2], for example, text/html, and Type indicates
 681 the purpose of the message.

682

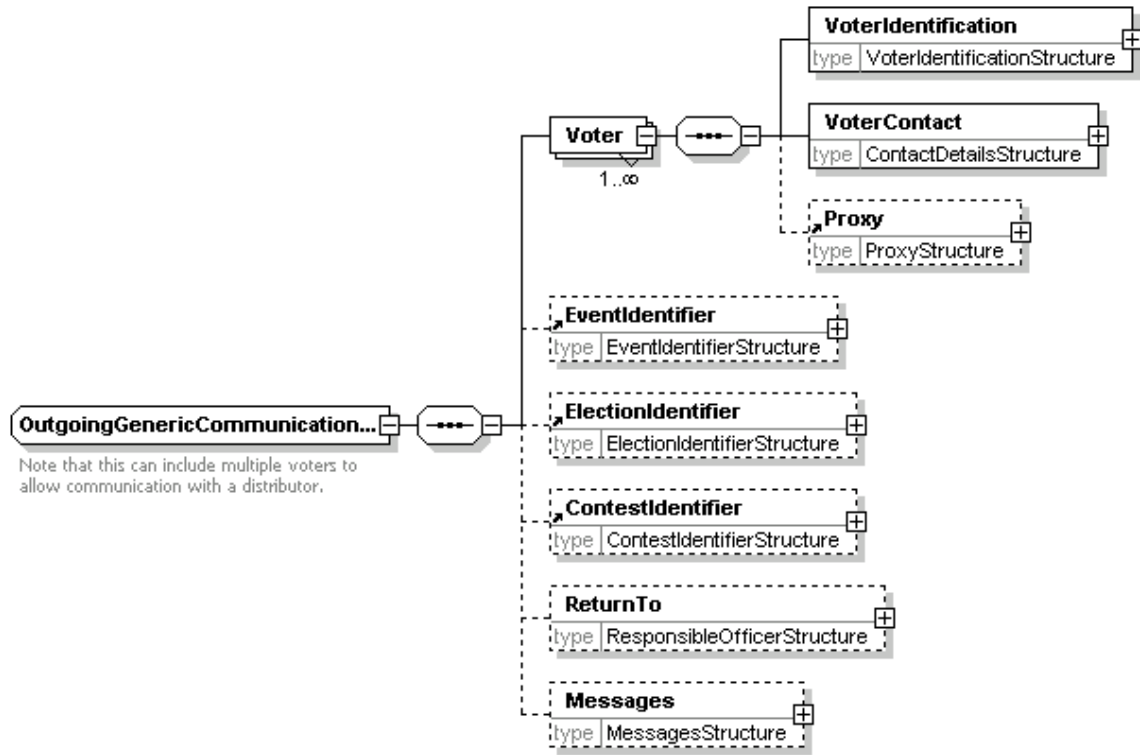
5.2.27 NominatingOfficerStructure



683

684 The nominating officer is the person nominating a party in an election run under, for example, the
 685 party list system. The data type includes a name and contact information.

5.2.28 OutgoingGenericCommunicationStructure

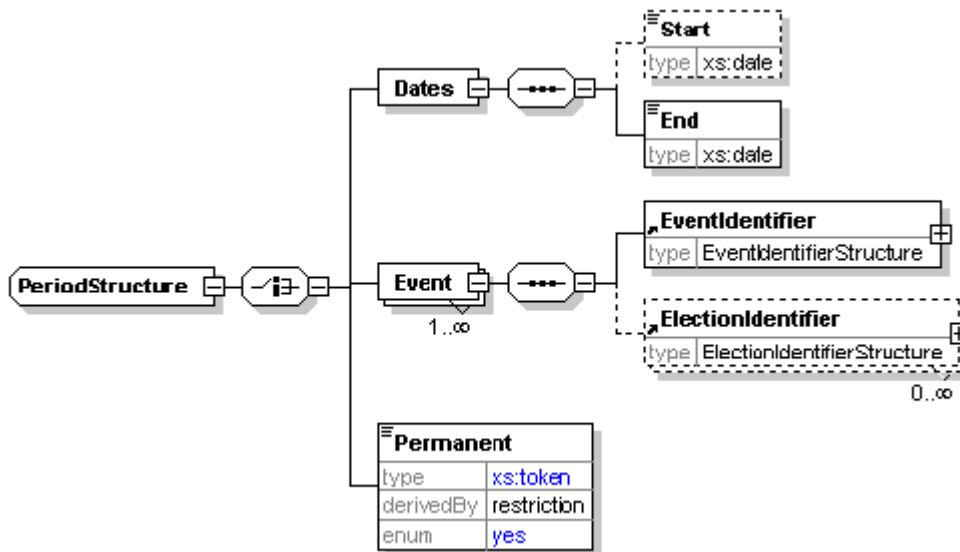


687

688 This data type provides a common structure for communications from electoral service organisers
 689 to voters. Multiple voters can be identified to allow printing of messages. Individual message
 690 types, such as that used for offering voting channel options (360a) are based on extensions of
 691 this type.

692

5.2.29 PeriodStructure

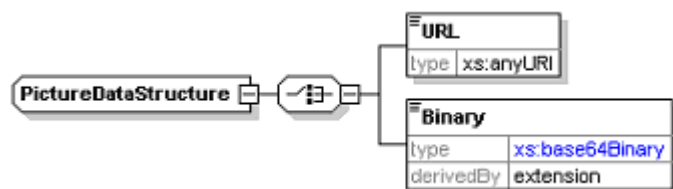


693

694 This element can be used when appointing a proxy or registering to vote using a specific channel
 695 (e.g. postal). It allows this registration to be for a period of time, for specific election events (and
 696 possibly elections within those events) or permanently.

697

5.2.30 PictureDataStructure



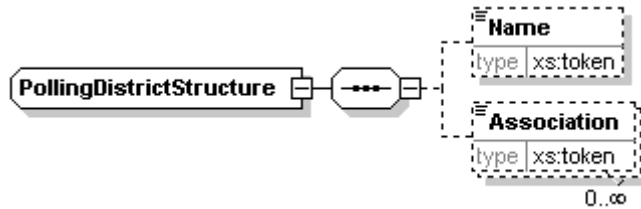
698

Element	Attribute	Type	Use	Comment
PictureDataStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
Binary	Format	xs:NMTOKEN (restricted)	required	

699 Where a picture (logo, map, photo) is provided, it may be given as either a link or as Base64
 700 encoded binary data. In the latter case, the format of the logo (bmp, gif, jpeg, png or tiff) must be
 701 indicated using the Format attribute of the Binary element.

702

5.2.31 PollingDistrictStructure



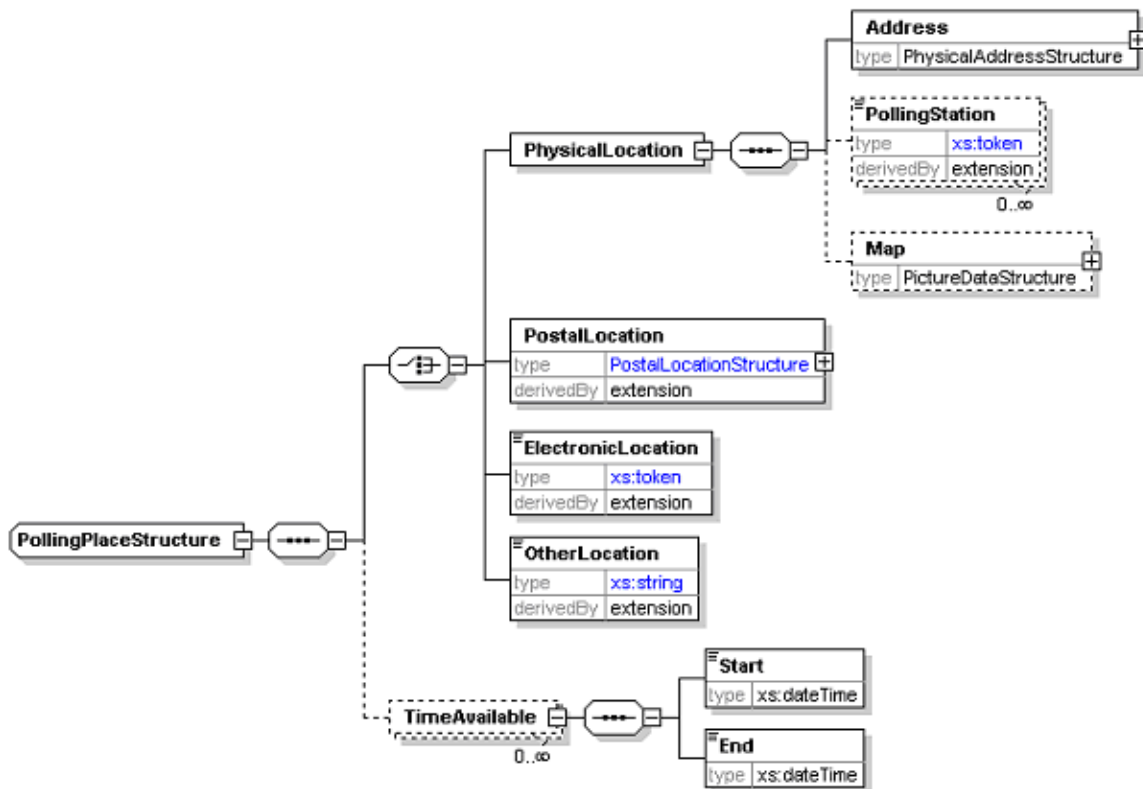
703

Element	Attribute	Type	Use	Comment
PollingDistrictStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

704 The polling district indicates where a voter is registered to vote. The polling district can have a
 705 name and an Id attribute. It can also be associated with other terms such as a constituency. This
 706 is done through the Association element, which has Type attribute and may have an Id
 707 attribute as well as a text value.

708

5.2.32 PollingPlaceStructure



709

Element	Attribute	Type	Use	Comment
PollingPlaceStructure	Channel	VotingChannelType	required	
	DisplayOrder	xs:positiveInteger	optional	
PhysicalLocation	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
PostalLocation	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
ElectronicLocation	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
OtherLocation	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
PollingStation	Id	xs:NMTOKEN	optional	

710 In general, a polling place will be either a physical location (for paper or kiosk voting), a postal
711 address (for postal votes) or an electronic location (for Internet, SMS, telephone and other
712 electronic means of voting). However, it is possible that none of these types will meet every need,
713 and so an `OtherLocation` element has been included. Each of these locations must indicate the
714 channel for which it is to be used. If a single location supports multiple channels, it must be
715 included multiple times.

716 A physical location has an address. Sometimes, several polling stations will be at the same
717 address, so a polling station can be defined by name and/or Id within the address. Access to an
718 external map can also be provided as a URI or Base64 encoded binary data.

719 An electronic location must indicate its address (e.g. phone number, URL).

720 An optional `TimeAvailable` element is also provided. In most cases, this is not required as the
721 time a location is available is the same as the time the channel is available. However, there are
722 circumstances, such as the use of mobile polling stations, where this is not the case.

723 **5.2.33 PositionStructure**

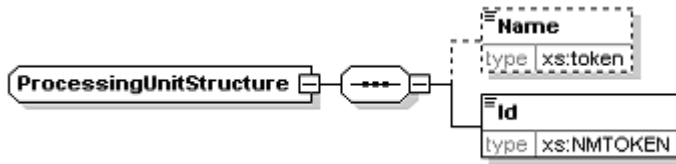
724 The `PositionStructure` is an extension of `xs:token` to add the following attributes:

Element	Attribute	Type	Use	Comment
PositionStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

725 The element defined by this type indicates the position (e.g. President) for which an election is
726 being held. It has a text description and an optional ID.

727

5.2.34 ProcessingUnitStructure



728

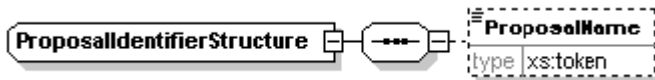
Element	Attribute	Type	Use	Comment
ProcessingUnitStructure	Role	xs:token (restricted)	required	

729 A processing unit is a physical system used in the election process. It is identified as part of audit
 730 information by its ID (which might be an IP address) and optional name.

731 Each processing unit has an attribute to describe its rôle. The rôle can be "sender", "receiver",
 732 "previous sender" or "next receiver". The latter two are used when there is a gateway involved.
 733 For example, a 440 (cast vote) message might have an `OriginatingDevice` as its original
 734 sender, a gateway as sender and voting system as receiver.

735

5.2.35 ProposalIdentifierStructure



736

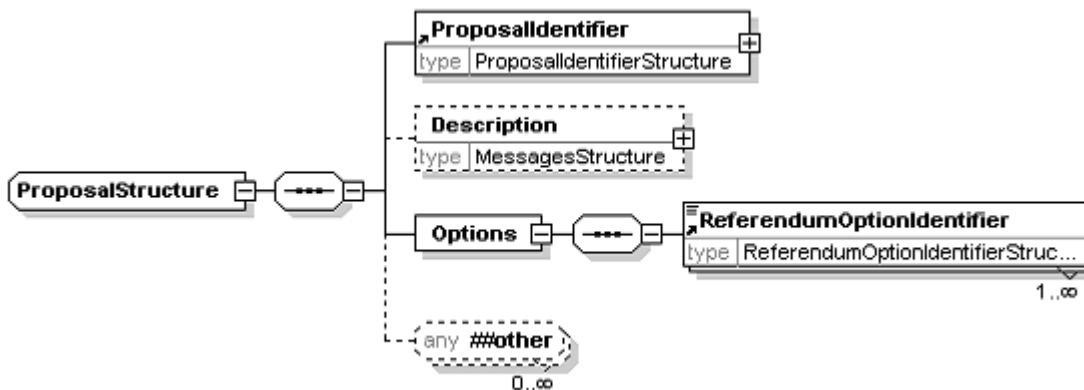
Element	Attribute	Type	Use	Comment
ProposalIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	
	ExpectedConfirmationReference	ConfirmationReferenceType	optional	

737 A proposal is used in a referendum. At a basic level, it is a piece of text with the options ('yes' and
 738 'no', 'for' and 'against' etc) to be voted on.

739 The proposal identifier indicates a system ID for the proposal. A short code can also be included,
 740 either for SMS voting or where the security mechanism in place requires it. An
 741 `ExpectedConfirmationReference` attribute also allows for security mechanisms where the
 742 confirmation reference may be different for each combination of voter and candidate.

743

5.2.36 ProposalStructure



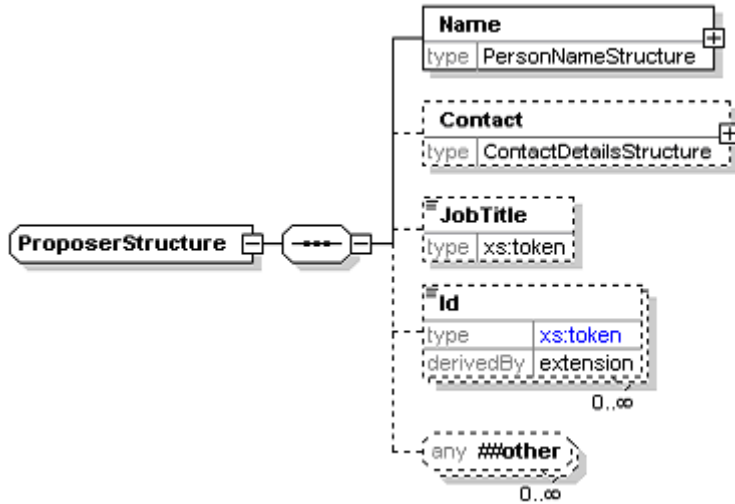
744

Element	Attribute	Type	Use	Comment
ProposalStructure	Type	xs:token	optional	

745 The proposal identifier provides a name and ID. The description is used to provide the information
746 that will be displayed to the voter to indicate the aim of the proposal. The options are then used to
747 indicate how the voter may vote.

748 The `Type` attribute allows for referenda where there are different kinds of proposal, for example,
749 'initiative' or 'referendum'.

750 5.2.37 ProposerStructure

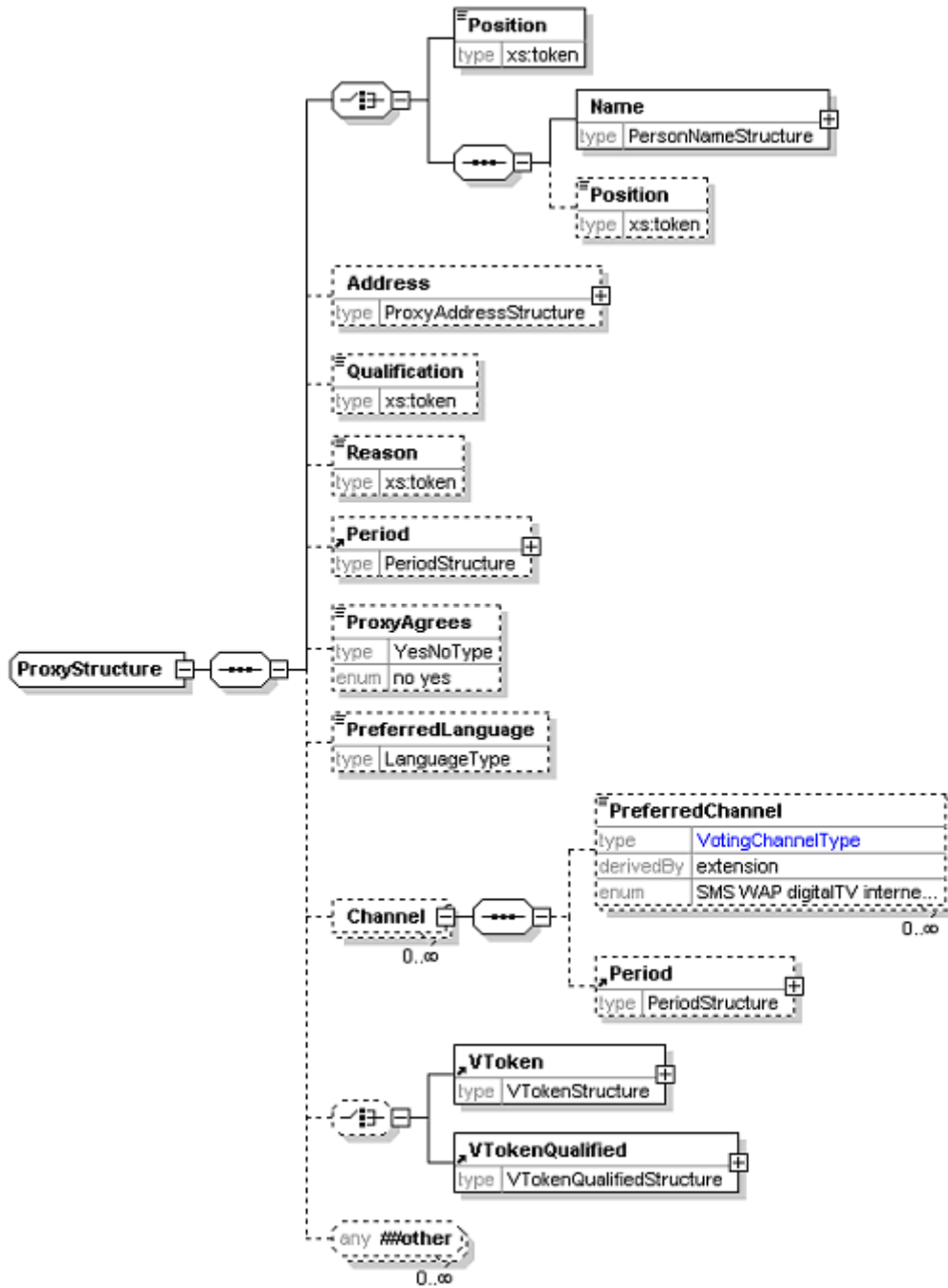


751

Element	Attribute	Type	Use	Comment
ProposerStructure	Category	xs:token (restricted)	optional	

752 A proposer proposes, seconds or endorses a candidate or referendum proposal. A proposer can
753 have a category, which indicates one of "primary", "secondary" or "other". A name is always
754 required, and additional information might be needed.

