



# EML Schema Descriptions

## Version 4.0d

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### Editor:

eGovernment Unit, Cabinet Office, UK

### Contributors:

John Ross

Paul Spencer

John Borrás

Farah Ahmed

### Abstract:

This document contains the descriptions of the schemas used in EML v4.0d. This document provides an explanation of the core schemas used throughout, definitions of the simple and complex datatypes, plus the EML schemas themselves. It also covers the conventions used in the specification and the use of namespaces, as well as the guidance on the constraints, extendibility, and splitting of messages.

### Status:

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## 194 1 Introduction

195 This document describes the OASIS Election Mark-up Language (EML) version 4.0 schemas.  
196 The messages that form part of EML are intended for transfer between systems. It is not intended  
197 that all outputs of a registration or election system will have a corresponding schema.  
198 This document and its accompanying set of schemas do not claim to satisfy the final  
199 requirements of a registration or election system. It is incumbent on the users of this document to  
200 identify any mistakes, inconsistencies or missing data and to propose corrections to the OASIS  
201 Election and Voter Services Technical Committee.

### 202 1.1 Background

203 The following is the Executive Summary of the 'EML Process & Data Requirements':  
204

OASIS, the XML interoperability consortium, formed the Election and Voter Services Technical Committee in the spring of 2001 to develop standards for election and voter services information using XML. The committee's mission statement is, in part, to:

*"Develop a standard for the structured interchange among hardware, software, and service providers who engage in any aspect of providing election or voter services to public or private organizations...."*

The objective is to introduce a uniform and reliable way to allow election systems to interact with each other. The overall effort attempts to address the challenges of developing a standard that is:

- Multinational: our aim is to have these standards adopted globally
- Flexible: effective across the different voting regimes. E.g. proportional representation or 'first past the post'.
- Multilingual: flexible enough to accommodate the various languages and dialects and vocabularies.
- Adaptable: resilient enough to support elections in both the private and public sectors.
- Secure: able to secure the relevant data and interfaces from any attempt at corruption, as appropriate to the different requirements of varying election rules.

The primary deliverable of the committee the Election Mark-up Language (EML). This is a set of data and message definitions described as XML schemas. At present EML includes specifications for:

- Candidate Nomination, Response to Nomination and Approved Candidate Lists
- Voter Registration information, including eligible voter lists
- Various communications between voters and election officials, such polling information, election notices, etc.
- Logical Ballot information (races, contests, candidates, etc.)
- Voter Authentication
- Vote Casting and Vote Confirmation

- Election counts and results
- Audit information pertinent to some of the other defined data and interfaces

205 As an international specification, EML is generic in nature, and so needs to be tailored for specific  
206 scenarios. Some aspects of the language are indicated in EML as required for all scenarios and  
207 so can be used unchanged. Some aspects (such as the ability to identify a voter easily from their  
208 vote) are required in some scenarios but prohibited in others, so EML defines them as optional.  
209 Where they are prohibited, their use must be changed from an optional to prohibited  
210 classification, and where they are mandatory, their use must be changed from an optional to  
211 required classification.

## 212 **1.2 Viewing Schemas**

213 EML schemas are supplied as text documents. For viewing the structure of the schemas, we  
214 recommend use of one of the many schema development tools available. Many of these provide  
215 graphical displays.

216 The Schematron schemas are mainly short and simple to understand as text documents for those  
217 with a working knowledge of XPath [4].

## 218 **1.3 Schema Diagrams in this Document**

219 The schema diagrams in this document were created using XML Spy. The following is a guide to  
220 their interpretation.

221 In this section, terms with specific meanings in XML or XML Schema are shown in italics, e.g.  
222 *sequence*.

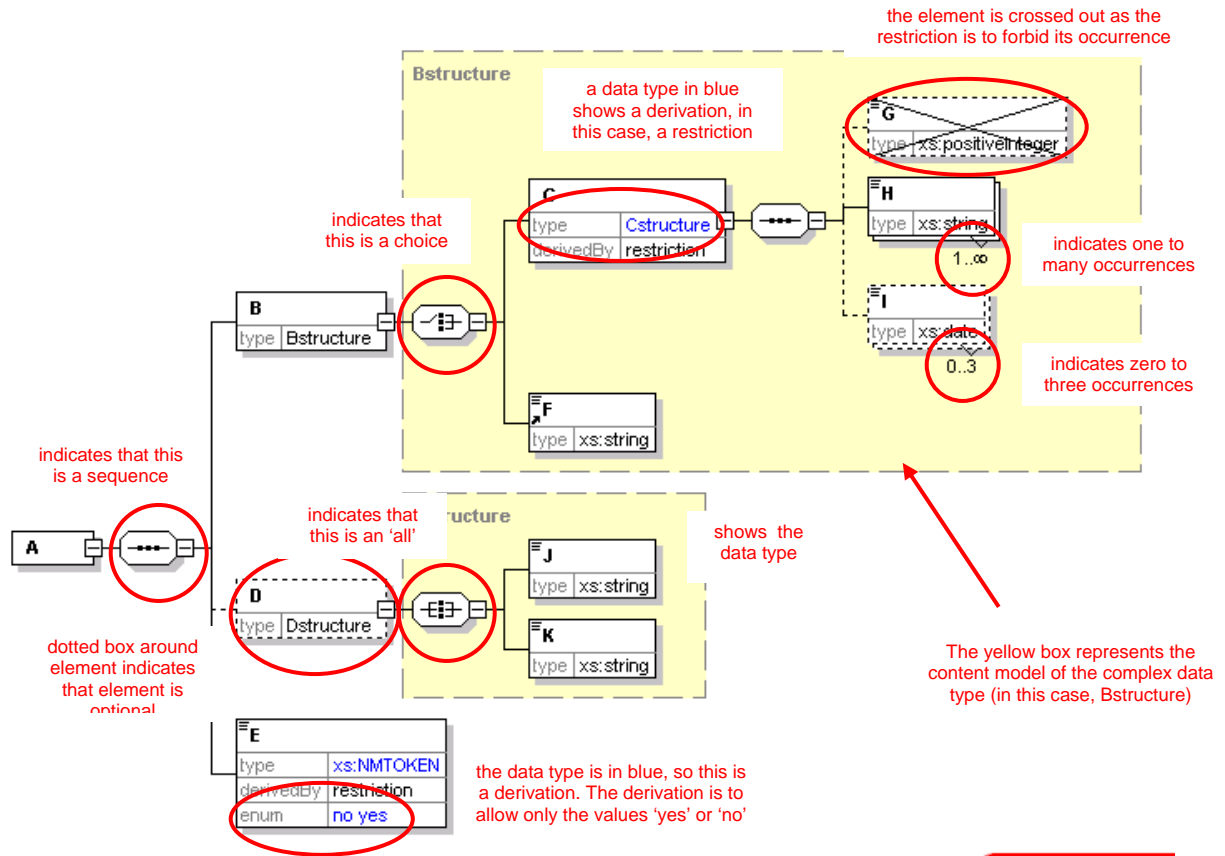
223 Note that the diagrams in this document do not use the default diagramming options of XML Spy,  
224 but have additional information. The additional information to be shown can be set using the  
225 menu selections Schema Design | View Config.

226 In this section, and throughout this document, the prefix "xs" denotes the XML schema  
227 namespace <http://www.w3.org/2001/XMLSchema>.

228 The diagram below represents a simple schema. The *root element* of an *instance* described by  
229 this schema is the *element* A. The *content model* of this element is a *sequence* of the elements B,  
230 D and E. The *element* B is of *complex data type* Bstructure. This contains a *choice* of either  
231 *element* C or *element* F. *Element* C is a *restriction* of another *complex data type* Cstructure. In  
232 this case, the restriction is to forbid the use of the *element* G (which is defined in Cstructure as  
233 optional). The other *elements* allowed are H, which can appear any number of times (but must  
234 appear at least once), and I, which can appear up to three times (or not at all). *Element* D is  
235 optional, and of *data type* Dstructure. This has a *content model* requiring *all of elements* J and  
236 K, which are both of *type* xs:string. Finally, *element* E is of *simple data type* Etype, which is  
237 *restricted* from the xs:NMTOKEN *data type* by only allowing the values 'yes' and 'no'.