5.2.38 ProxyStructure



756

Element	Attribute	Type	Use	Comment
ProxyStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
PreferredChannel	Fixed	YesNoType	optional	

757 In many elections, a voter may appoint a proxy to vote on his or her behalf. That proxy may be
 758 identified by position (for example, appointing the chairman as proxy at a company AGM), or by
 759 name (for example, appointing your spouse as proxy for a public election), or both.

760 In some elections, the proxy must, for example, be a family member. This is indicated using the
 761 `Qualification` element, while a reason for appointing a proxy can be indicated using the
 762 `Reason` element.

763 A proxy can be permanent (i.e. appointed until revoked), appointed for one or more election
 764 events (and individual elections within each event) or for a period of time. A proxy can also list his
 765 or her preferred voting channels. These are listed in order of preference for a given period (which
 766 may be specific election events, a date range or permanent), so that information can be sent
 767 regarding the most appropriate voting channel at any election. The channel may be fixed, for
 768 example, if registering to vote by a specific channel prevents voting by other means.

769 A proxy may also have a voting token, indicating the right to vote, or a qualified voting token,
 770 indicating that there is a question over their right to vote.

771 **5.2.39 ReferendumOptionIdentifierStructure**

772 The `ReferendumOptionIdentifierStructure` is an extension of `xs:token` to add the
 773 following attributes:

Element	Attribute	Type	Use	Comment
ReferendumOptionIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	
	ExpectedConfirmationReference	ConfirmationReferenceType	optional	

774 A referendum option is used to indicate the possible answers to a referendum question, such as
 775 "yes" and "no" or "for" and "against".

776 The referendum option identifier has a text description and can have a system ID. A short code
 777 can also be included, either for SMS voting or where the security mechanism in place requires it.
 778 An `ExpectedConfirmationReference` attribute also allows for security mechanisms where the
 779 confirmation reference may be different for each combination of voter and option.

780 **5.2.40 ReportingUnitIdentifierStructure**

781 The `ReportingUnitIdentifierStructure` is an extension of `xs:token` to add the following
 782 attributes:

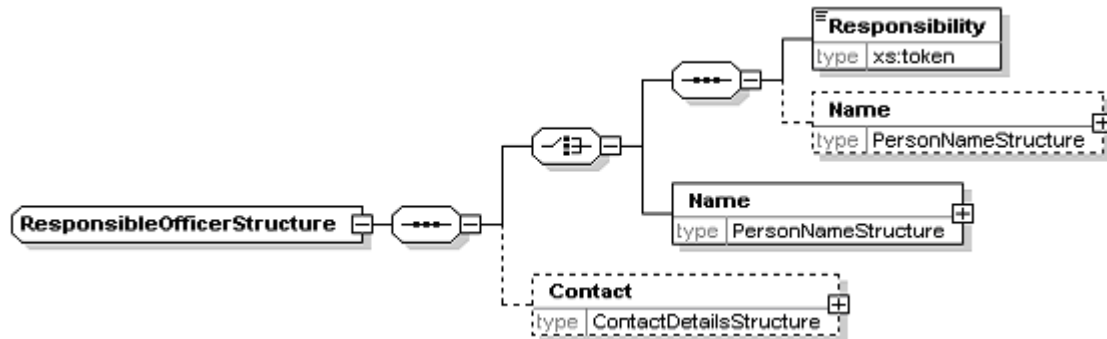
Element	Attribute	Type	Use	Comment
ReportingUnitIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

783 A reporting unit is an entity that reports partial information relating to a contest (votes or the
 784 results of a count) without having the full set of information required to generate a result. This will
 785 happen when votes from several independently managed areas must be amalgamated to
 786 produce a result.

787 The reporting unit identifier structure defines a string with an optional `Id`.

788

5.2.41 ResponsibleOfficerStructure



789

Element	Attribute	Type	Use	Comment
ResponsibleOfficerStructure	Id	xs:NMTOKEN	optional	

790 A responsible officer is someone who has some sort of rôle to play in the organization of an
 791 election. Each responsible officer has a name and/or responsibility (such as 'returning officer')
 792 and optional contact information. Local rules will usually indicate the values allowed in the
 793 Responsibility element.

5.2.42 ScrutinyRequirementStructure

794 The ScrutinyRequirementStructure is an extension of xs:token to add the following
 795 attribute:
 796

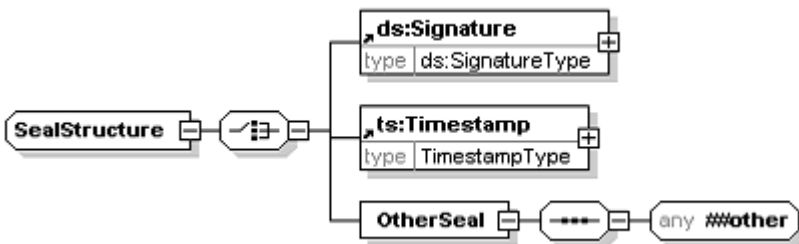
Element	Attribute	Type	Use	Comment
ScrutinyRequirementStructure	Type	xs:token	required	

797 A scrutiny requirement has two parts, a Type attribute and a text value. The Type specifies a
 798 condition that a candidate must meet, such as an age or membership requirement or the payment
 799 of a fee. The text describes how that condition has been met. For example:

800
 801
 802
 803

```
<ScrutinyRequirement Type="dateofbirth">8 June  
1955</ScrutinyRequirement>
```

5.2.43 SealStructure



805

Element	Attribute	Type	Use	Comment
OtherSeal	Type	xs:token	required	

806 The seal is used to protect information such as a vote, voting token or complete message. The
 807 seal provides the means of proving that no alterations have been made to a message or
 808 individual parts of a message such as a vote or collection of votes, from when they were originally

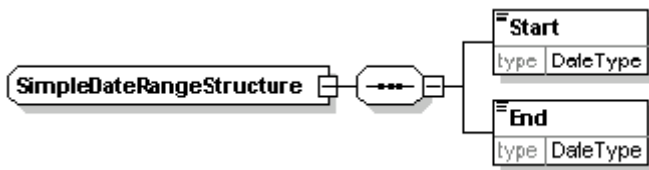
809 created by the voter. The seal may also be used to authenticate the identity of the system that
 810 collected a vote, and provide proof of the time at which the vote was cast.

811 If a message is to be divided, each part must be separately sealed to protect the integrity of the
 812 data. For example, if votes in several elections are entered on a single ballot, and these votes are
 813 being counted in separate locations, each vote must be separately sealed.

814 A seal may be any structure which provides the required integrity characteristics, including an
 815 XML signature [1] or a time-stamp.

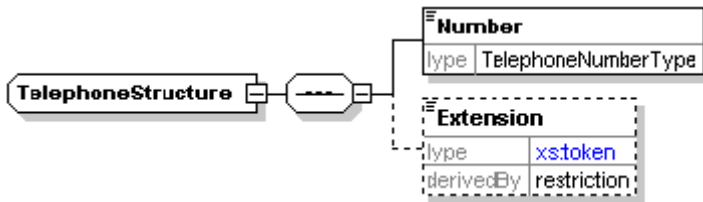
816 The XML signature created by the voting system provides integrity and authentication of the
 817 identity of the system that collected the vote. The time-stamp provides integrity of the vote and
 818 proof of the time that the vote was cast.

5.2.44 SimpleDateRangeStructure



820
 821 This data type is used to describe ranges of dates or dates and times.

5.2.45 TelephoneStructure

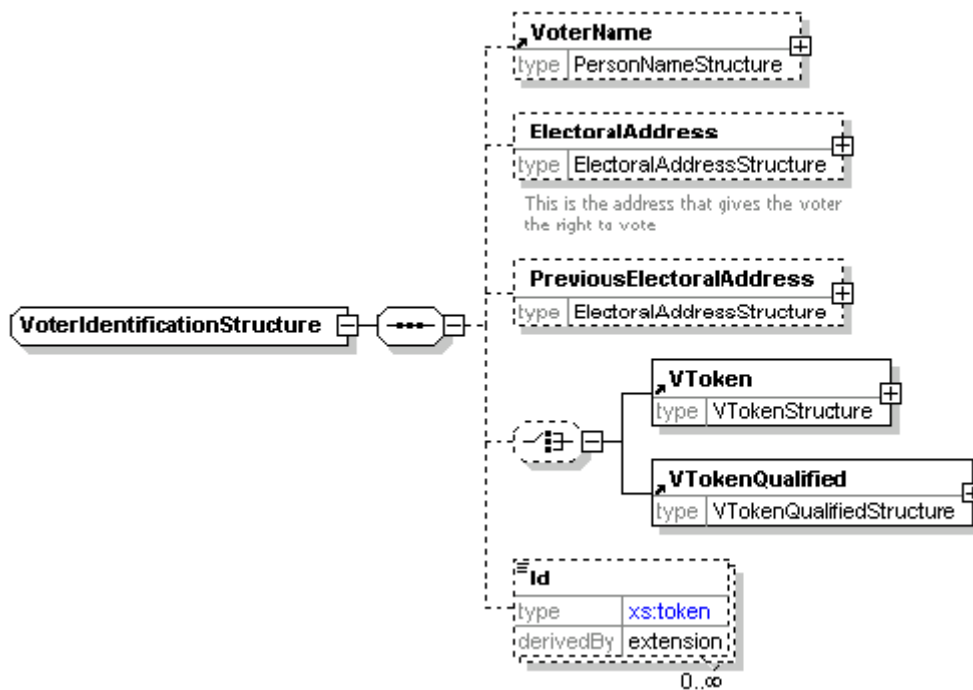


823

Element	Attribute	Type	Use	Comment
TelephoneStructure	Preferred	YesNoType	optional	
	Mobile	YesNoType	optional	

824 This is an extension of the TelephoneType and adds an Extension element and the two
 825 attributes Preferred and Mobile of YesNoType. The Preferred attribute indicates which of
 826 several phone numbers or fax numbers is preferred.

5.2.46 VoterIdentificationStructure

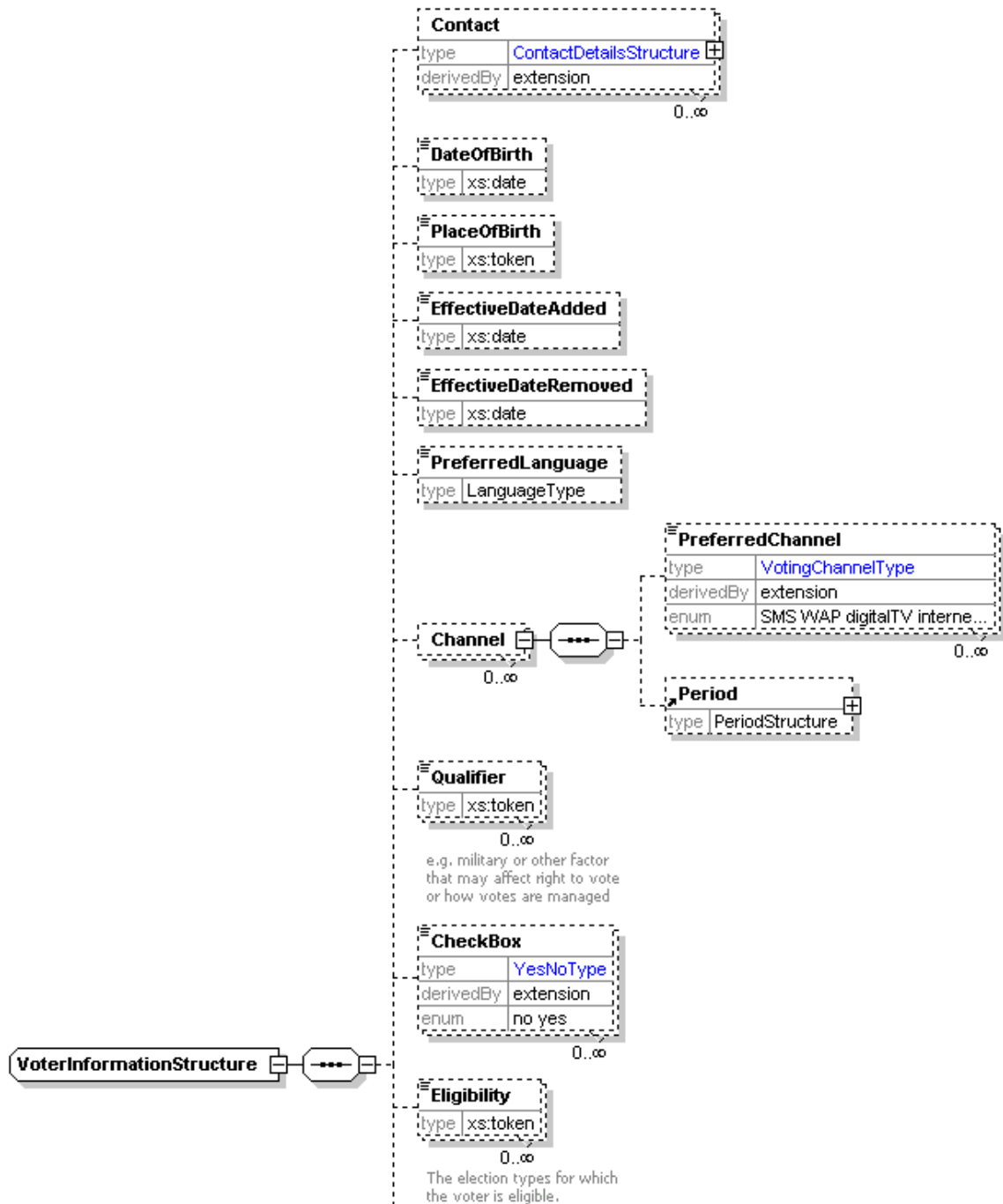


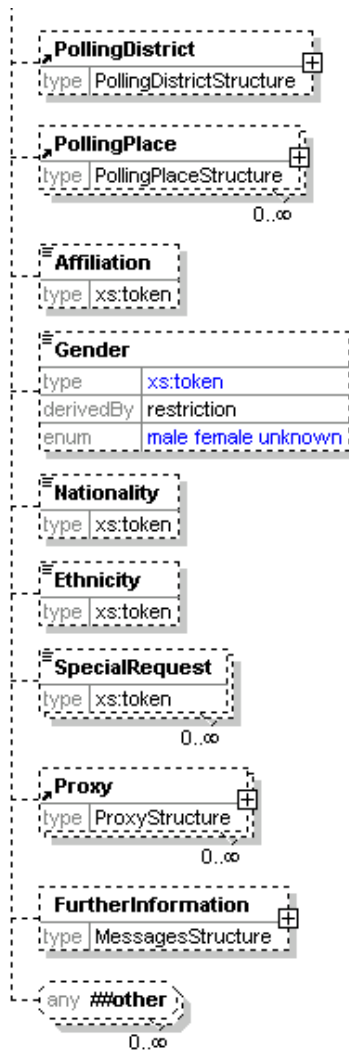
828

Element	Attribute	Type	Use	Comment
VoterIdentificationStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
Id	Type	xs:token	required	

829 An element defined by this data type is used wherever identification of a voter is required. It
 830 contains the voter's name and electoral address (the address that gives them the right to vote in a
 831 specific contest), the voting token (either normal or qualified) and a number of identifiers (such as
 832 an electoral registration number). It may also include a previous electoral address if this is
 833 required (for example, because a voter has not been at his or her current address for more than a
 834 predefined period).
 835

5.2.47 VoterInformationStructure





838

Element	Attribute	Type	Use	Comment
VoterInformationStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
ContactDetailsStructure	DisplayOrder	xs:positiveInteger	optional	standard attribute for this data type
	ElectionId	xs:NMTOKEN	optional	additional attribute
PreferredChannel	Fixed	YesNoType	optional	
Checkbox	Type	xs:token	required	

839 This contains more information about the voter. It contains all the information that would typically
 840 be included on an electoral register other than that used for identification of the voter. In many
 841 cases, it will be restricted to only include the information required in a specific message type.

842 A voter can list his or her preferred voting channels. These are listed in order of preference for a
 843 given period (which may be specific election events, a date range or permanent), so that
 844 information can be sent regarding the most appropriate voting channel at any election. The
 845 channel may be fixed, for example, if registering to vote by a specific channel prevents voting by
 846 other means.

847 The `Qualifier` element is used to hold information that might affect a voter's right to vote or how
 848 the voting process is managed. Suitable enumerations for this are likely to be added as part of
 849 localisation. The `CheckBox` element with its `Type` attribute allows binary information such as
 850 whether the voter's entry on the electoral register can be sold, or whether the voter wants to
 851 participate in the count. The eligibility indicates what election types a voter is eligible to participate
 852 in.
 853 Special requests are requests from the voter, for example, for wheelchair access to a polling
 854 station.

855 5.2.48 VTokenStructure



856

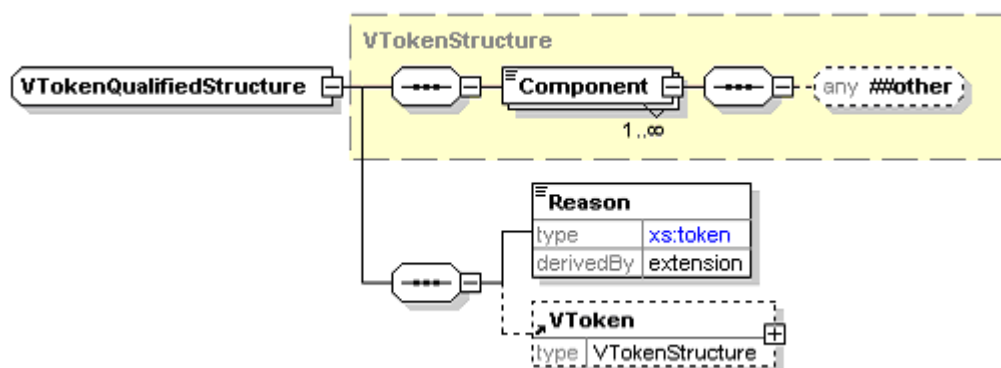
Element	Attribute	Type	Use	Comment
Component	Type	xs:NMTOKEN	required	

857 The voting token contains the information required to authenticate the voter's right to vote in a
 858 specific election or contest. A voting token can consist of a continuous string of encoded or
 859 encrypted data, alternatively it may be constructed from several data components that a user may
 860 input at various stages during the voting process (such as PIN, password and other coded data
 861 elements). The totality of the voting token data proves that a person with the right to vote in the
 862 specific election has cast the vote.

863 Depending on the type of election, the voter may need to cast their votes anonymously, thus not
 864 providing a link to the voter's true identity. In this case the voting token data will not identify the
 865 actual person casting the vote; it just proves that the vote was cast by a person with the right to
 866 do so. Election rules may require a link to be maintained between a vote and a voter, in which
 867 case a link is maintained between the voting token data and the voter's identity.

868 The components of the voting token are identified by a `Type` attribute and may contain text or
 869 markup from any namespace depending on the token type. The content could be defined further
 870 in separate schemas for specific types of token.

871 5.2.49 VTokenQualifiedStructure



872

Element	Attribute	Type	Use	Comment
Reason	Type	xs:token	required	

873 There are occasions when a normal voting token cannot be used. For example, if a voter is
 874 challenged, or an election officer claims the voter has already voted. In these circumstances a
 875 qualified voting token can be used and treated appropriately by the election system according to
 876 the election rules. For example, challenged votes might be ignored unless there were sufficient to

877 alter the result of the election, in which case each vote would be investigated and counted if
878 deemed correct to do so.

879 The `VTokenQualifiedStructure` is therefore an extension of the `VTokenStructure` to add
880 the additional information required. This additional information comprises a reason for
881 qualification (as a `Reason` element with a `Type` attribute and textual description) and possibly an
882 original `VToken`.