238 It is important to remember that these diagrams do not include any *attributes*. IN this document,  
239 these are shown in tables below the diagrams.

240 The full schema is shown below the diagram.  
 241



Generated with XMLSpy Schema Editor [www.xmlspy.com](http://www.xmlspy.com)

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```

<?xml version="1.0" encoding="UTF-8"?>
<!-- edited with XMLSPY v2004 rel. 2 U (http://www.xmlspy.com) by Paul
Spencer (Boynings Consulting) -->
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
elementFormDefault="qualified" attributeFormDefault="unqualified">
  <xs:element name="A">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="B" type="Bstructure"/>
        <xs:element name="D" type="Dstructure" minOccurs="0"/>
        <xs:element name="E">
          <xs:simpleType>
            <xs:restriction base="xs:NMTOKEN">
              <xs:enumeration value="no"/>
              <xs:enumeration value="yes"/>
            </xs:restriction>
          </xs:simpleType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:complexType name="Bstructure">
    <xs:choice>
      <xs:element name="C">
        <xs:complexType>
          <xs:restriction base="Bstructure"/>
        </xs:complexType>
      </xs:element>
      <xs:element name="H" type="xs:string" minOccurs="1" maxOccurs="∞"/>
      <xs:element name="I" type="xs:date" minOccurs="0" maxOccurs="3"/>
    </xs:choice>
  </xs:complexType>
  <xs:complexType name="Dstructure">
    <xs:all>
      <xs:element name="J" type="xs:string"/>
      <xs:element name="K" type="xs:string"/>
    </xs:all>
  </xs:complexType>
  <xs:complexType name="G" type="xs:positiveInteger" minOccurs="1" maxOccurs="1" use="forbidden"/>
  </xs:schema>
  
```