883 **5.3 Elements**

884 The following elements are simply specified by their similarly-named data type and are not
885 described further here:

886 *Affiliation, AffiliationIdentifier, Agent, AgentIdentifier, Area,*
887 *AuditInformation, AuthorityIdentifier, BallotIdentifier,*
888 *BallotIdentifierRange, Candidate, CandidateIdentifier, ContactDetails,*
889 *ContestIdentifier, CountingAlgorithm, DocumentIdentifier,*
890 *ElectionIdentifier, EventIdentifier, EventQualifier, Gender , Logo,*
891 *ManagingAuthority, MessageType, NominatingOfficer, NumberOfPositions,*
892 *Period, PollingDistrict, PollingPlace, Position, Proposal,*
893 *ProposalIdentifier, Proposer, Proxy, ReferendumOptionIdentifier,*
894 *ReportingUnitIdentifier, ResponsibleOfficer, ScrutinyRequirement, Seal,*
895 *VToken, VTokenQualified*

896 **5.3.1 Accepted**

897 *YesNoType*

898 This element indicates that a candidate, referendum proposal or vote has been accepted.

899 **5.3.2 Election Statement**

900 *MessagesStructure*

901 This is the candidate's message to voters.

902 **5.3.3 MaxVotes**

903 *xs:positiveInteger*

904 The maximum number of votes allowed (also known as the vote limit). This defaults to the value
905 of "1".

906 **5.3.4 MinVotes**

907 *xs:nonNegativeInteger*

908 The minimum number of votes allowed. This defaults to the value of "0".

909 **5.3.5 NumberInSequence**

910 *xs:positiveInteger*

911 The number of partial messages when a message is split. See "Spitting of Messages"

912 **5.3.6 NumberOfSequence**

913 This element represents the number of identical positions that will be elected as the result of a
914 contest. For example, in a contest for a Town Council, three councillors might be elected as the
915 result of the contest in one part of the town. The element is an *xs:positiveInteger* and
916 defaults to a value of "1".

917 **5.3.7 PersonName**

918 This element uses the *PersonNameStructure* defined in the EML externals schema.

919 **5.3.8 Profile**

920 *MessagesStructure*

921 This is the candidate's profile statement.

922 **5.3.9 SequenceNumber**

923 `xs:positiveInteger`

924 The sequence number of a partial message when a message is split. See "Splitting of
925 Messages".

926 **5.3.10 TransactionId**

927 `xs:token`

928 A reference code for a specific transaction, which may comprise several messages.

929 **5.3.11 VoterName**

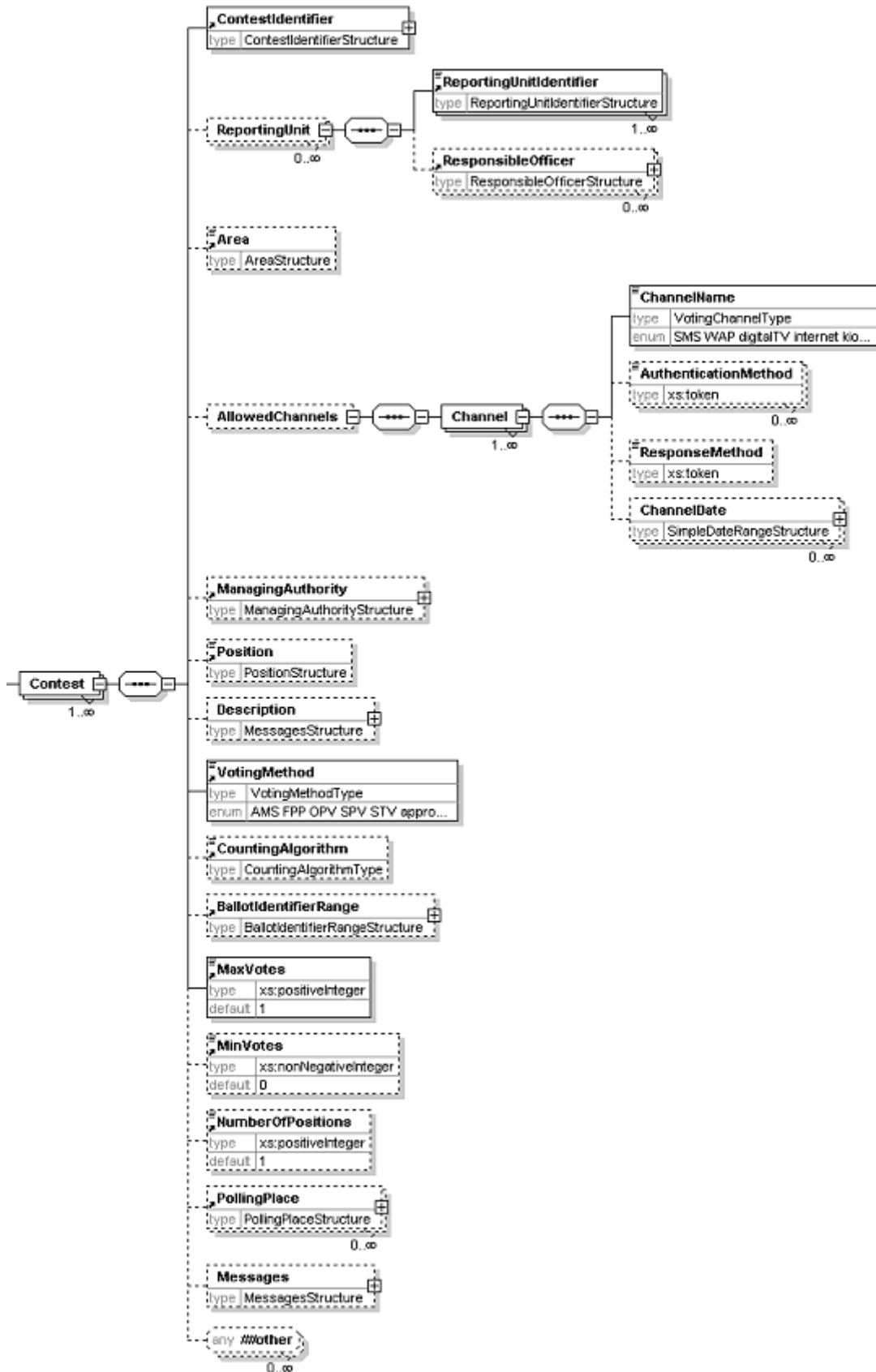
930 `PersonNameStructure`

931 The name of a voter.

932 **6 The EML Message Schemas**

933 This section describes the EML messages and how the message specifications change for this
934 application. It uses the element and attribute names from the schemas.

935 Attributes are shown where they are not the standard attributes of data types already described.



Element	Attribute	Type	Use	Comment
AllowedChannels	DisplayOrder	xs:positiveInteger	optional	
Contest	DisplayOrder	xs:positiveInteger	optional	

939

6.1.1 Description of Schema

940 This schema is used for messages providing information about an election or set of elections. It is
941 usually used to communicate information from the election organisers to those providing the
942 election service.

943 The message therefore provides information about the election event, all elections within that
944 event and all contests for each election.

945 For the election event, the information includes the ID and name of the event, possibly with a
946 qualifier on the event. This qualifier is used when an event has several local organisers. For
947 example, for a UK general election, each constituency organises its own contests. The election
948 event is therefore the general election, whilst the qualifier would indicate the constituency. Other
949 information regarding an election event comprises the languages to be used, the start and end
950 dates of the event, potentially a list of external documents that are applicable (such as the rules
951 governing the election), a description and information about the managing authority.

952 The managing authority can be indicated for the event, each election, each contest within the
953 election and each reporting unit.

954 An election can have a number of dates associated with it. For example, there is likely to be a
955 period allowed for nomination of candidates and a date when the list of eligible voters is fixed.
956 Each date can be expressed as a single date when something happens, a start date, an end
957 date, or both start and end dates. These dates can be either just a date or both a date and time
958 using the subset of the ISO 8601 format supported by XML Schema.

959 Like the event, an election can have both a managing authority and referenced documents.
960 Finally, there is a `Messages` element for additional information.

961 A contest has a name and ID. It can also have reporting unit identifiers. A contest may need to
962 specify its geographical area independently from its name, for which purpose the `Area` element is
963 provided. Each contest can specify the voting channels allowed. In general, the list of possible
964 channels will be further restricted as part of a local customisation. Each channel can specify
965 several methods for authenticating the voter, such as PIN and password, and a response
966 method, indicating the type of response to be given to a cast vote. Finally, facilities are provided
967 to indicate the dates and times when the channel will be available to the voter.

968 As described previously, a contest can indicate its managing authority. It may also indicate the
969 position (such as 'President') for which votes are being cast. The `Description` allows for
970 additional text describing the contest. Each contest indicates the voting method being used, whilst
971 the `CountingAlgorithm` indicates the method of counting (such as the d'Hondt or Meeks
972 method) that will be used. The minimum and maximum number of votes to be cast by each voter
973 can also be indicated.

974 A list of polling places can be provided. These can be either physical locations for people to go to
975 vote, postal addresses for postal votes or electronic locations. An 'other location' is also allowed
976 for cases where these do not meet the requirements. A location can also say when it will be
977 available. This is intended for mobile polling stations that will only be available at a given address
978 for a part of the voting period.

979 Finally, a `Messages` element allows for additional information that might be communicated to the
980 voter later through other messages.

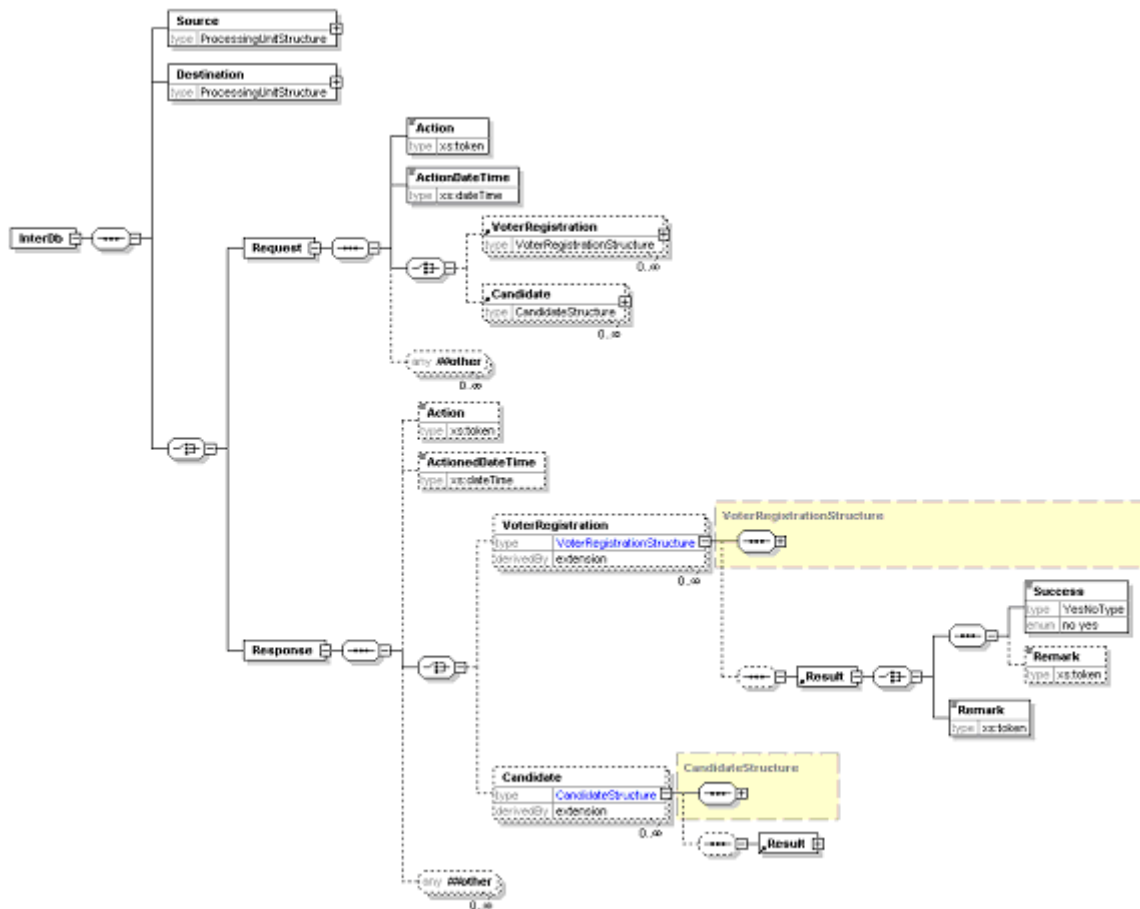
981

6.1.2 EML Additional Rules

Error Code	Error Description
3110-001	The allowed channels must not be declared at both the election event level and the contest level.

982

6.2 Inter Database (120)



983

984

6.2.1 Description of Schema

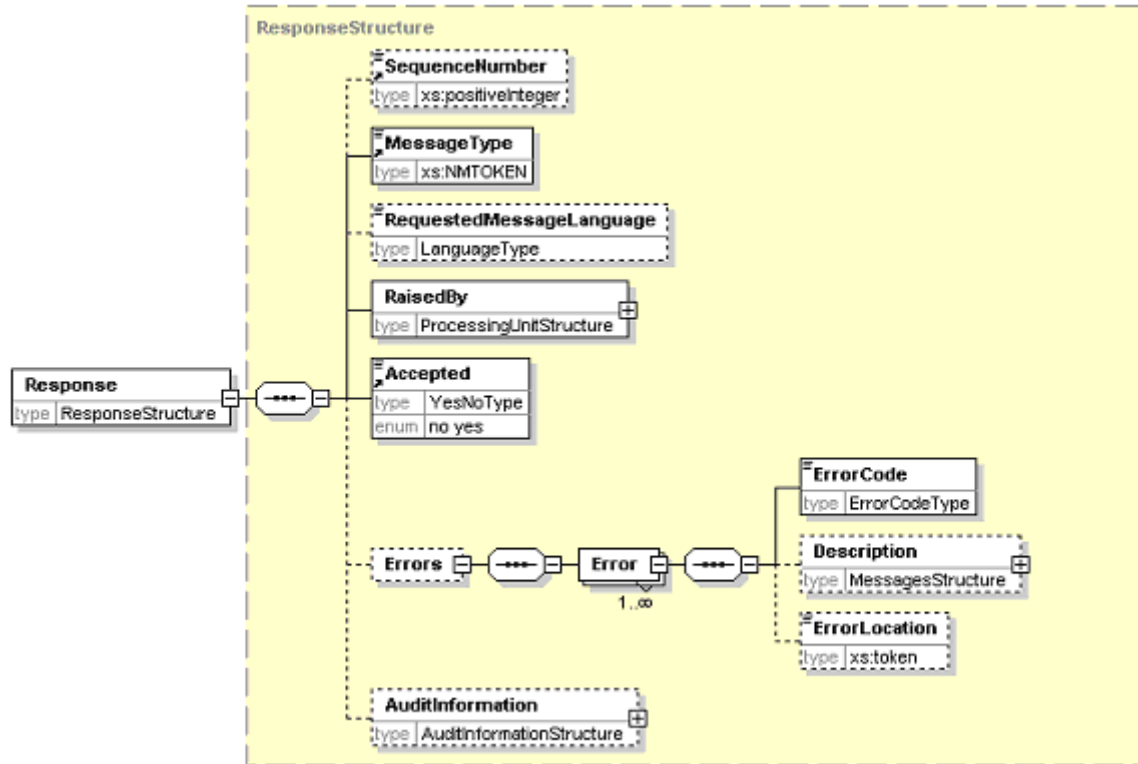
985 This schema is used for messages requesting services from other electoral registers or candidate
 986 databases. This can, for example, be used to de-dupe databases, check that a candidate in an
 987 election is only standing in one contest or confirm that the proposers of a candidate are included
 988 on a relevant electoral register. The schema is in two parts, so a message will be either a request
 989 or a response.

990 Both request and response start by identifying the source and destination as processing units.

991 A request has an Action code to identify the request being made. Possible actions include, but
 992 are not limited to, 'add', 'delete', 'replace', 'confirm' and 'return'. The code 'confirm' returns
 993 success if the person indicated is included in the database. The code 'return' causes the
 994 receiving the database to return the full information for the person identified. The

995 ActionDateTime is used to specify when the action should be carried out, and then there is an
 996 optional list of voters or candidates.
 997 A response has a similar structure. It could be that the Action code is no longer required, so this
 998 is now optional. The TransactionID must match that given in the request. The Result is either
 999 a binary Success flag or a remark or both. Again, there is a date and time, but in this case it is
 1000 the date and time at which the action took place.

1001 **6.3 Response (130)**



1002

1003 **6.3.1 Description of Schema**

1004 Some messages have a defined response message that provides useful information. However,
 1005 there is a need for a more general response, either to indicate that a message has been
 1006 accepted, or to indicate the reasons for rejection.

1007 The message includes information to identify the message to which the response applies (by
 1008 using the same transaction id in the EML element and, if necessary, including the sequence
 1009 number of the message to which the response applies in the Response element), with
 1010 information on the entity raising the message, whether the message was accepted and
 1011 information about the errors if it was not. The desired language for a display message can also be
 1012 included to allow a downstream processor to substitute a language-specific error message if
 1013 required.

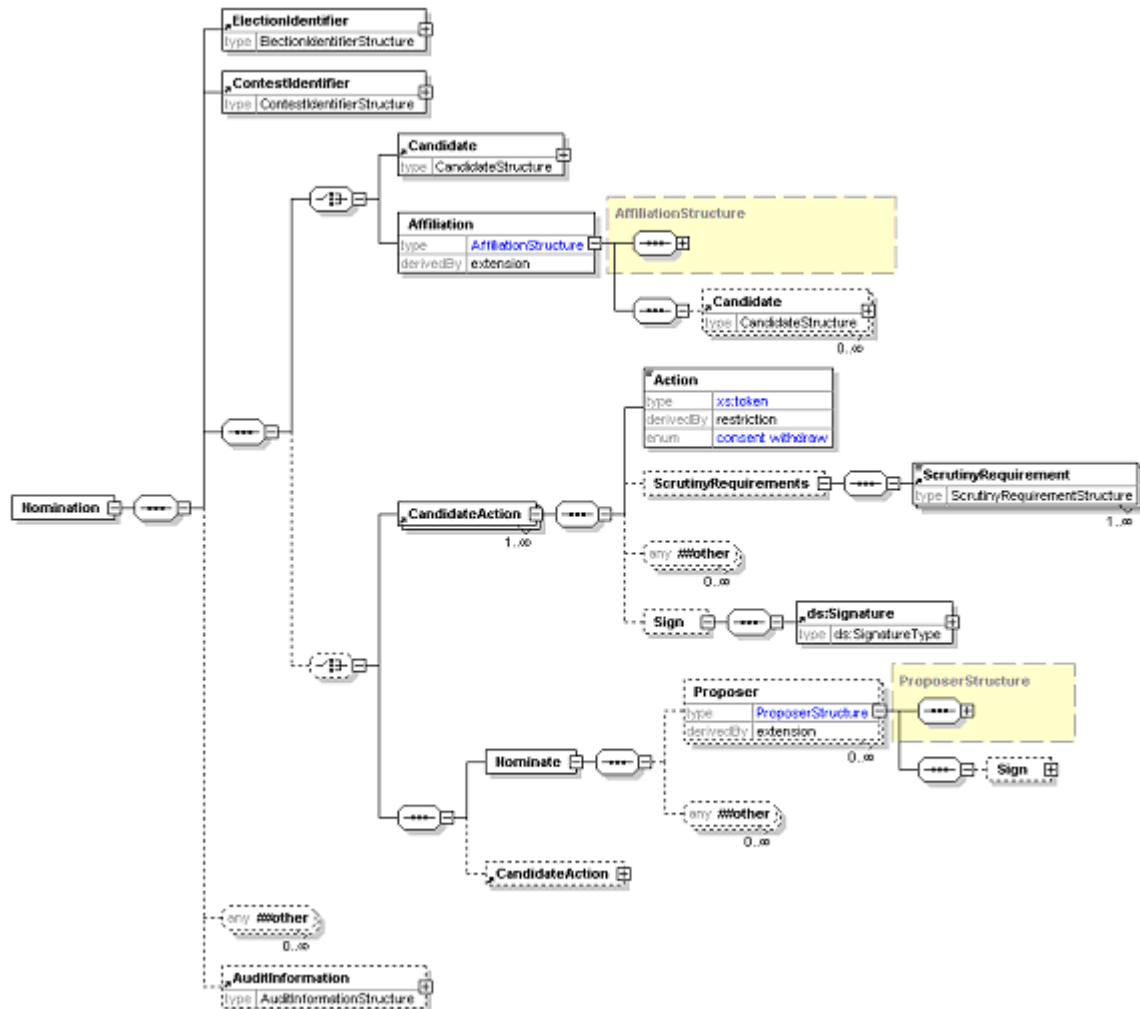
1014 If the message is reporting an error, the location of the error within the message can be indicated.
 1015 Usually, this will be an XPath to the location of the error. However, errors detected by an XML
 1016 parser may be in a different format, such as a line number.