```

269         <xs:complexContent>
270             <xs:restriction base="Cstructure">
271                 <xs:sequence>
272                     <xs:element name="G" type="xs:positiveInteger"
273 minOccurs="0" maxOccurs="0"/>
274                     <xs:element name="H" type="xs:string"
275 maxOccurs="unbounded"/>
276                     <xs:element name="I" type="xs:date" minOccurs="0"
277 maxOccurs="3"/>
278                 </xs:sequence>
279             </xs:restriction>
280         </xs:complexContent>
281     </xs:complexType>
282 </xs:element>
283 <xs:element ref="F"/>
284 </xs:choice>
285 </xs:complexType>
286 <xs:complexType name="Cstructure">
287     <xs:sequence>
288         <xs:element name="G" type="xs:positiveInteger" minOccurs="0"/>
289         <xs:element name="H" type="xs:string" maxOccurs="unbounded"/>
290         <xs:element name="I" type="xs:date" minOccurs="0" maxOccurs="3"/>
291     </xs:sequence>
292 </xs:complexType>
293 <xs:complexType name="Dstructure">
294     <xs:all>
295         <xs:element name="J" type="xs:string"/>
296         <xs:element name="K" type="xs:string"/>
297     </xs:all>
298 </xs:complexType>
299 <xs:element name="F" type="xs:string"/>
300 </xs:schema>

```

## 301 1.4 EML Message Validation

302 It is up to each specific system implementation whether it uses these schemas for validation of  
303 EML messages for either testing or live use. The recommended approach is to validate incoming  
304 messages against the EML schemas (with the application-specific EML externals schema), then  
305 further validate against the relevant Schematron schema. The first stage requires the use of an  
306 XML processor (parser) that conforms to W3C XML Schema. The second stage requires either  
307 an XSLT processor or a dedicated Schematron processor.

308 However, an implementation may choose to:

- 309 • modify the EML schemas to incorporate those application-specific constraints that can be  
310 represented in W3C XML Schema;
- 311 • not validate the rules that are encoded as Schematron schemas;
- 312 • not perform any validation; or
- 313 • develop some alternative validation.

## 314 1.5 Namespaces

315 The message schemas and the core schema are associated with the namespace  
316 urn:oasis:names:tc:evs:schema:eml. This is defined using the prefix eml. The XML  
317 Schema namespace <http://www.w3c.org/2001/XMLSchema> is identified by the prefix xs and  
318 the XML Schema Instance namespace <http://www.w3.org/2001/XMLSchema-instance> by  
319 the prefix xsi.

320 Use is also made of namespaces for the Extensible Name and Address Language (xNAL). The  
321 Extensible Name Language namespace `urn:oasis:tc:ciq:xsdschema:xNL:2.0` is  
322 identified by the prefix `xnl`, and the Extensible Language namespace  
323 `urn:oasis:names:tc:ciq:xsdschema:xAL:2.0` by the prefix `xal`.

## 324 **1.6 Extensibility**

325 Various elements allow extensibility through the use of the `xs:any` element. This is used both for  
326 display information (for example, allowing the sending of HTML in a message) and for local  
327 extensibility. Note that careless use of this extensibility mechanism could reduce interoperability.

## 328 **1.7 Additional Constraints**

329 The EML schemas provide a set of constraints common to most types of elections worldwide.  
330 Each specific election type will require additional constraints, for example, to enforce the use of a  
331 seal or to ensure that a cast vote is anonymous. It is recommended that these additional  
332 constraints be expressed using the Schematron language. This allows additional constraints to  
333 be described without altering or interacting with the EML schemas. Any document that is valid to  
334 a localisation expressed in Schematron must also be a valid EML document.

## 335 **1.8 Conventions**

336 Within this specification, the following conventions are used throughout:

337 Diagrams are shown as generated by XML Spy 2004 which was also used to generate the  
338 schemas and samples. These diagrams show element content, but not attributes

339 Elements and attributes in schemas are identified by partial XPath expressions. Enough of a path  
340 is used to identify the item without putting in a full path.

341

## 2 Processing using Schematron

342

This section gives a short introduction to how validation can be achieved using Schematron schemas and an XSLT processor. Alternatively, direct validation using the Schematron schemas can be achieved using a dedicated Schematron processor.

344

345

### 2.1 Validation using the Schematron Schemas

346

A Schematron schema is an XML document that can be converted to XSLT using an XSLT stylesheet. There is a published stylesheet (skeleton1-5.xslt) that can be used to achieve this. This produces an HTML output from the validation. A separate stylesheet can be produced that will create an output to the specification below. This stylesheet can import the skeleton and just over-ride those aspects where changes are required.

347

348

349

350

351

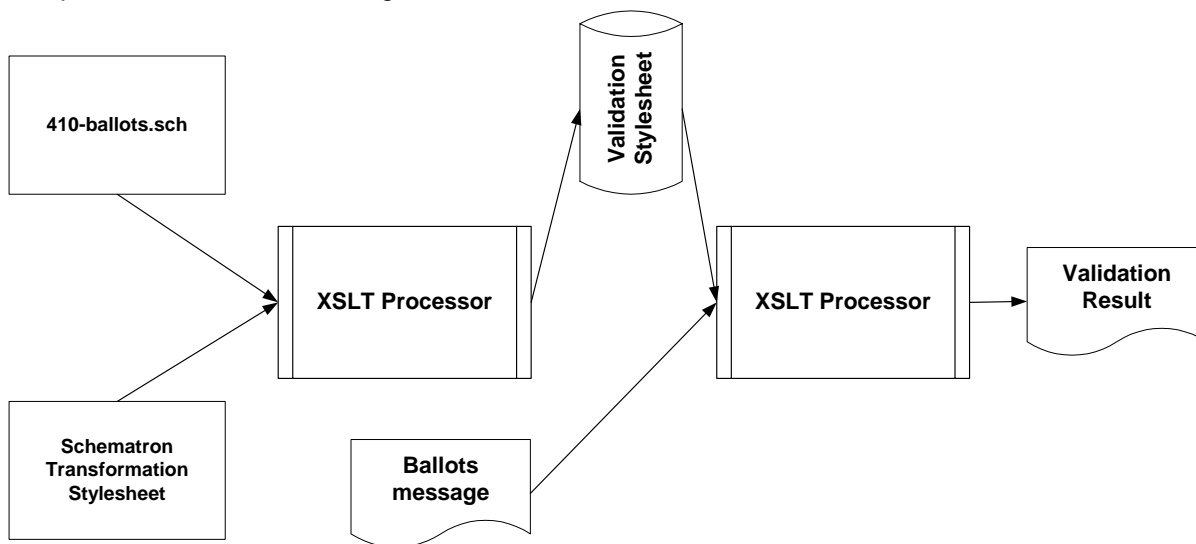
This stylesheet can be used once on each Schematron schema to produce the XSLT file that will be used for validating a specific message type. This stylesheet is then used to transform the incoming EML message into an error report based on the additional constraints.

352

353

354

The process is shown in the diagram below.



355

356

357

### 3 Splitting of Messages

358 There is sometimes a need to split long messages into several parts. By their nature, each of  
359 these messages will contain a small amount of background information and a single element type  
360 that is repeated many times. For example, the 330-electionlist message can have many  
361 `VoterDetails` elements.

362 When a message is split, each part must be a complete, valid message. This will contain all the  
363 background information with a number of the repeated element types. Information in the EML  
364 element indicates the sequence number of the message and the number of messages in the  
365 sequence. Each message in the sequence must contain the same `TransactionId`, and must  
366 indicate the repeated element according to the table below. Only the messages shown in the  
367 table may be split in this way.

368

Message	Repeated Element
330-electionlist	<code>VoterDetails</code>
340-pollinginformation	<code>Polling</code>
410-ballots	<code>Ballot</code>
460-votes	<code>CastVote</code>
470-vtokenlog	<code>VTokens</code>
480-auditlog	<code>LoggedSeal</code>

369

370 For ease of implementation, a message that can be split may contain the elements used for  
371 splitting even if the entire message is sent in one piece. In this case, the values of  
372 `SequenceNumber` and `NumberInSequence` will both be "1".

---

## 373 4 Error Messages

374 The 130 schema is used to define a message for reporting errors in EML messages.

375 Error messages are given codes. These fall into one of five series:

376

1000	XML well-formedness or Schema validation error
2000	Seal error
3000	EML rule error
4000	Localisation rule error
5000	System specific error

377

378 If the error type is not message-specific (or is a general rule applying to several schemas), the  
379 series reference above is used. If it is message-specific, the last three digits of the error series  
380 (and possibly a final alpha character) reflect the message type. A three digit error code is  
381 appended to the series code, separated by a hyphen.

382 An error code relating to a localisation applicable to all message types could therefore be 4000-  
383 001. One specific to the localisation of schema 110 could be 4110-002.

### 384 4.1 All Schemas

#### 385 4.1.1 XML well-formedness or Schema validation error

386

Error code	Error Description
1000-001	Message is not well-formed
1000-002	Message is not valid

#### 387 4.1.2 Seal Errors

388

Error code	Error Description
2000-001	The Seal does not match the data

#### 389 4.1.3 EML Additional Rules

390 The following rules apply to messages regardless of localisation. One of the two rules on splitting  
391 will apply to each message type as described in the table below.

392

393

Error Code	Error Description
3000-001	If there are processing units in the <code>AuditInformation</code> , one must have the role of sender
3000-002	If there are processing units in the <code>AuditInformation</code> , one must have the role of receiver
3000-003	This message must not contain the elements used for splitting
3000-004	The value of the <code>Id</code> attribute of the EML element is incorrect
3000-005	The message type must match the <code>Id</code> attribute of the EML element