1017 Note that a single response can be raised for a series of sub-messages with the same transaction
 1018 ID. This allows indication, for example, that a sub-message was missing.

6.3.2 Additional EML Rules

Error Code	Error Description
3130-001	If the message is not accepted, there must be an Errors element

6.4 Candidate Nomination (210)



1021

1022

6.4.1 Description of Schema

1023 Messages conforming to this schema are used for four purposes:

- 1024 1. nominating candidates in an election;
- 1025 2. nominating parties in an election;
- 1026 3. consenting to be nominated; or
- 1027 4. withdrawing a nomination.

1028 Candidate consent can be combined in a single message with a nomination of the candidate or
 1029 party or sent separately.

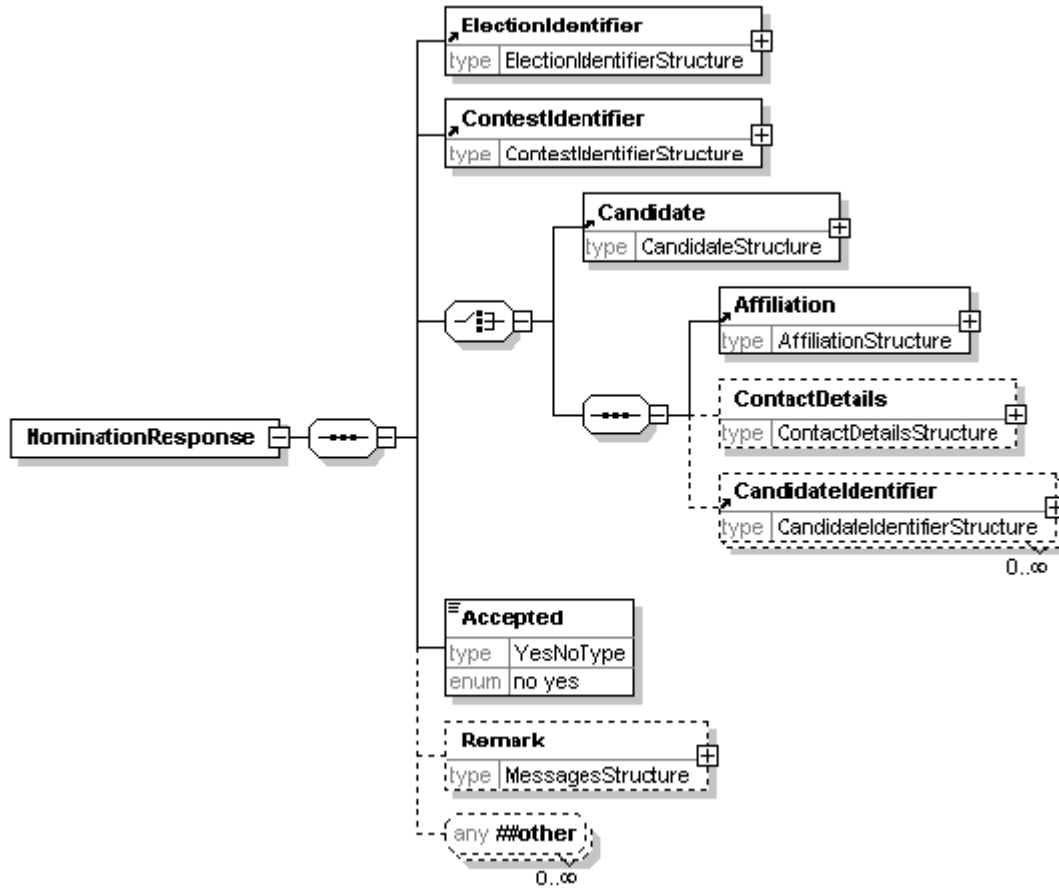
1030 Note that the message does not cover nomination for referendums.

1031 The election and contest must be specified. When a candidate is being nominated, there must be
 1032 information about the candidate and one or more proposers. The candidate must supply a name.
 1033 Optionally, the candidate can provide contact information, an affiliation (e.g. a political party) and
 1034 textual profiles and election statements. These two items use the `MessageStructure` to allow
 1035 text in multiple languages. There is also scope to add additional information defined by the
 1036 election organiser.

1037 The proposers use the standard proposer declaration with a mandatory name and optional
1038 contact information and job title. Again, additional information can be required.
1039 If a party is being nominated, the primary proposer will be the contact. Information on candidates
1040 in a party list can also be provided.
1041 Candidates, either individuals or on a party list, must define the action being taken and may
1042 provide scrutiny information. The scrutiny requirements indicate how the candidate has met any
1043 conditions for standing in this election. This could include indicating that a deposit has been paid
1044 or providing a reference to prove that he or she lives in the appropriate area. This information can
1045 be signed independently of the complete message.

1046

6.5 Response to Nomination (220)



1047

1048

6.5.1 Description of Schema

1049 This message is sent from the election organiser to the candidate or nomination authority for a
 1050 party to say whether the nomination has been accepted. Along with the acceptance information
 1051 and the basic information of election, contest and party and candidate names, the candidate's
 1052 contact details and affiliation can be included and a remark explaining the decision.

1053

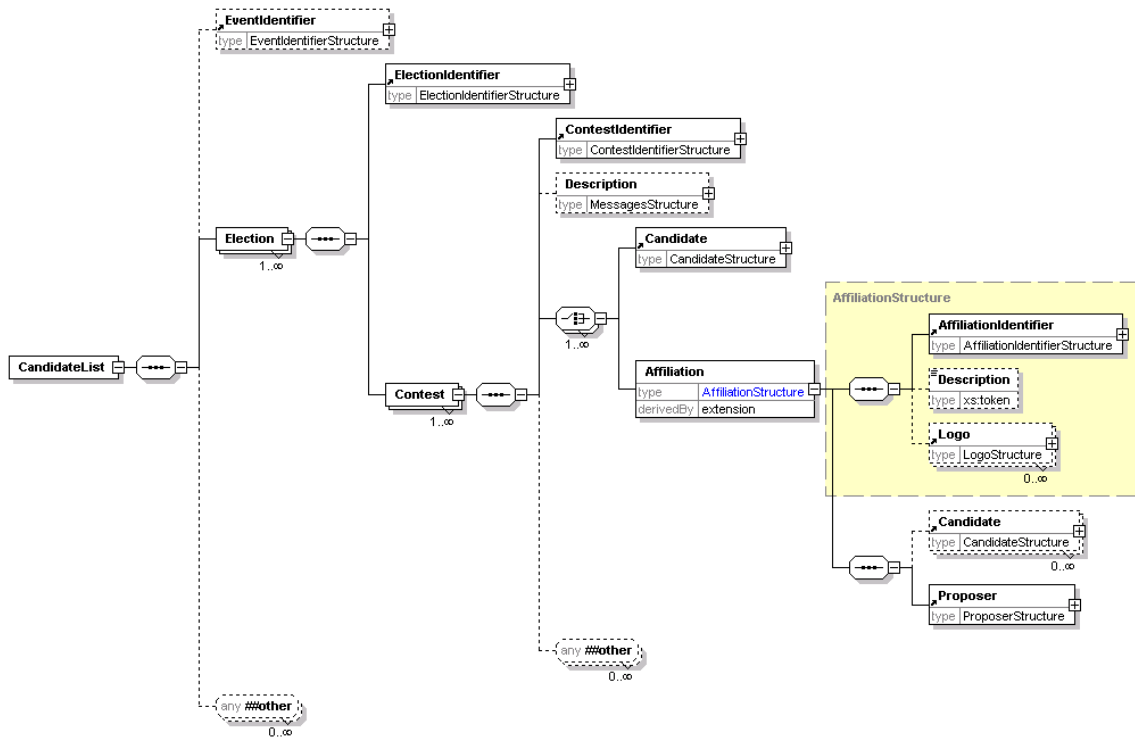
6.5.2 EML Additional Rules

Error Code	Error Description
3220-001	If the nomination has not been accepted, a reason for rejection is required in the Remark element

1054

1055

6.6 Candidate List (230)



1056

1057

6.6.1 Description of Schema

1058

This schema is used for messages transferring candidate lists for specified contests. It has the

1059

election event, election and contest identifiers, and optionally the event dates and a contest

1060

description. The list itself can be either a list of candidates, each with a name, address, optional

1061

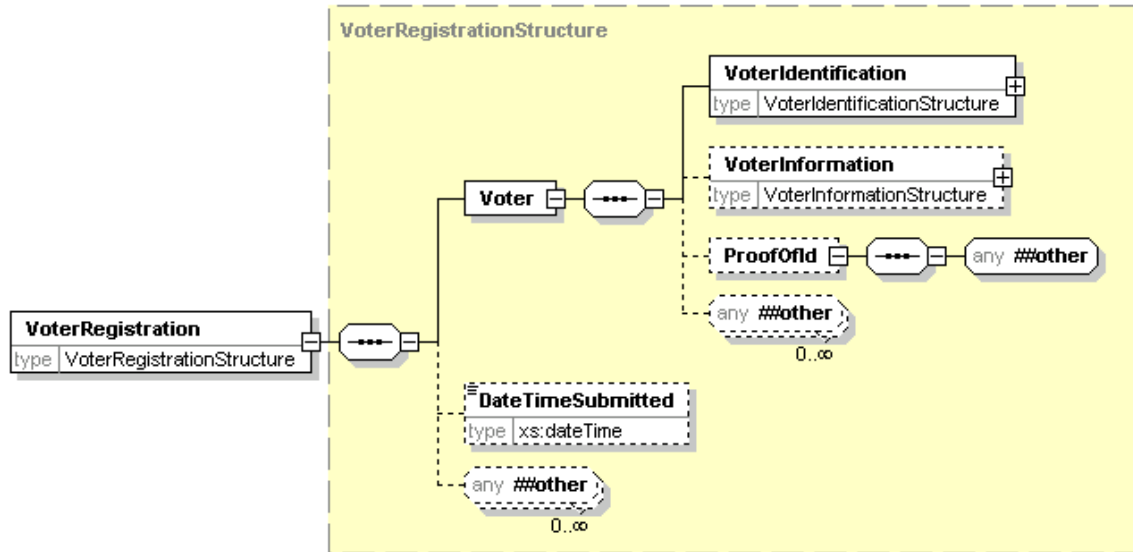
affiliation and other useful data, or a list of parties. In the latter case, contact information and a list

1062

of candidates under a party list system can also be included.

1063

6.7 Voter Registration (310)



1064

6.7.1 Description of Schema

1065

1066 This schema is used for messages registering voters. It uses the
 1067 VoterIdentificationStructure. The VoterInformationStructure is used unchanged.
 1068 Proof of ID can be provided.

1069 There is the facility for the transmission channel (for example a trusted web site) to add the time
 1070 of transmission.

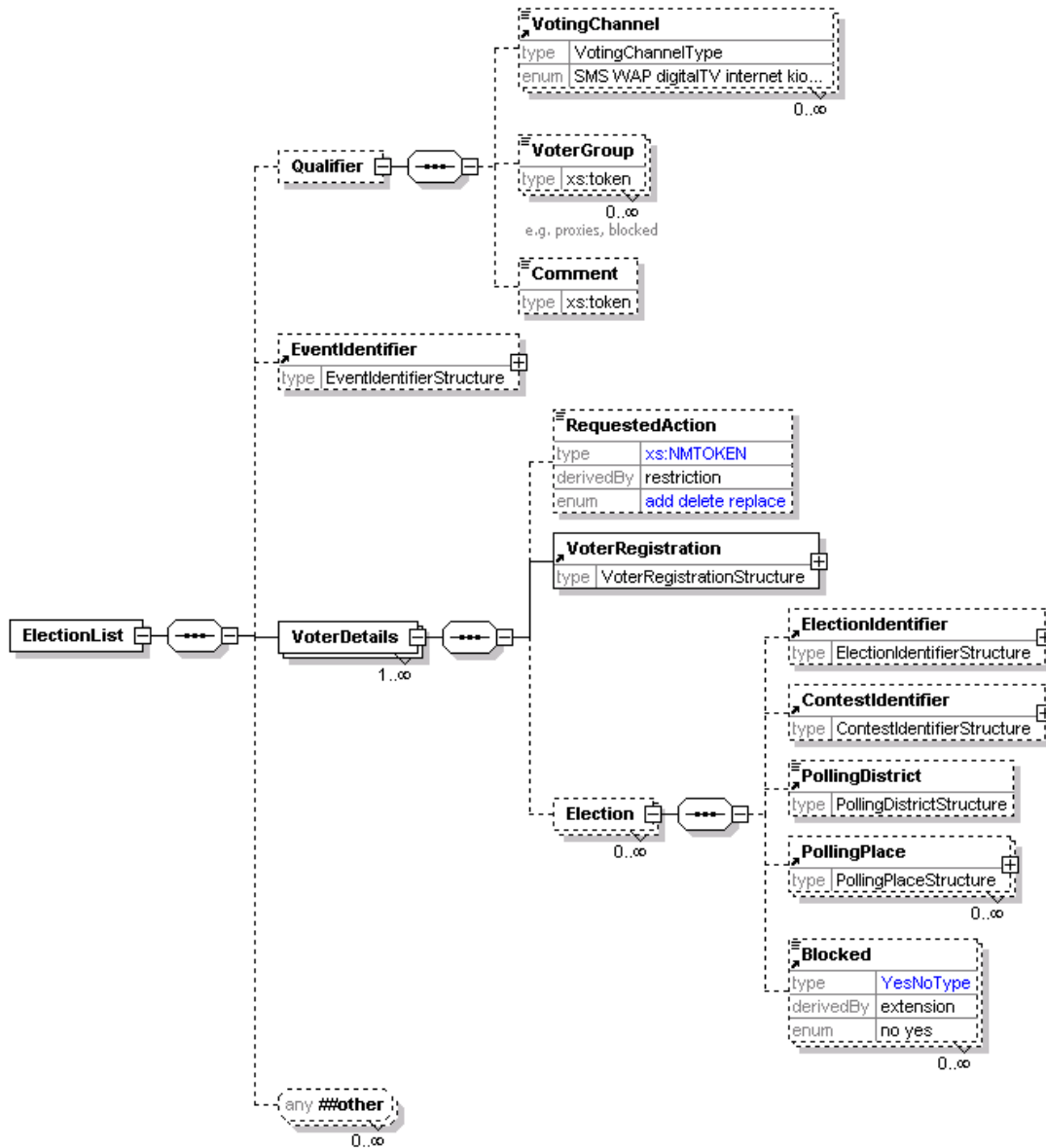
1071

6.7.2 EML Additional Rules

Error Code	Error Description
3310-001	The Proxy must not have a VToken or VTokenQualified

1072

6.8 Election List (330)



1073

Element	Attribute	Type	Use	Comment
Blocked	Reason	xs:token	optional	
	Channel	VotingChannelType	optional	

1074

6.8.1 Description of Schema

1075 This schema is primarily used for messages communicating the list of eligible voters for an
 1076 election or set of elections. It can also be used for any other purpose that involves the transfer of
 1077 voter information where the 120-interDB message is not appropriate. Partial lists are allowed
 1078 through the use of the `Qualifier`, `Blocked` and `VoterGroup` elements. So, for example, a list
 1079 of postal voters or a list of proxies can be produced.

1080 For each voter, information is provided about the voter himself or herself, and optionally about the
1081 elections and contests in which the voter can participate. The information about the voter is the
1082 same as that defined in the 310-voterregistration schema. Added to this can be a list of elections,
1083 each identifying the election and the contest in which this voter is eligible to vote, and the polling
1084 places available. Any voter can have a `Blocked` element set against them with an optional
1085 `Reason` and `Channel`. This allows a list to be produced for a polling place indicating those that
1086 have already voted by another means or who have registered for a postal vote. It can also be
1087 used if the complete electoral register must be transmitted (perhaps as a fraud prevention
1088 measure) but some people on the register are no longer eligible to vote.

1089

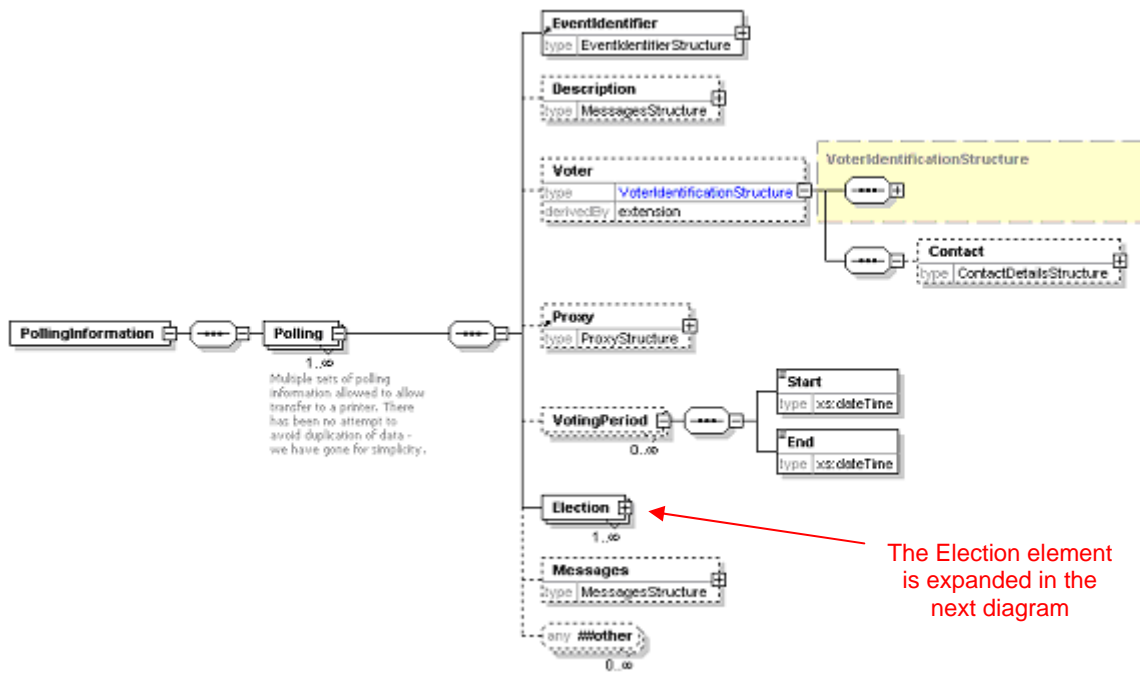
6.8.2 EML Additional Rules

Error Code	Error Description
3330-002	The polling district can only be included for either the voter or the election.
3330-003	The polling place can only be included for either the voter or the election.