3000-006	All messages that are split must include the correct sequenced element name.

394

	3000-003	3xxx-xxx
110	✓	
120	✓	
130	✓	
210	✓	
220	✓	
230	✓	
310	✓	
330		✓
340		✓
350a	✓	
350b	✓	
350c	✓	
360a	✓	
360b	✓	
410		✓
420	✓	
430	✓	
440	✓	
445	✓	
450		✓
460		✓
470		✓
480		✓
510	✓	
520	✓	
610	✓	
620	✓	
630	✓	

395

## 5 EML Core Components

396

The core schema contains elements and data types that are used throughout the e-voting schemas.

397

398

To help message schema diagrams fit on the page, these elements and data types are not expanded each time they appear in other diagrams.

399

400

The following schema components are defined in the EML core.

Elements	Complex Data Types	Simple Data Types
Accepted	AffiliationIdentifierStructure	ConfirmationReferenceType
Affiliation	AffiliationStructure	CountingAlgorithmType
AffiliationIdentifier	AgentIdentifierStructure	DateType
Agent	AgentStructure	EmailType
AgentIdentifier	AreaStructure	ErrorCodeType
Area	AuditInformationStructure	GenderType
AuditInformation	AuthorityIdentifierStructure	LanguageType
AuthorityIdentifier	BallotIdentifierRangeStructure	MessageTypeType
BallotIdentifier	BallotIdentifierStructure	SealUsageType
BallotIdentifierRange	CandidateIdentifierStructure	ShortCodeType
Candidate	CandidateStructure	TelephoneNumberType
CandidateIdentifier	ComplexDateRangeStructure	VotingChannelType
ContactDetails	ContactDetailsStructure	VotingMethodType
ContestIdentifier	ContestIdentifierStructure	VotingValueType
CountingAlgorithm	DocumentIdentifierStructure	YesNoType
DocumentIdentifier	ElectionGroupStructure	
ElectionIdentifier	ElectionIdentifierStructure	
ElectionStatement	EmailStructure	
EventIdentifier	EMLStructure	
EventQualifier	EventIdentifierStructure	
Gender	EventQualifierStructure	
Logo	IncomingGenericCommunicationStructure	
ManagingAuthority	InternalGenericCommunicationStructure	
MaxVotes	LogoStructure	
MessageType	ManagingAuthorityStructure	
MinVotes	MessagesStructure	
NominatingOfficer	NominatingOfficerStructure	
NumberInSequence	OutgoingGenericCommunicationStructure	
NumberOfPositions	PeriodStructure	
Period	PictureDataStructure	
PersonName	PollingDistrictStructure	
PollingDistrict	PollingPlaceStructure	

Elements	Complex Data Types	Simple Data Types
PollingPlace	PositionStructure	
Position	ProcessingUnitStructure	
PreviousElectoralAddress	ProposalIdentifierStructure	
Profile	ProposalStructure	
Proposal	ProposerStructure	
ProposalIdentifier	ProxyStructure	
Proposer	ReferendumOptionIdentifierStructure	
Proxy	ReportingUnitIdentifierStructure	
ReferendumOptionIdentifier	ResponsibleOfficerStructure	
ReportingUnitIdentifier	ScrutinyRequirementStructure	
ResponsibleOfficer	SealStructure	
ScrutinyRequirement	SimpleDateRangeStructure	
Seal	TelephoneStructure	
SequenceNumber	VoterIdentificationStructure	
TransactionId	VoterInformationStructure	
VoterName	VTokenStructure	
VotingChannel	VTokenQualifiedStructure	
VotingMethod		
VToken		
VTokenQualified		

401

## 402 **5.1 Simple Data Types**

403 The simple data types are included here with their base data types and any restrictions applied.

### 404 **5.1.1 ConfirmationReferenceType**

405 `xs:token`.

406 The reference generated once the confirmation of a vote has been completed.

### 407 **5.1.2 CountingAlgorithmType**

408 `xs:token`

409 The method of counting used for more complex forms of election.

### 410 **5.1.3 DateType**

411 Union of `xs:date` and `xs:dateTime`

412 There are several possible dates associated with an election. Some of these can be either just a  
413 date or have a time associated with them. These can use this data type.

### 414 **5.1.4 EmailType**

415 `xs:token` with restrictions.



416 Restrictions: `xs:maxLength: 129`  
417 `xs:pattern: [^@]+@[^@]+`  
418 This type is a simple definition of an email address, pending a more complete description that is  
419 widely accepted in industry and government. It allows any characters except the @ symbol,  
420 followed by an @ symbol and another set of characters excluding this symbol.

### 421 **5.1.5 ErrorCodeType**

422 `xs:token`  
423 One of a pre-defined set of error codes as described in the section "Error Messages".

### 424 **5.1.6 GenderType**

425 `xs:token` with restrictions.  
426 Restrictions: `xs:enumeration: male, female, unknown`  