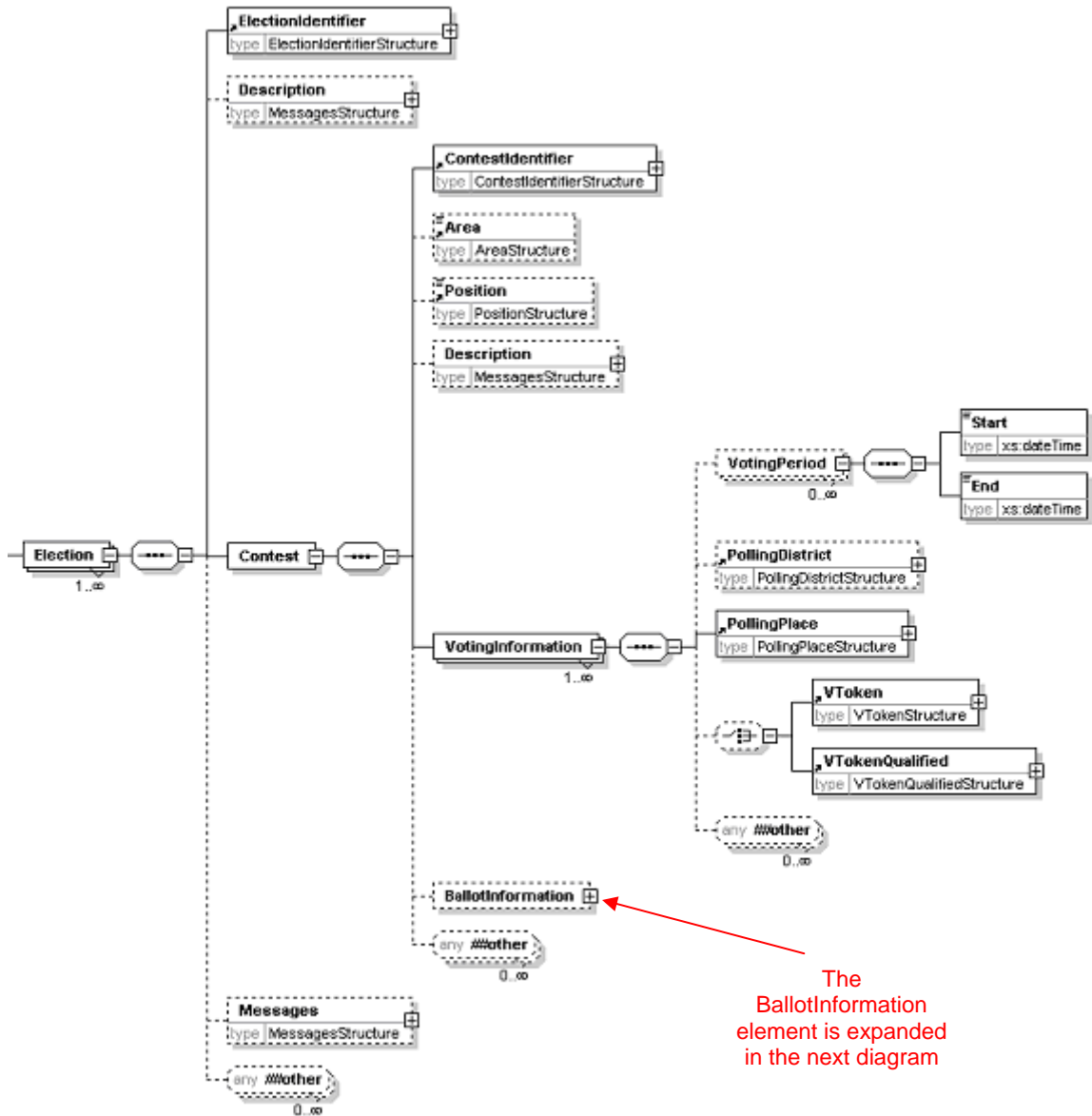
1090

1091
1092

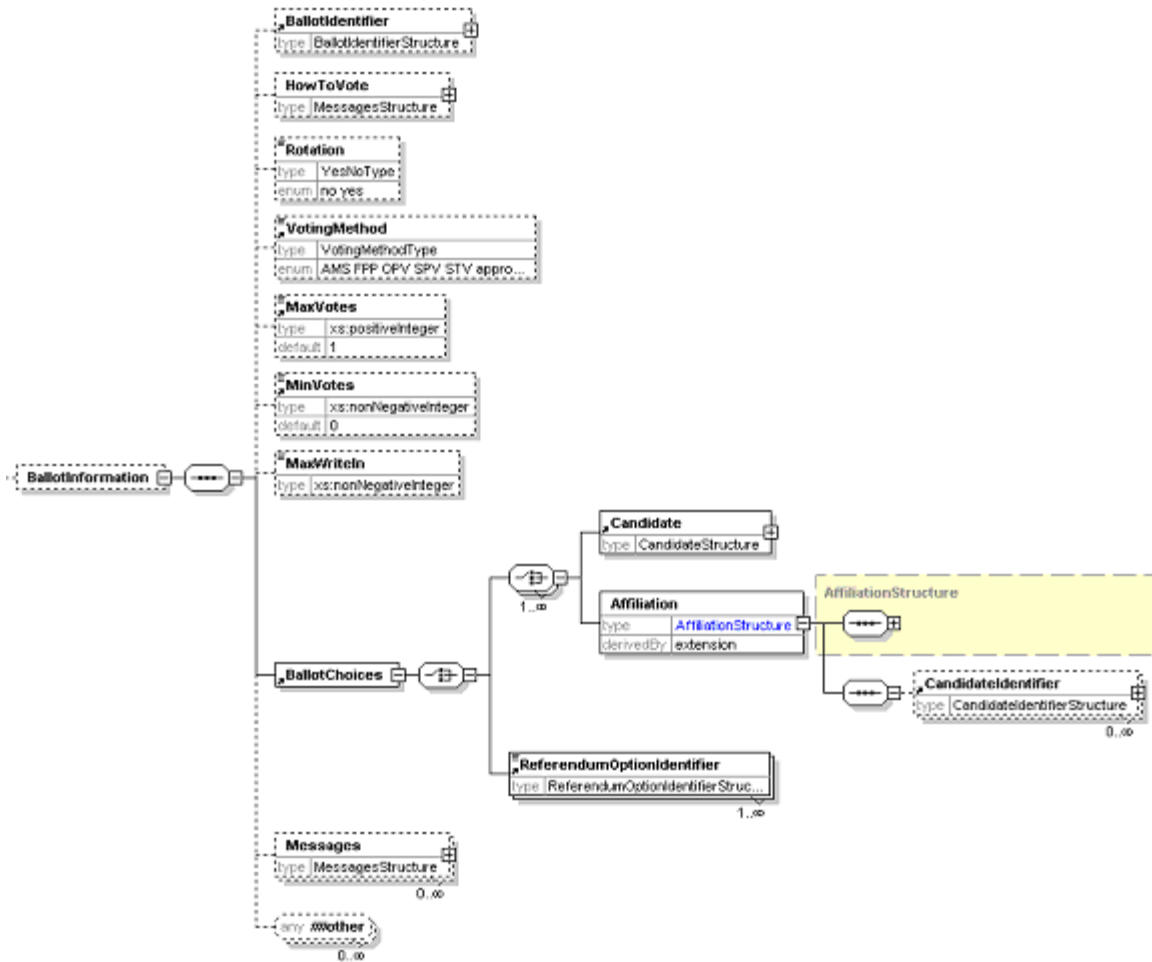
6.9 Polling Information (340)



1093



The BallotInformation element is expanded in the next diagram



1095

Element	Attribute	Type	Use	Comment
BallotChoices	Contested	YesNoType	optional	
VotingPeriod	DisplayOrder	xs:positiveInteger		
VotingInformation	DisplayOrder	xs:positiveInteger	optional	
	Channel	VotingChannelType	optional	

1096

6.9.1 Description of Schema

1097

The polling information message defined by this schema is sent to a voter to provide details of how to vote. It can also be sent to a distributor, so multiple sets of information are allowed. In the case of SMS voting, ballot information may also be required, so this can be included. Either one or several sets of polling information may be sent to each voter for any election event.

1101

Some information about the voter and any proxy may be included, for example to print on a polling card. This can also include a mailing address for a distributor to use.

1103

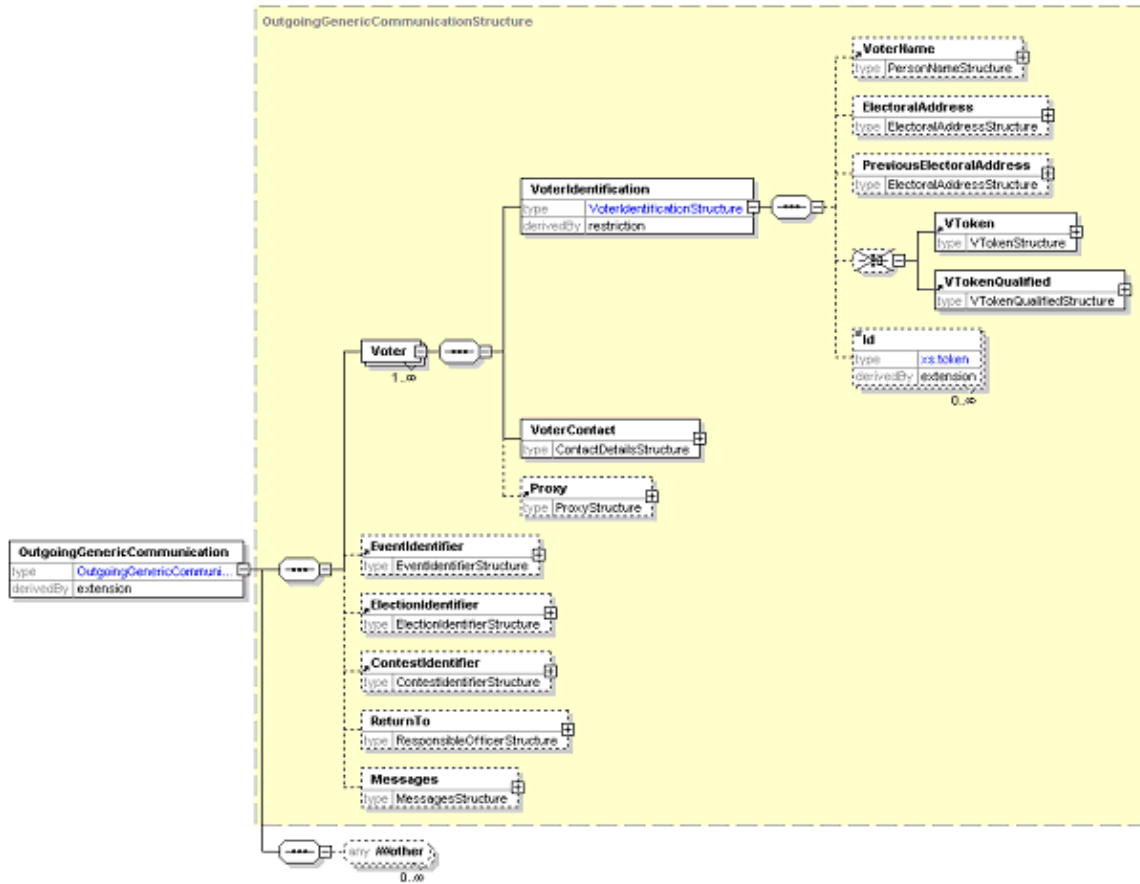
Information about the elections and contests is included for the benefit of the voter. For each voting channel, this includes where to vote (which could be a polling station, address for postal voting, URL for Internet voting, phone number for SMS voting etc) and the times that votes can be placed. Use of the `DisplayOrder` attribute on these allows the display or printing of information to be tailored from within the XML message.

1107

1108 Ballot information may be included if required. This is a subset of the information defined in the
1109 410-ballots schema. In this case, it is likely that the short code for a candidate will be used for
1110 SMS voting. It is possible that an expected response code will be provided as well. Both the short
1111 code and expected response code may be tailored to the individual voter as part of a security
1112 mechanism.

1113

6.10 Outgoing Generic Communication (350a)



1114

1115

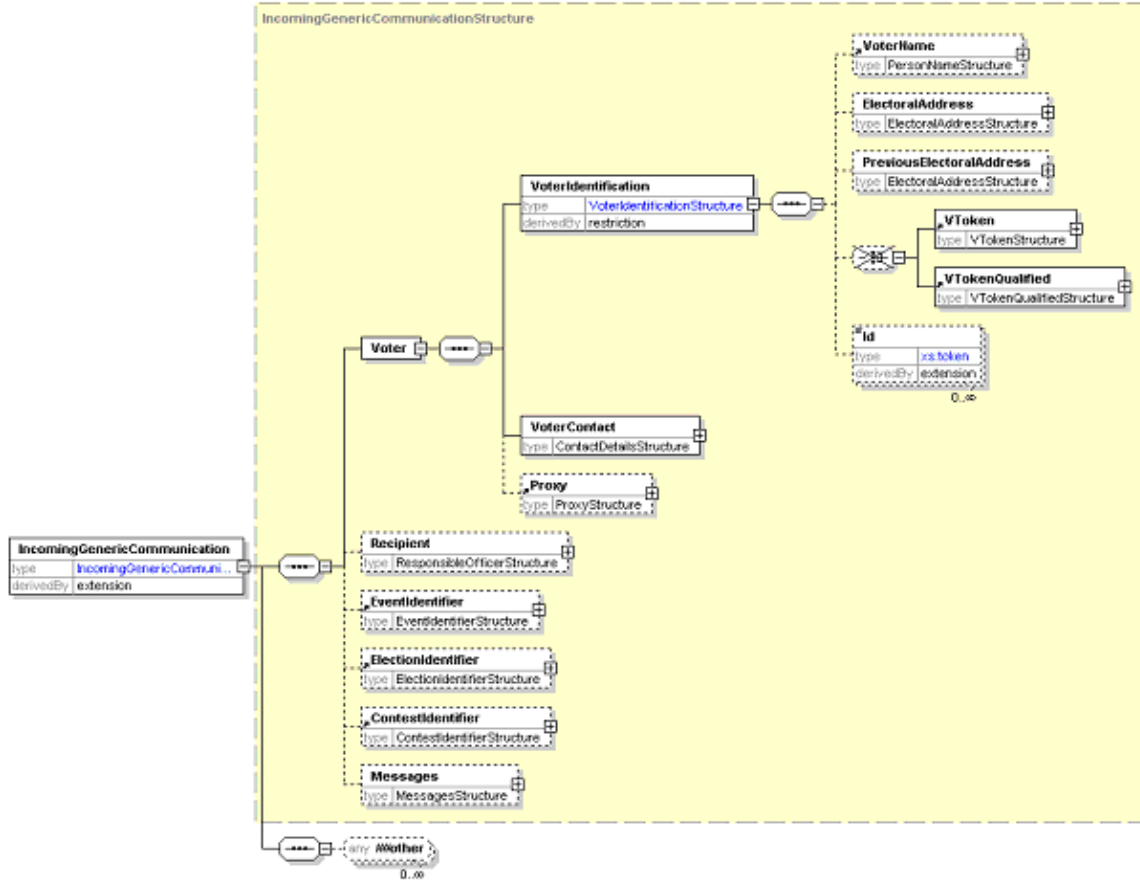
6.10.1 Description of Schema

1116 This schema provides a common structure for communications to the voter. Individual message
1117 types can be designed based on extensions of this schema.

1118 The voter must always provide a name and might provide one or more identifiers. These are
1119 shown as a restriction of the VoterIdentificationStructure, the restriction being to leave
1120 out the VToken and VTokenQualified. Contact details are also required, and it is expected that
1121 at least one of the allowed contact methods will be included. Inclusion of proxy information is
1122 optional.

1123 The identifiers for the election event, election and contest are optional. There is then an element
1124 in which a message can be placed in any of several different formats according to the channel
1125 being used.

6.11 Incoming Generic Communication (350b)



1127

1128

6.11.1 Description of Schema

1129

This schema provides a common structure for communications from the voter. Individual message types can be designed based on extensions of this schema.

1130

1131

The voter's name must be provided and there can be one or more identifiers. These are shown as a restriction of the VoterIdentificationStructure, the restriction being to leave out the VToken and VTokenQualified. Contact details are also required, and it is expected that at least one of the allowed contact methods will be included. Inclusion of proxy information is optional.

1132

1133

1134

1135

The identifiers for the election event, election and contest are optional. There is then an element in which a message can be placed in any of several different formats according to the channel being used.

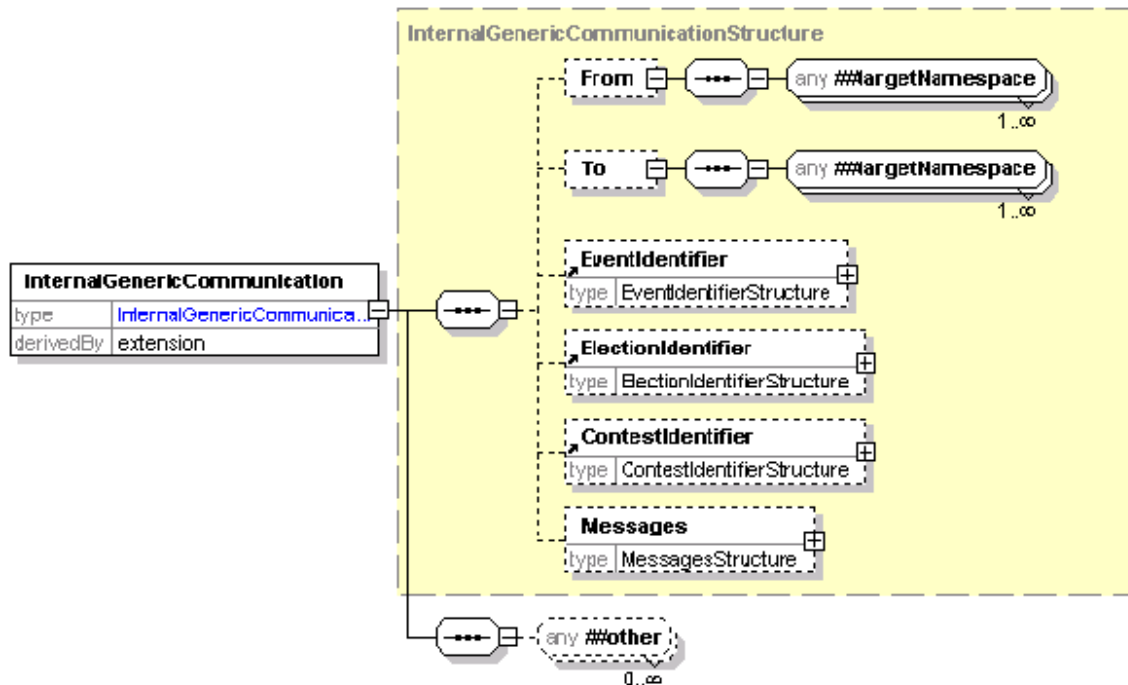
1136

1137

1138

1139

6.12 Internal Generic (350c)



1140

1141

6.12.1 Description of Schema

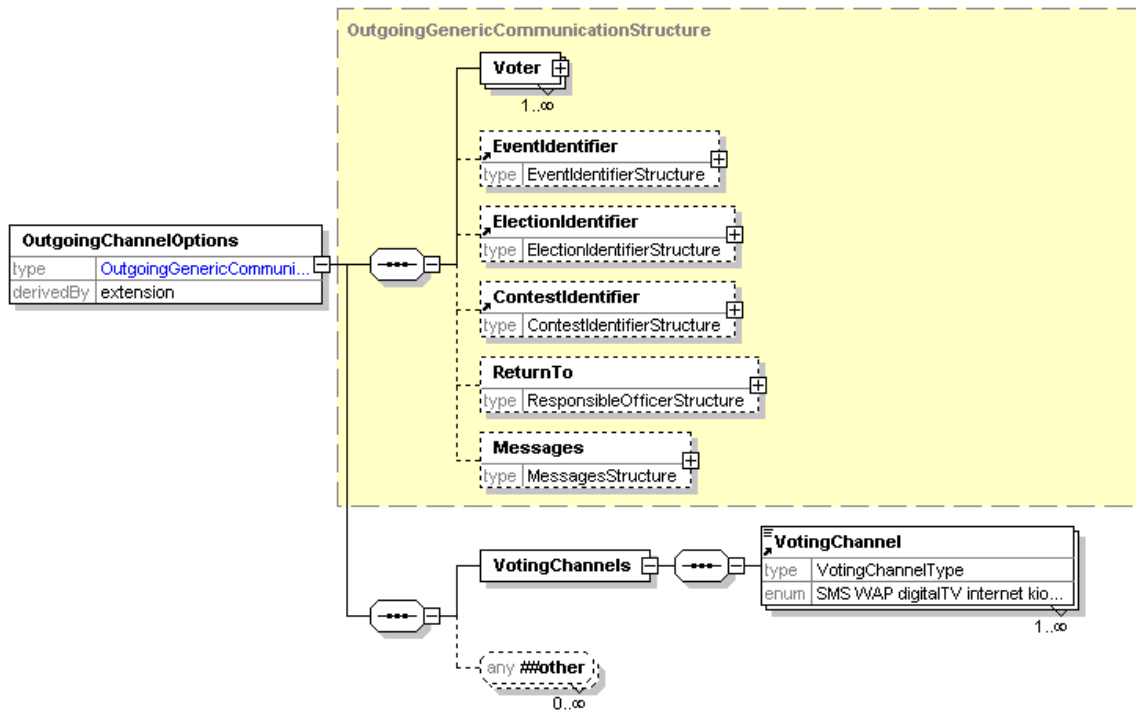
1142 This schema provides a common structure for communications between those involved in
1143 organizing an election. Individual message types can be designed based on extensions of this
1144 schema.

1145 There are optional `To` and `From` elements, which can contain any EML elements. It is expected
1146 that these will usually be a responsible officer or a person's name and contact information.

1147 The identifiers for the election event, election and contest are optional. There is then an element
1148 in which a message can be placed in any of several different formats according to the channel
1149 being used.

1150

6.13 Outgoing Channel Options (360a)



1151

1152

6.13.1 Description of Schema

1153

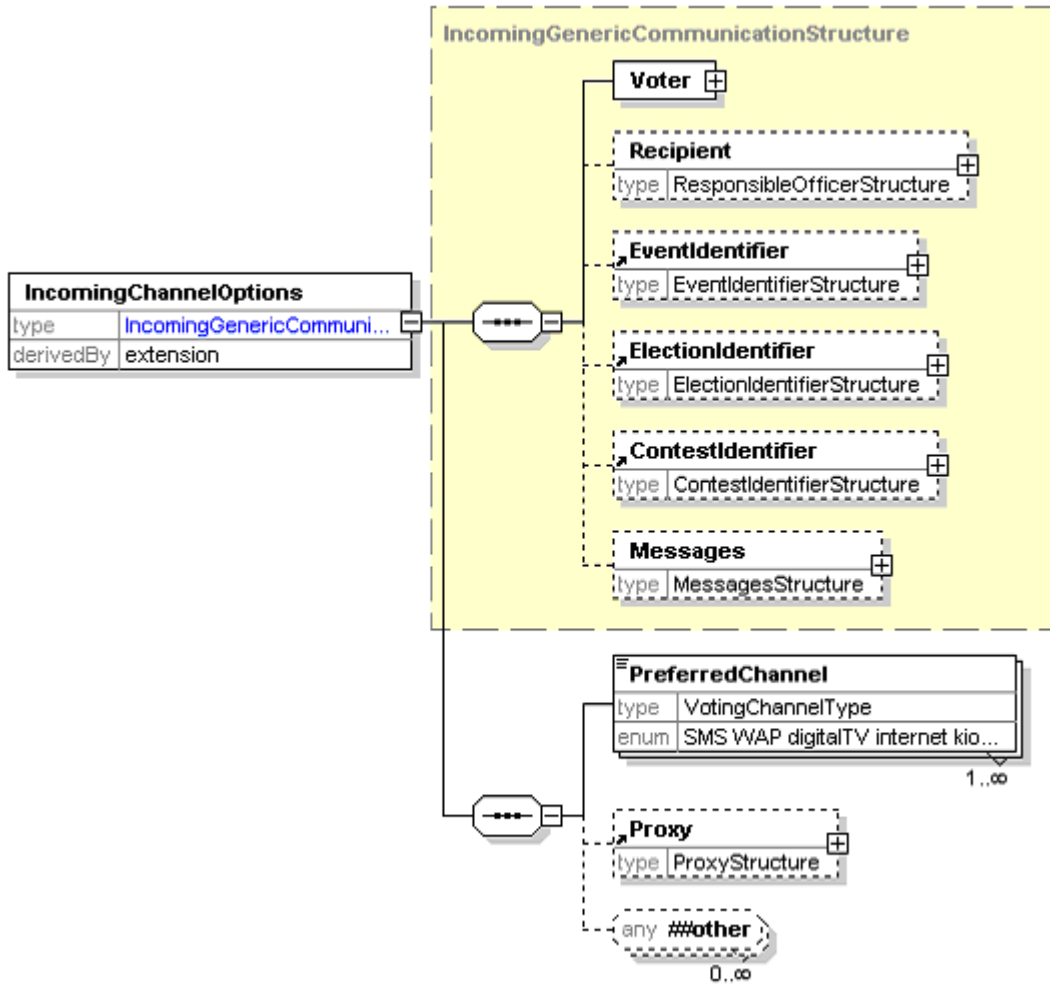
This schema is used for messages offering a set of voting channels to the voter. It is an extension of schema 350a. A message conforming to this schema will include a list of allowed channels, either to request general preferences or for a specific election event or election within the event.

1154

1155

1156

6.14 Incoming Channel Options (360b)



1157

1158

6.14.1 Description of Schema

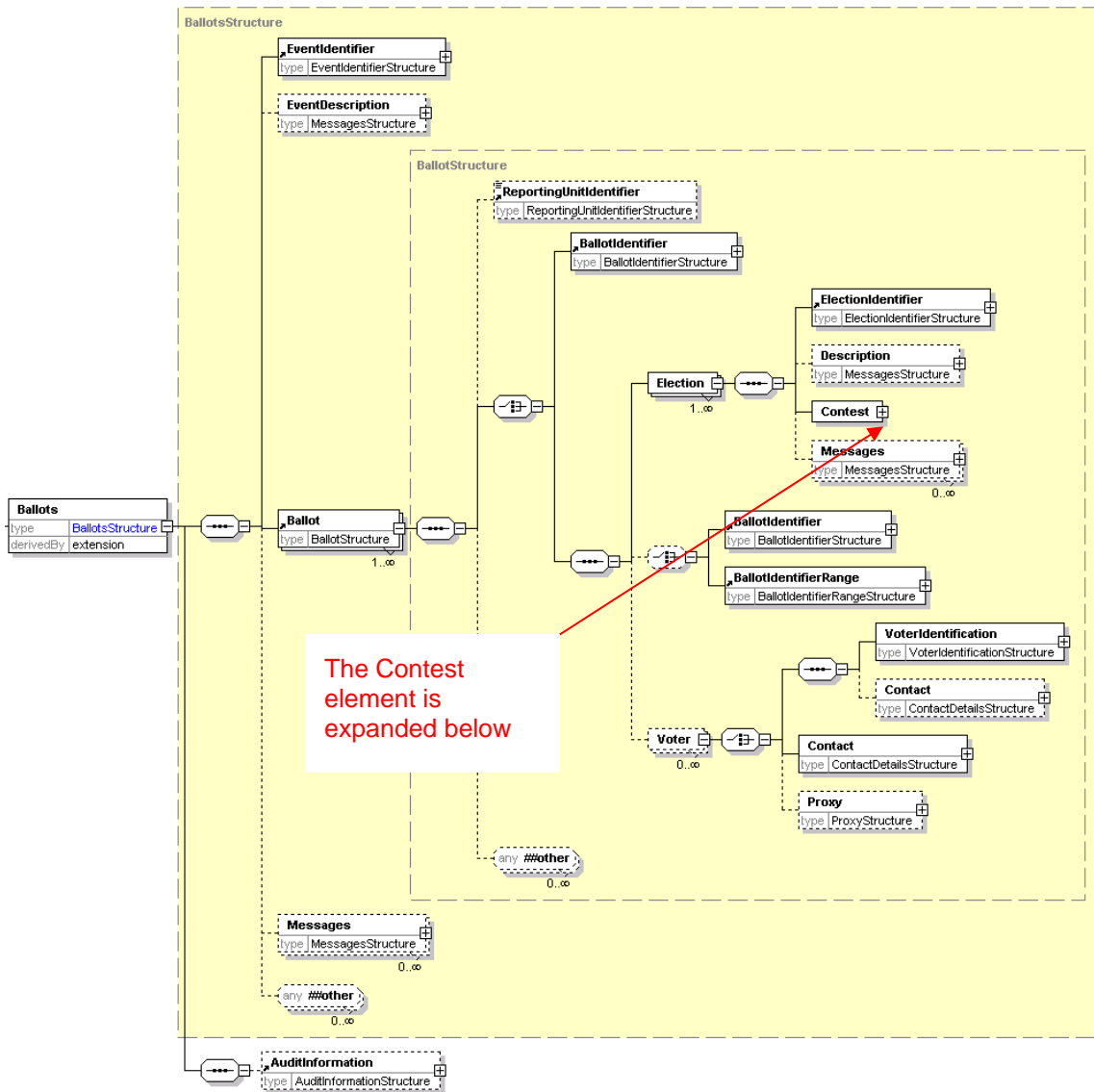
1159 This schema is used for messages indicating one or more preferred voting channels. It may be
1160 sent in response to 360a or as an unsolicited message if this is supported within the relevant
1161 jurisdiction.

1162 It is an extension of schema 350b, and indicates a preferred voting channels in order of
1163 preference.

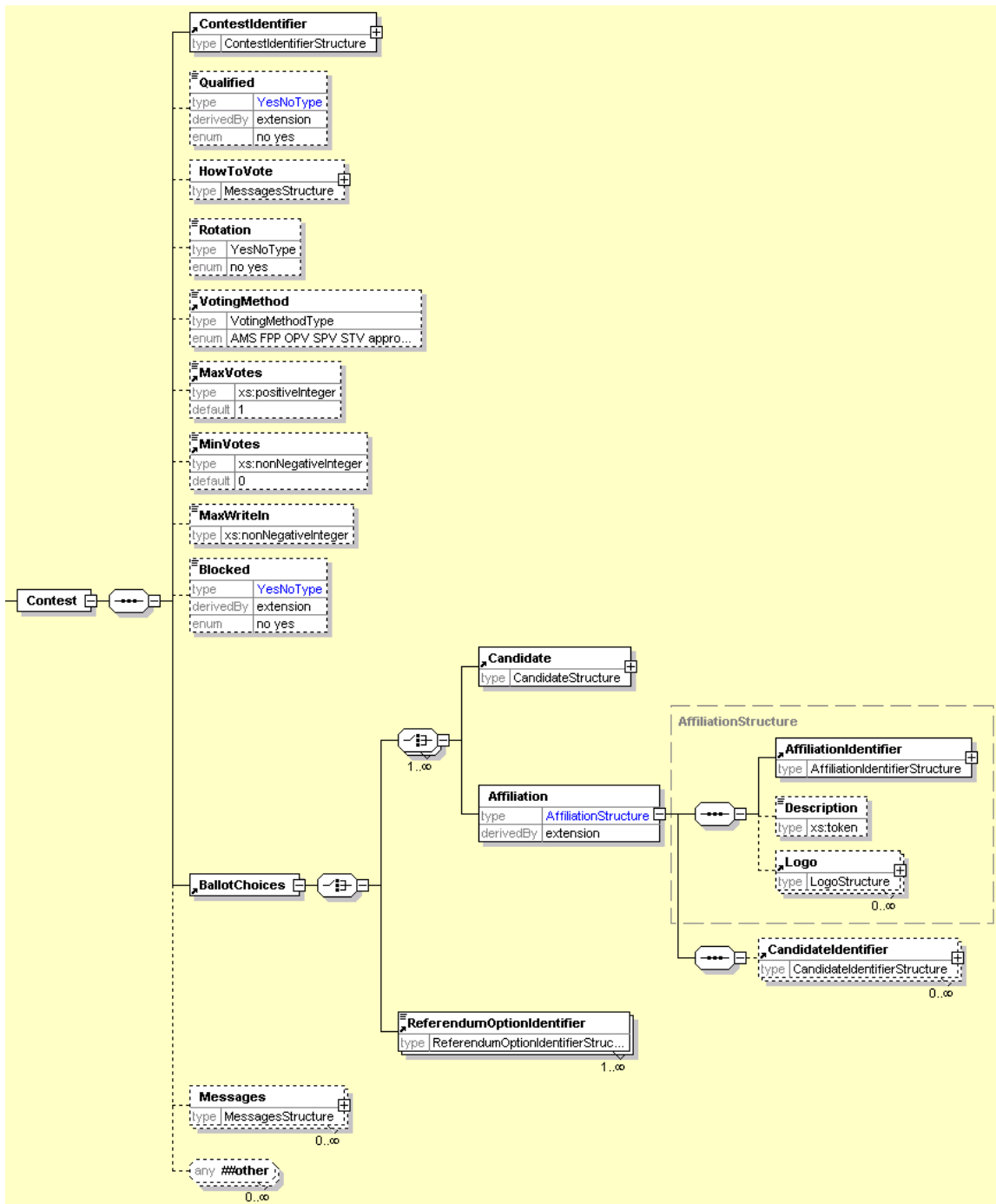
1164

6.15 Ballots (410)

1165



1166



1167
1168

Element	Attribute	Type	Use	Comment
Contest	DisplayOrder	xs:positiveInteger	optional	
	Completed	YesNoType	optional	
Qualified	Reason	xs:token	required	
Blocked	Reason	xs:token	optional	
	Channel	VotingChannelType	optional	

BallotChoices	Contested	YesNoType	optional	
---------------	-----------	-----------	----------	--

1169

6.15.1 Description of Schema

1170 This schema is used for messages presenting the ballot to the voter or providing a distributor with
1171 the information required to print or display multiple ballots.

1172 In the simplest case, a distributor can be sent information about the election event and a ballot ID
1173 to indicate the ballot to print.

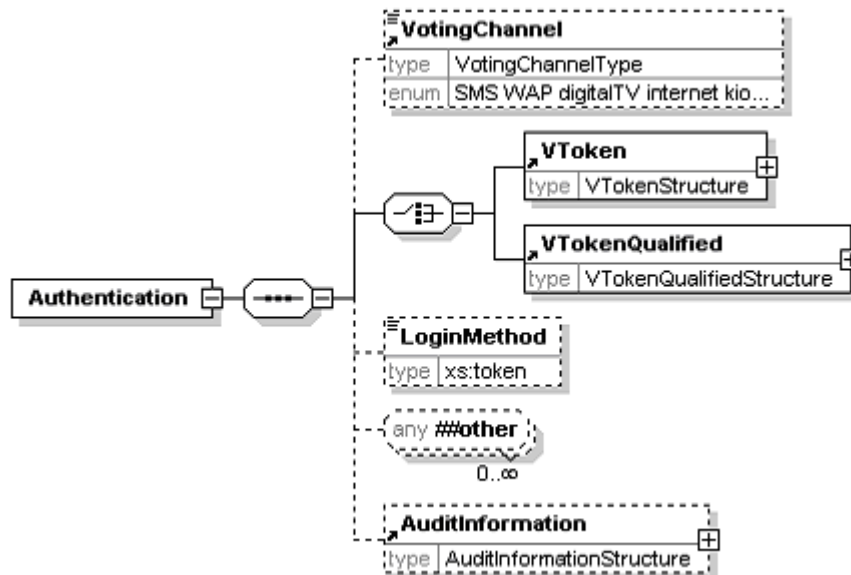
1174 In other cases, the full information about the elections will be sent with either an election rule ID to
1175 identify the voters to whom that election applies or a set of voter names and contact information.
1176 If the ballot is being sent directly to the voter, this information is not required. Since printed ballot
1177 papers are likely to require a unique identifier printed on them, the range to be used for each
1178 ballot type can be defined.

1179 The election information starts with the election identifier and description. This is followed by
1180 information related to the contest and any other messages and information required. Note that
1181 each voter can only vote in a single contest per election, so only a single iteration of the `Contest`
1182 element is required.

1183 A contest must have its identifier and a list of choices for which the voter can vote. A voter can
1184 vote for a candidate, an affiliation (possibly with a list of candidates) or a referendum proposal.
1185 There is also a set of optional information that will be required in some circumstances. Some of
1186 this is for display to the voter (`HowToVote` and `Messages`) and some controls the ballot and
1187 voting process (`Rotation`, `VotingMethod`, `MaxVotes`, `MinVotes`, `MaxWriteIn`).

1188

6.16 Authentication (420)



1189

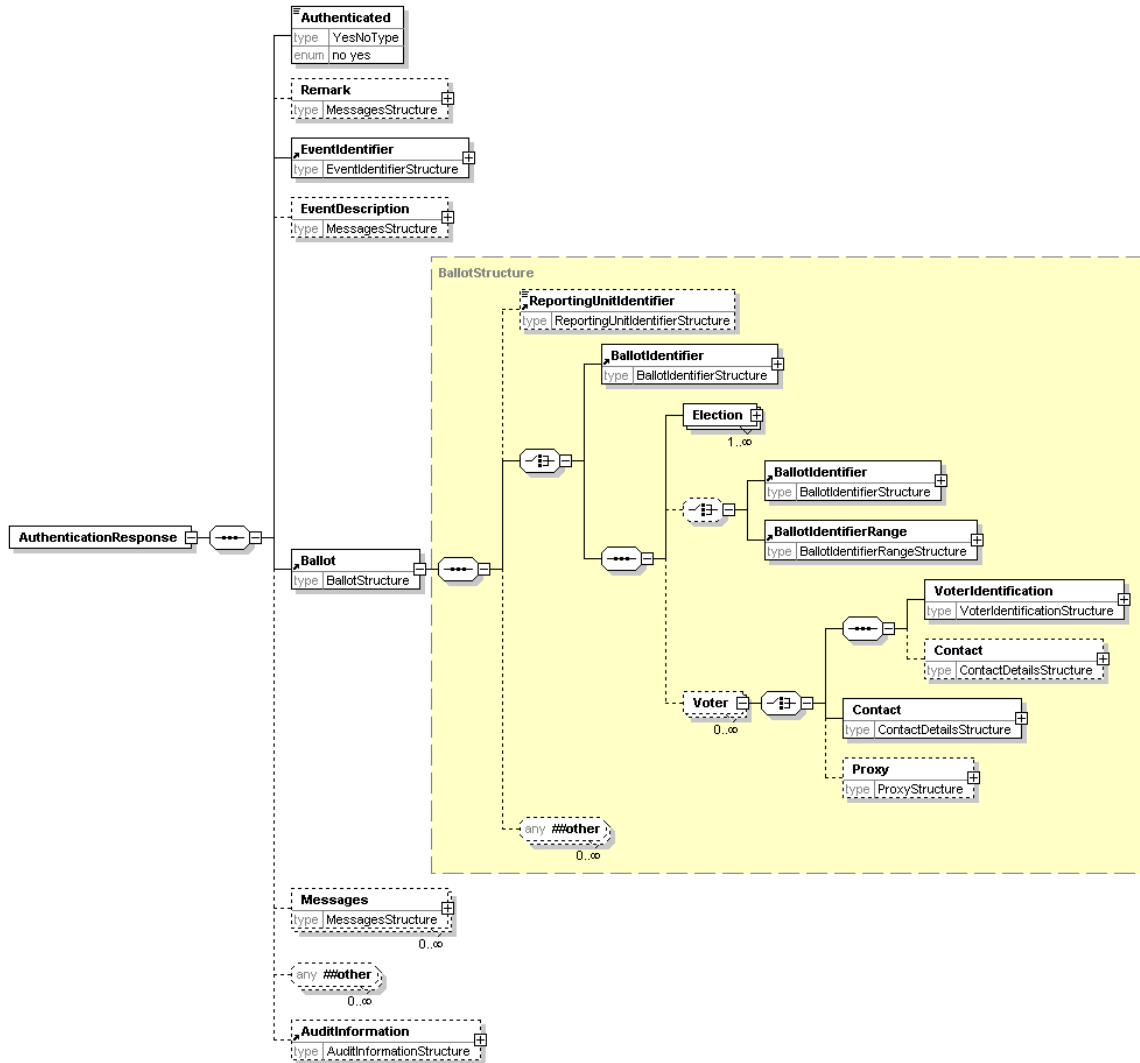
1190

6.16.1 Description of Schema

1191 The authentication message defined by this schema may be used to authenticate a user during
1192 the voting process. Depending on the type of election, a voter's authentication may be required.
1193 The precise mechanism used may be channel and implementation specific, and can be indicated
1194 using the `LoginMethod` element. In some public elections the voter must be anonymous, in
1195 which case the prime method used for authentication is the voting token. The voting token can
1196 contain the information required to authenticate the voter's right to vote in a specific election or
1197 contest, without revealing the identity of the person voting. Either the `VToken` or the
1198 `VTokenQualified` must always be present in an authenticated message. The `VotingChannel`
1199 identifies the channel by which the voter has been authenticated.

1200

6.17 Authentication Response (430)



1201

Element	Attribute	Type	Use	Comment
Contest	DisplayOrder	xs:positiveInteger	optional	
	Completed	YesNoType	optional	
Qualified	Reason	xs:token	required	
Blocked	Reason	xs:token	optional	
	Channel	VotingChannelType	optional	
BallotChoices	Contested	YesNoType	optional	

1202

6.17.1 Description of Schema

1203

The authentication response is a response to message 420. It indicates whether authentication

1204

succeeded using the `Authenticated` element, and might also present the ballot to the user.

1205

This is a restriction of the `Ballots` element to allow only a single ballot per reply.

1206

6.18 Cast Vote (440)

Element	Attribute	Type	Use	Comment
CastVote	Spoilt	xs:token	optional	
Contest	Spoilt	xs:token	optional	
Selection	Value	VotingValueType	optional	
	ShortCode	ShortCodeType	optional	
Candidate	Value	VotingValueType	optional	

1207

6.18.1 Description of Schema

1208 This message represents a cast vote, which comprises an optional voting token (which may be
 1209 qualified) to ensure that the vote is being cast by an authorized voter, information about the
 1210 election event, each election within the event and the vote or votes being cast in each election, an
 1211 optional reference to the ballot used, the identifier of the reporting unit if applicable and a set of
 1212 optional audit information.

1213 For each election, the contest is identified, with a set of, possibly sealed, votes. The votes are
 1214 sealed at this level if there is a chance that the message will be divided, for example so that votes
 1215 in different elections can be counted in different locations.

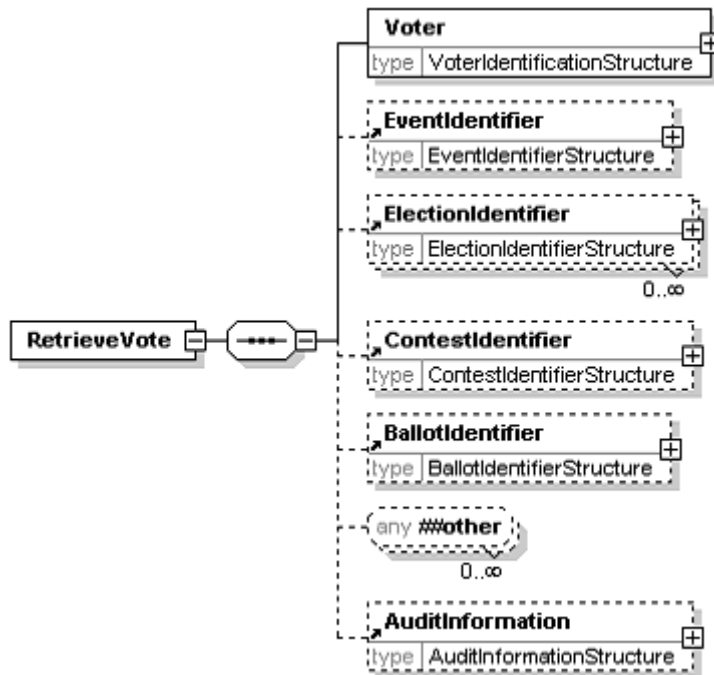
1216 The selection of candidates, affiliations or a referendum option uses the `Selection` element. If
 1217 an election requires preferences to be expressed between candidates, multiple `Selection`
 1218 elements will be used, each of these having a suitable `Value` attribute. Some elections allow
 1219 write-in candidates, and these are handled in a similar way. Preferences can also be expressed
 1220 between parties, using the `Affiliation` element. The `PersonalIdentifier` is used in
 1221 elections where each voter is given an individual list of codes to indicate their selection.

1222 A more complex election might request the voter to vote for a party, then express a preferences
 1223 of candidates within the party. In this case, the `Affiliation` element is used to indicate the
 1224 party selected, and multiple `CandidateIdentifier` elements, each with a `Value` attribute are
 1225 used to express candidate preferences.

1226 Preferences in a referendum are handled in the same way as they are for candidates and parties,
 1227 using the `ReferendumOptionIdentifier`.

1228

6.19 Retrieve Vote (445)



1229

1230

6.19.1 Description of Schema

1231

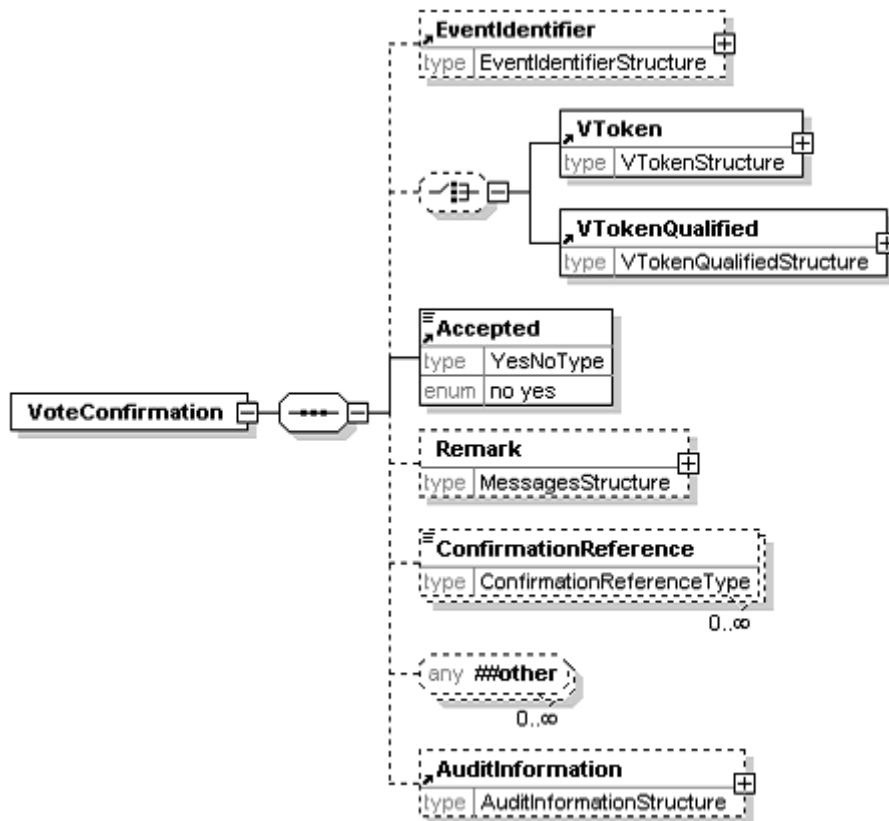
This message is used for voting systems that include a pre-ballot box from which votes can be retrieved and amended before being counted. When a vote is retrieved, it should be deleted from the pre-ballot box.

1232

1233

1234

6.20 Vote Confirmation (450)



1235

1236

6.20.1 Description of Schema

1237

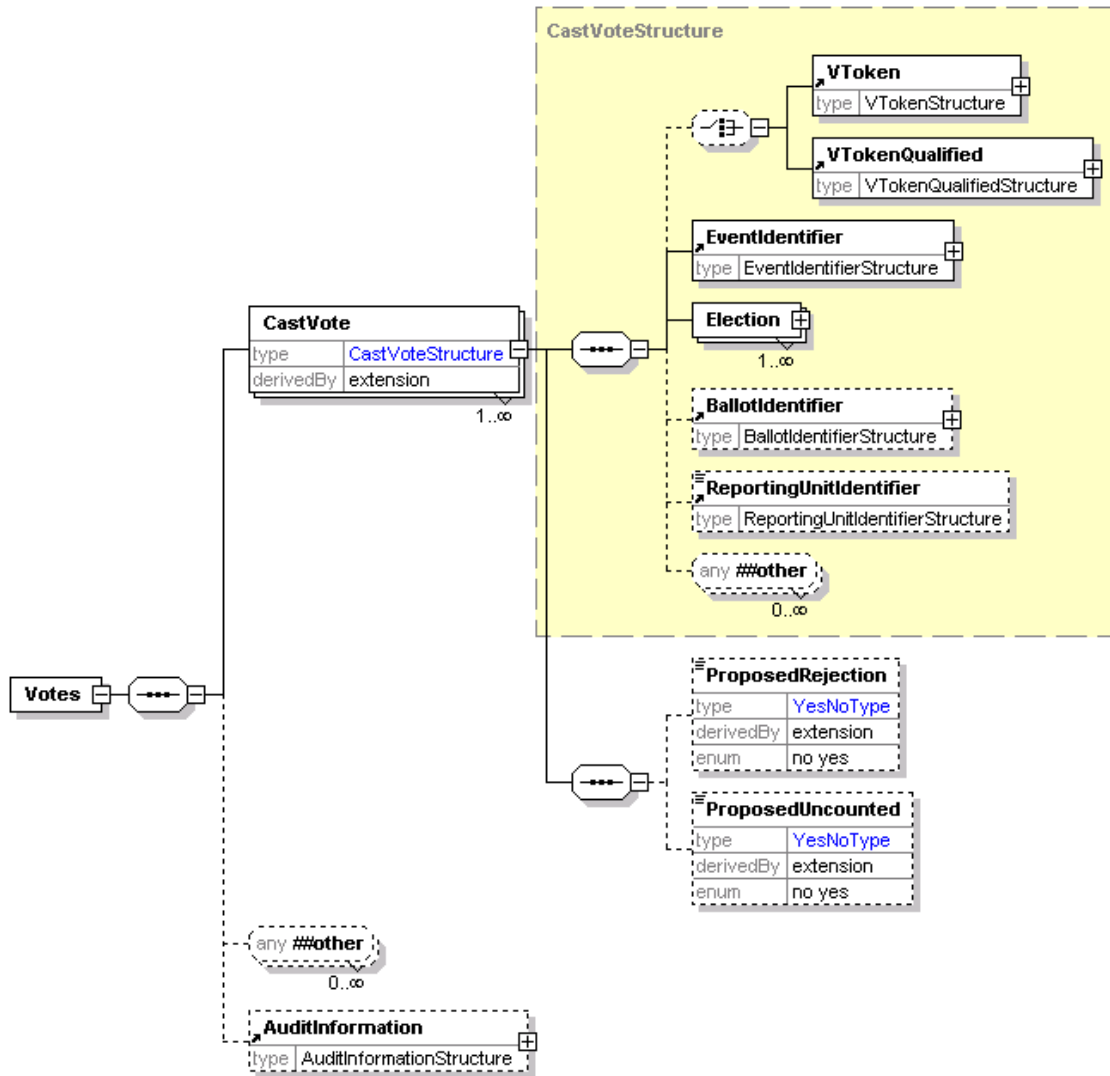
The vote confirmation message can be used to show whether a vote has been accepted and provide a reference number in case of future queries. Some voting mechanisms require multiple ConfirmationReference elements. If the vote is rejected, the Remark element can be used to show a reason.

1238

1239

1240

6.21 Votes (460)



1242

1243

See 440-CastVote for the detail of the **CastVoteStructure**.

Element	Attribute	Type	Use	Comment
CastVote	Spoilt	xs:token	optional	
Contest	Spoilt	xs:token	optional	
Selection	Value	VotingValueType	optional	
	ShortCode	ShortCodeType	optional	
Candidate	Value	VotingValueType	optional	
ProposedRejection	Reason	xs:token	optional	
	ReasonCode	xs:token	required	
	Objection	YesNoType	optional	
ProposedUncounted	Reason	xs:token	optional	
	ReasonCode	xs:token	required	

	Objection	YesNoType	optional	
--	-----------	-----------	----------	--

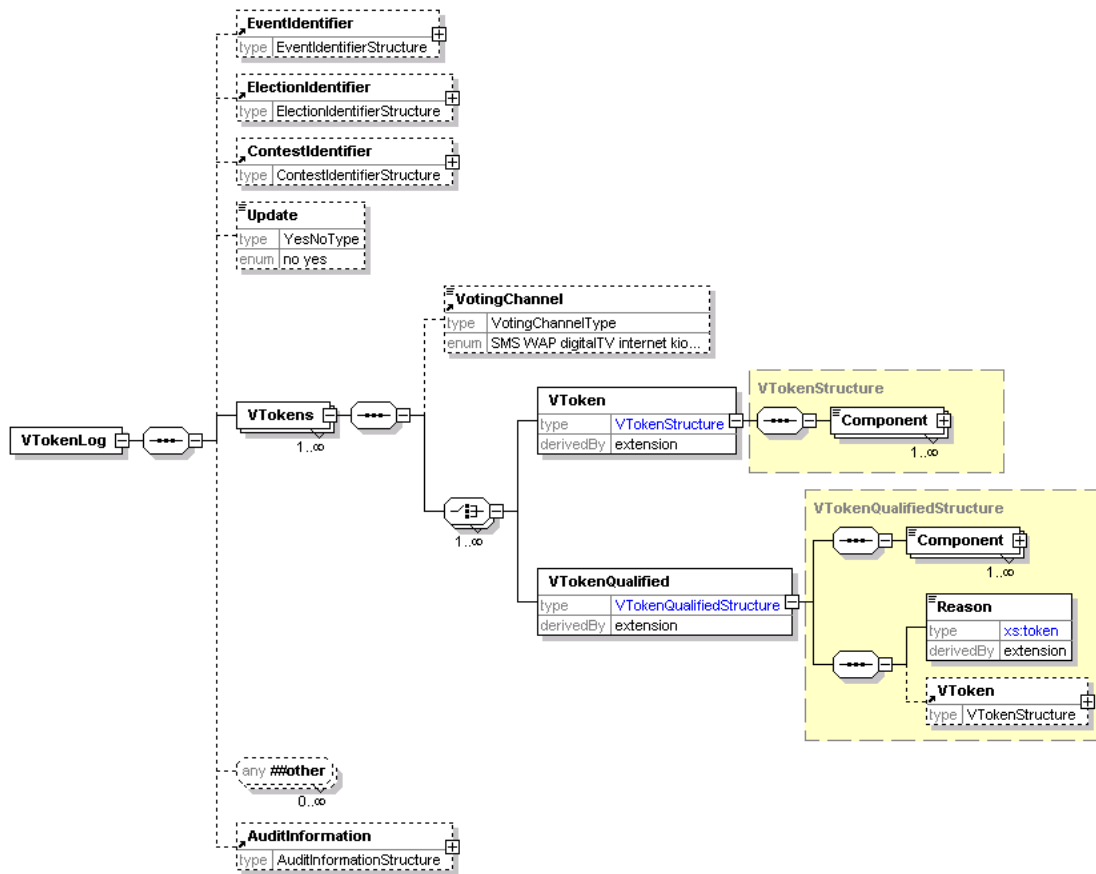
1244

6.21.1 Description of Schema

1245 This schema is used to define a message comprising a set of votes being transferred for
1246 counting. It is a set of `CastVote` elements from schema 440 with the addition of the
1247 `ProposedRejection` and `ProposedUncounted` elements and audit information for the voting
1248 system. If a vote is rejected, for example, because a voter has chosen to spoil a ballot paper,
1249 many authorities will want to count that vote as having been cast. The `UncountedVotes` element
1250 is reserved for those cases where that record is not required, for example when the result is
1251 thought to be fraudulent. A `ProposedRejection` or `ProposedUncounted` element must have a
1252 `ReasonCode` attribute, and may have a `Reason` attribute to describe the code. They may also
1253 have an `Objection` attribute. This indicates that someone has objected to this vote being
1254 rejected or the proposal that it should not be counted.

1255

6.22 VToken Log (470)



1256

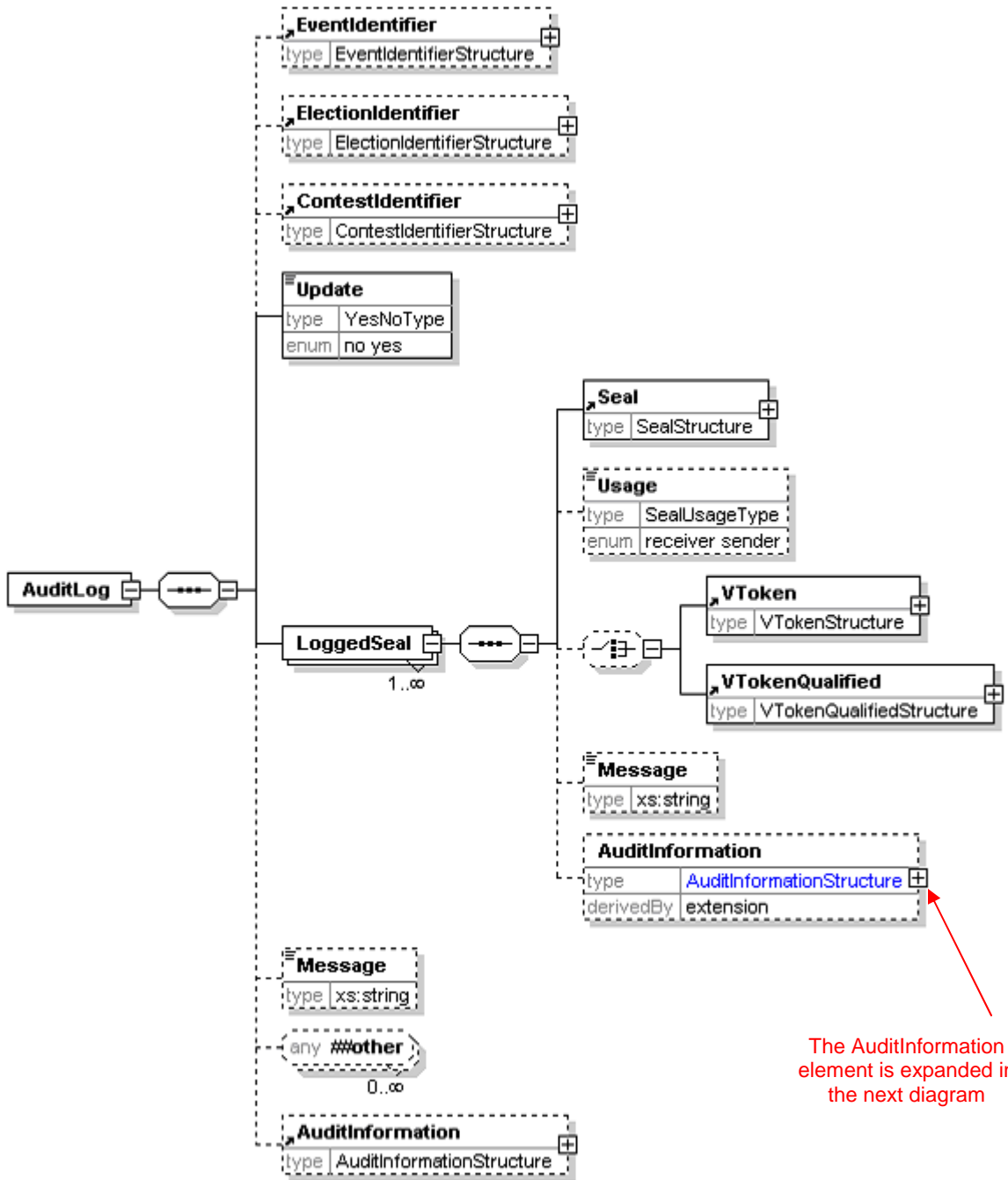
Element	Attribute	Type	Use	Comment
VToken	Status	xs:token (restricted)	required	
VTokenQualified	Status	xs:token (restricted)	required	

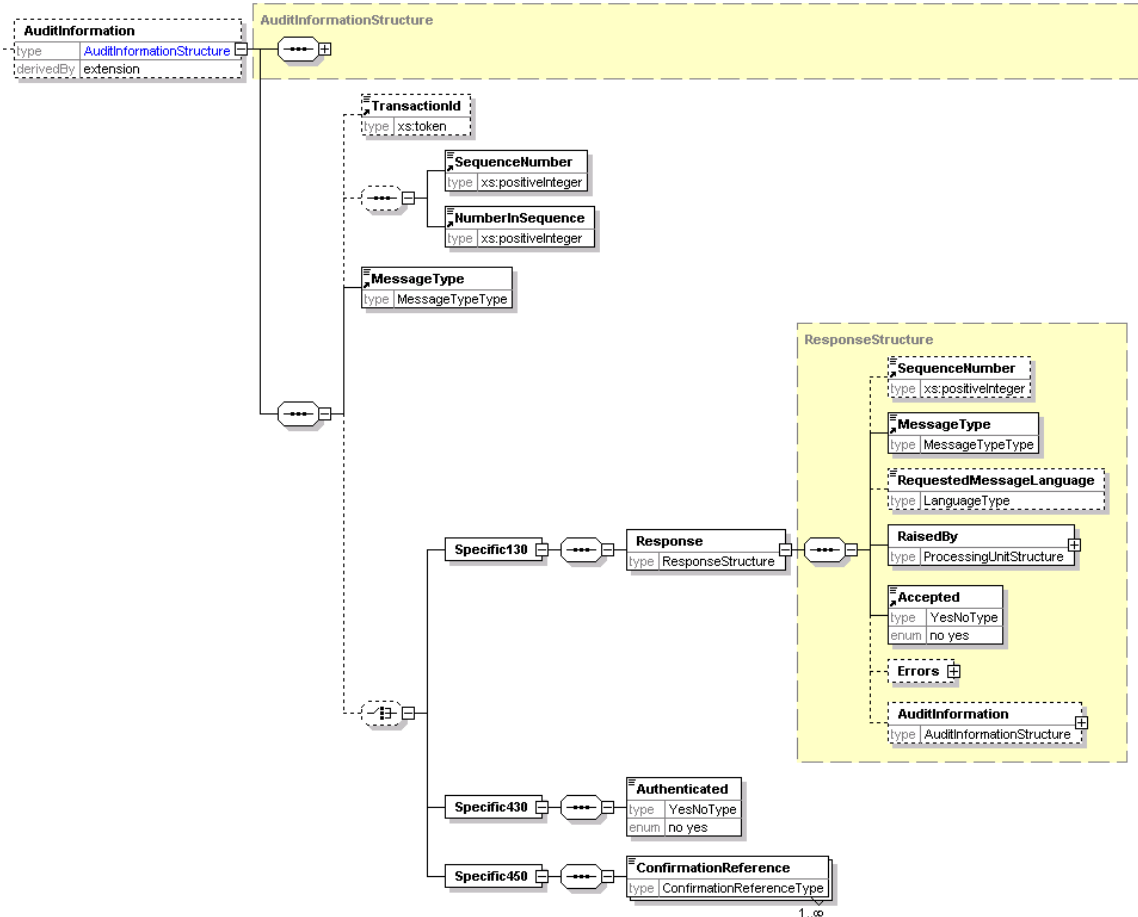
1257

6.22.1 Description of Schema

1258 The message defined by this schema is used to add voting tokens (which may be qualified) to an
 1259 audit log. The VToken or VTokenQualified is extended by the addition of a Status attribute
 1260 with a value of voted or unvoted for the VToken and voted, unvoted and withdrawn for the
 1261 VTokenQualified. In addition to sending single tokens as they are used, the schema can be
 1262 used to validate a message sending multiple tokens optionally grouped by voting channel. This
 1263 might be used instead of sending tokens as they used or, for example, to send the unused tokens
 1264 at the end of an election. The Update element can be used to indicate that an existing log is
 1265 being updated rather than the message containing a complete new log. The logging system can
 1266 also be identified for audit purposes.

6.23 Audit Log (480)





1269

1270

6.23.1 Description of Schema

1271 The message defined by this schema is used to log the use of each seal with associated
 1272 information for audit purposes.

1273 An audit log message can be transmitted individually as the message causing the log entry is
 1274 sent or received, or the logs can be stored, and several seals logged at once. Ideally, every
 1275 device that can create or consume a message will create a log entry so that pairs of entries can
 1276 be matched. The most important messages to log are those associated with the voting process
 1277 itself, and these are shown below.

1278 When used in this message, the Response element will not have an AuditInformation child.

	<i>Originating Device</i>	<i>Gateway</i>	<i>Voting System</i>	<i>Counting System</i>	<i>Vtoken Logging System</i>	<i>Seal Logging System</i>	<i>Other</i>	<i>Notes</i>
130								4
410	next receiver	receiver	sender					
420	previous sender	sender	receiver					
430	next receiver	receiver	sender				sender / receiver	3
440	previous sender	sender	receiver					
445	previous sender	sender	receiver					
450	next receiver	receiver	sender					
460			sender	receiver				
470			sender	sender	receiver		sender	
480	sender	sender	sender	sender	sender	receiver	sender	2
510				sender			receiver	
520				sender			sender / receiver	

Notes:

1. In some cases (e.g. a kiosk) there may be no gateway involved. In this case, the values in the Gateway column apply to the Originating Device.
2. Creators and receivers of 480 (audit log) messages may not be required to log the seals. In particular, if an audit log message is sent per seal created or received, the seal on the 480 message must not be logged.
- 3 "Other" may be the sender when the message is sent to a printer. In this case, the receiver will also be an "Other".
4. An audit log should only be created when the message is used to communicate an error. Most devices can send or receive 130 messages.

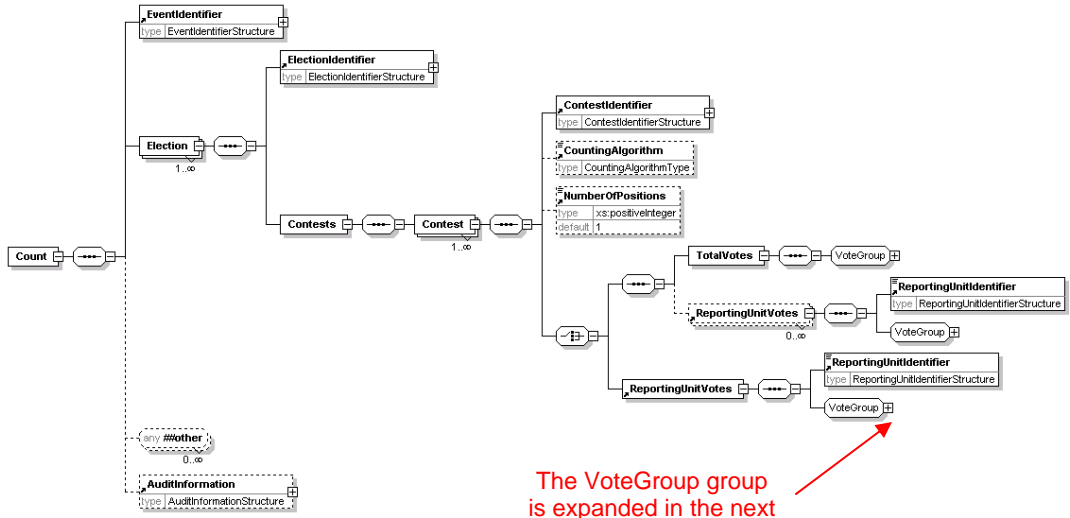
1279

1280 The message may contain the name and ID of the event, election and contest. It can also indicate
 1281 whether this is an update to an existing log or a new log. Following the logged seals, a text
 1282 message can be added as well as audit information for the audit logging message itself.

1283 Each seal being logged must indicate whether the device sending the log was the sender or
 1284 receiver of the sealed message. It may be accompanied by the voting token associated with the
 1285 seal and possibly additional audit information. This will be the audit information from the message
 1286 being logged with additional information about the message. Most of this is common to all
 1287 message types, but some message types require specific audit information. One of these is the
 1288 130-response message. When this is used to convey an error, almost the complete message
 1289 payload (the *Response* element and its contents apart from the audit information) is logged with
 1290 the usual message-independent data.

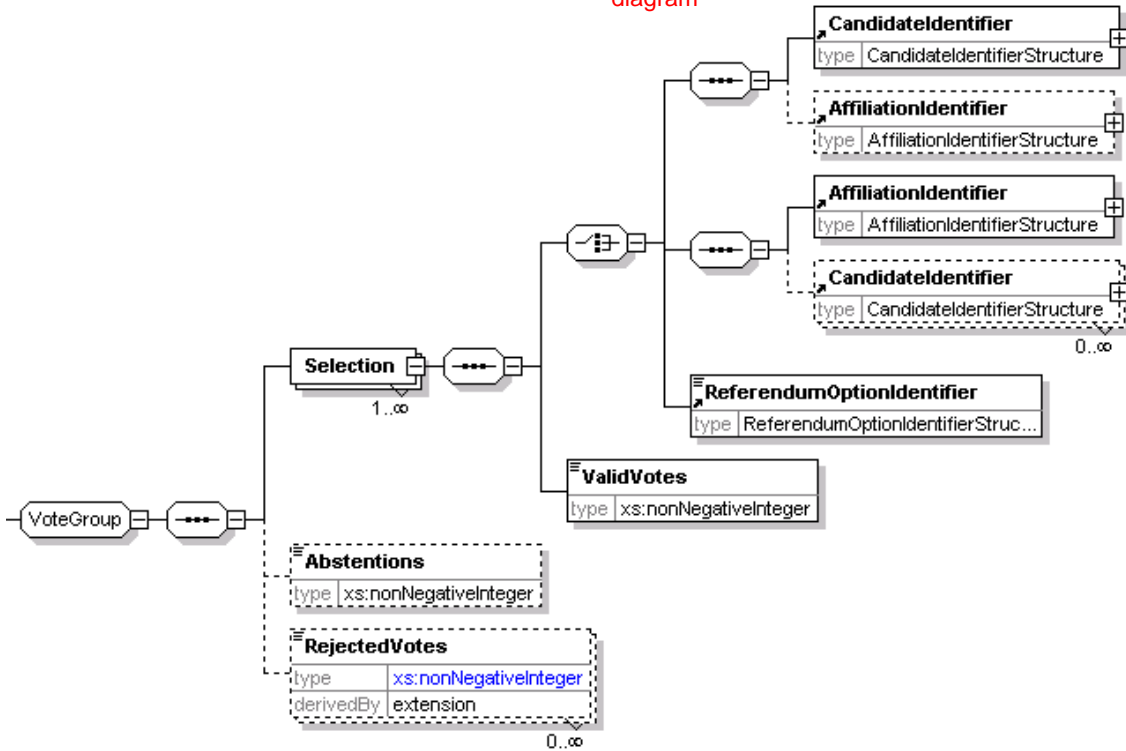
1291

6.24 Count (510)



1292

The VoteGroup group is expanded in the next diagram



1293

Element	Attribute	Type	Use	Comment
Selection	Value	VotingValueType	optional	
RejectedVotes	Reason	xs:token	optional	
	ReasonCode	xs:token	required	
UncountedVotes	Reason	xs:token	optional	
	ReasonCode	xs:token	required	

1294

6.24.1 Description of Schema

1295 The count message defined by this schema is used to communicate the results of one or more
1296 contests that make up one or more elections within an election event. It may also be used to
1297 communicate the count of a single reporting unit for amalgamation into a complete count.

1298 The message includes the election event identifier, and for each election, the election identifier,
1299 an optional reference to the election rule being used and information concerning the set of
1300 contests.

1301 In some cases, reporting for a contest may be required at a lower level (for example, for each
1302 county in a state). For this reason, reporting may be done at the level of the reporting unit, the
1303 total votes, or for a total vote and the breakdown according to the multiple reporting units.

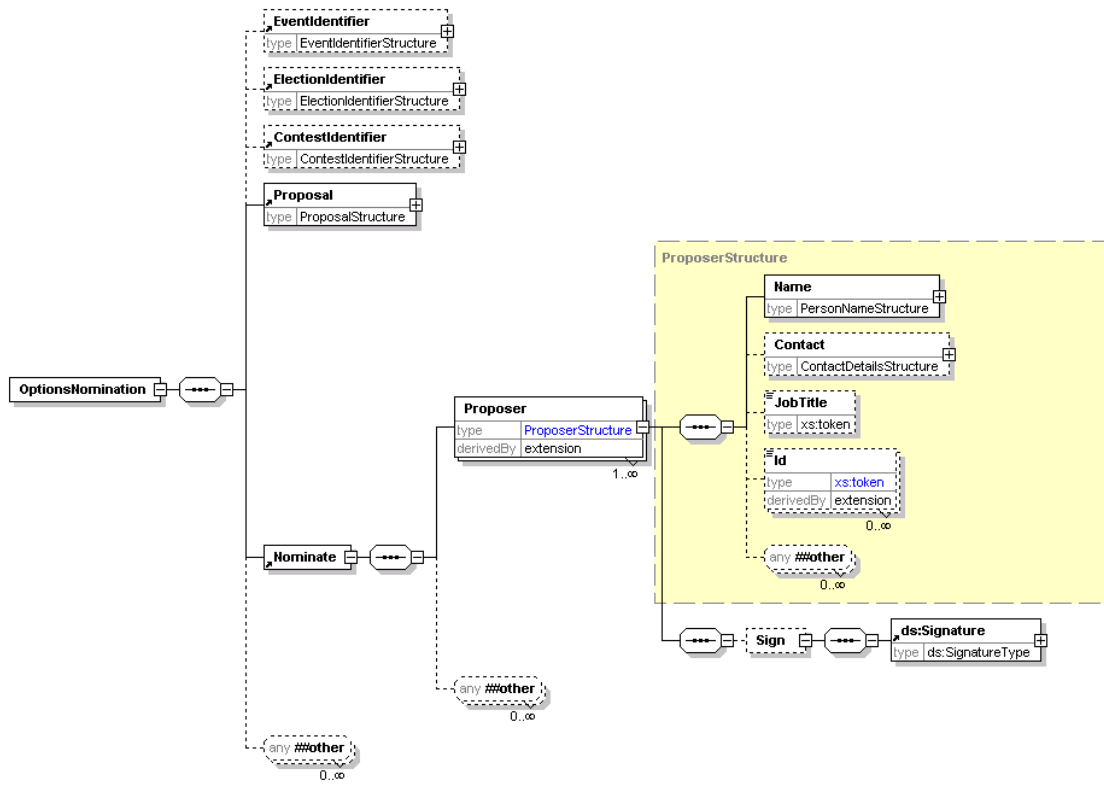
1304 Each contest indicates its identifier, and optionally the counting system and the maximum number
1305 of votes that each voter could cast. The key information is that about the votes cast for each of
1306 the choices available and the numbers of abstentions and rejected and uncounted votes. If a vote
1307 is rejected, for example, because a voter has chosen to spoil a ballot paper, many authorities will
1308 want to count that vote as having been cast. The `UncountedVotes` element is reserved for those
1309 cases where that record is not required, for example when the result is thought to be fraudulent.

1310 Both the `UncountedVotes` and `RejectedVotes` elements have `Reason` (optional) and
1311 `ReasonCode` (mandatory) attributes to indicate why the votes were treated as they have been.
1312 The former is a textual description, and the latter a code.

1313 For each choice available to the voter, the identifier and number of valid votes are mandatory.
1314 The other information provided depends on the type of election. For example, the `Value` attribute
1315 of the `Selection` element can be used to indicate whether a candidate was a first or second
1316 choice in an election run under the single transferable vote system. In the simplest cases, the
1317 identifier for the candidate (perhaps with the party), the party or the referendum option are given.
1318 If the voter was able to vote for a party and provide a preference for candidates within the party,
1319 the `AffiliationIdentifier` element is used, and multiple `CandidateIdentifier` elements
1320 may be used, each with a `Count` attribute. This count is the result of whatever algorithm has been
1321 used to calculate the ranking of the candidates.

1334

6.26 Options Nomination (610)



1335

1336

6.26.1 Description of Schema

1337

This schema is used to submit proposals, for example for a referendum or company AGM. It uses

1338

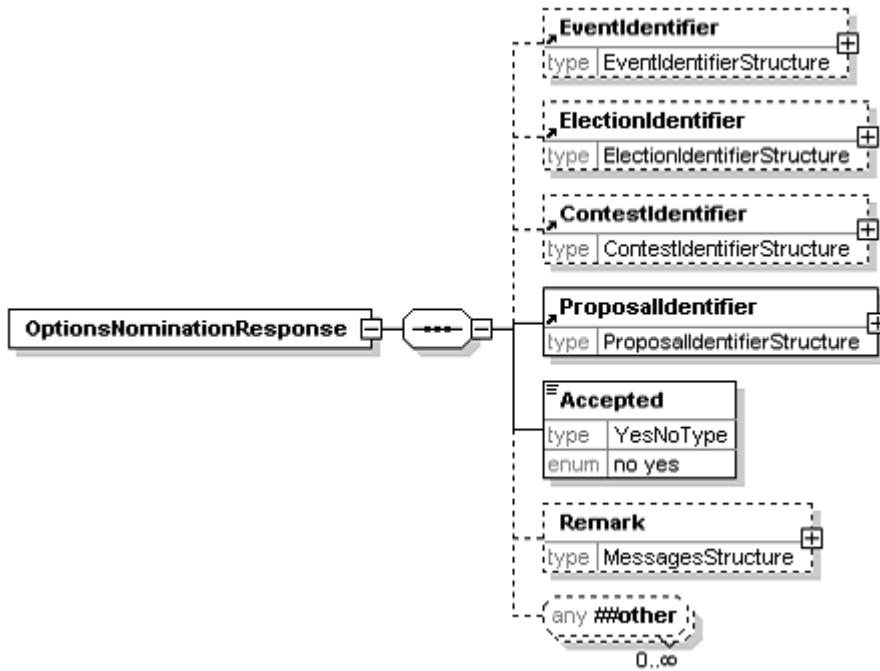
the generic Proposal element to define the proposal itself. One of more proposers can be named

1339

and may sign the nomination.

1340

6.27 Options Nomination Response (620)



1341

1342

6.27.1 Description of Schema

1343 This message is sent from the election organiser to the proposer to say whether the nomination
 1344 has been accepted. Along with the acceptance information and the basic information of election,
 1345 contest and identifier for the proposal, a remark can be made explaining the decision.

1346

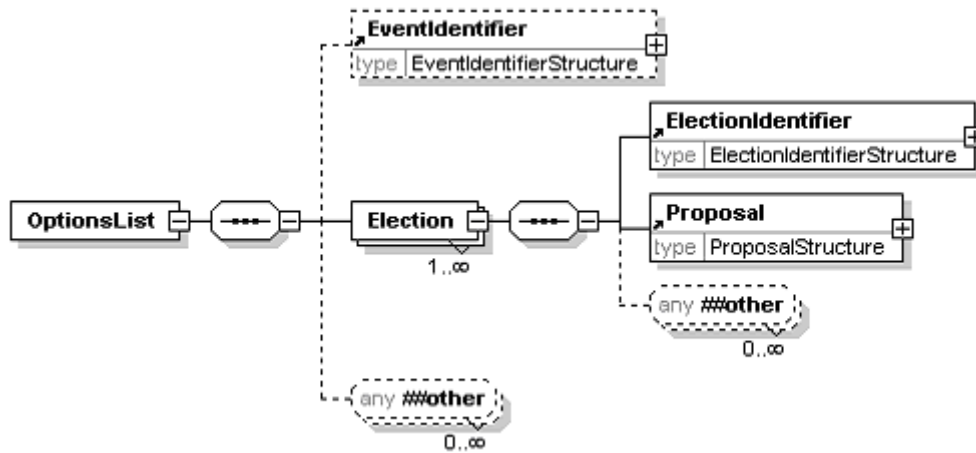
6.27.2 EML Additional Rules

Error Code	Error Description
3620-001	If the nomination has not been accepted, a reason for rejection is required in the Remark element

1347

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6.28 Options List (630)



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6.28.1 Description of Schema

1351 This schema is used for messages transferring lists of proposals for a referendum. It may identify
1352 the election event, and provides details about the election. Each proposal in a referendum counts
1353 as an election, so each election identified will hold a single proposal.

1354 7 References

- 1355 1 Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types *IETF*
1356 <http://www.ietf.org/rfc/rfc2046.txt>
- 1357 2 MIME Media Types *IANA*
1358 <http://www.iana.org/assignments/media-types/>
- 1359 3 XML-Signature Syntax and Processing *W3C*
1360 <http://www.w3.org/TR/xmlsig-core/>
- 1361 4 XML Path Language (XPath) Version 1.0 *W3C*
1362 <http://www.w3.org/TR/xpath>

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