427 The gender of a voter or candidate. Options are male, female or unknown (unknown is not  
428 allowed in all contexts).

### 429 **5.1.7 LanguageType**

430 `xs:language`  
431 Declaration of the type of language used in the election.

### 432 **5.1.8 MessageType**

433 `xs:NMTOKEN`  
434 This is the alphanumeric type of the message (e.g. 440 or 350a). This may be required for audit  
435 purposes.

### 436 **5.1.9 SealUsageType**

437 `xs:NMTOKEN` with restrictions.  
438 Restrictions: `xs:enumeration: receiver, sender`  
439 Indicates whether a device logging a seal was the sender or receiver of the seal.

### 440 **5.1.10 ShortCodeType**

441 `xs:NMTOKEN`  
442 This identifies an aspect of the election (such as a contest or candidate) when voting using SMS  
443 or other voting mechanisms where a short identifier is required.

### 444 **5.1.11 TelephoneNumberType**

445 `xs:token` with restrictions.  
446 Restrictions: `xs:maxLength: 35`  
447 `xs:minLength: 1`  
448 `xs:pattern: \+?[0-9\(\)\-\s]{1,35}`  
449 Since this must allow for various styles of international telephone number, the pattern has been  
450 kept simple. This allows an optional plus sign, then between 1 and 35 characters with a  
451 combination of digits, brackets, the dash symbol and white space. If a more complete definition  
452 becomes widely accepted in industry and government, this will be adopted.

### 453 **5.1.12 VotingChannelType**

454 `xs:token` with restrictions.

455 Restrictions: `xs:enumeration`: SMS, WAP, digitalTV, internet, kiosk, polling, postal,  
456 telephone, other

457 This type exists to hold the possible enumerations for the channel through which a vote is cast.

458 SMS is the Short Message Service (text message). WAP is the Wireless Access Protocol.

459 If `other` is used, it is assumed that those managing the election will have a common  
460 understanding of the channel in use.

### 461 **5.1.13 VotingMethodType**

462 `xs:token` with restrictions.

463 Restrictions: `xs:enumeration`: AMS, FPP, OPV, SPV, STV, approval, block, partylist,  
464 supplementaryvote, other

465 The `VotingMethod` type holds the enumerated values for the type of election (such as *first past  
466 the post* or *single transferable vote*). The meanings of the acronyms are:

467 

- AMS – Additional Member System

468 

- FPP - First Past the Post

469 

- OPV - Optional Preferential Voting

470 

- SPV - Single Preferential Vote

471 

- STV - Single Transferable Vote

### 472 **5.1.14 VotingValueType**

473 `xs:positiveInteger`.

474 Indicates a value assigned when voting for a candidate or referendum option. This might be a  
475 weight or preference order depending on the election type.

### 476 **5.1.15 YesNoType**

477 `xs:token` with restrictions.

478 Restrictions: `xs:enumeration`: no, yes

479 This is a simple enumeration of `yes` and `no` and is used for elements and attributes that can only  
480 take these binary values.

## 481 **5.2 Complex Data Types**

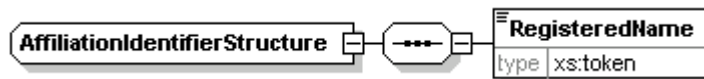
482 The choice between defining an element or a data type for a reusable message component is a  
483 significant design issue. It is widely accepted as good practice to use element declarations when  
484 there is good reason to always refer to an element by the same name and there is no expectation  
485 of a need to derive new definitions. In all other cases, data type declarations are preferable. The  
486 term *schema component* is used to refer to elements and data types collectively.

487 When defining a complete markup language, limiting the use of elements and types can restrict  
488 further development of the language. For that reason, both data types and elements are defined  
489 in EML. Only where an element is an example of a primitive or derived data type defined in XML  
490 Schema part 2 [7] is no explicit data type defined within EML.

491 In use, it is expected that, for example:

- 492 • a voting token will always have an element name `VToken` and so will use the element
- 493 name;
- 494 • a logo or a map have similar definitions, so both use the `PictureDataStructure`.
- 495 There is no `PictureData` element.
- 496 • within voter identification, some elements will usually need to be made mandatory and so
- 497 a schema will specify a new element based on the `VoterIdentificationStructure`
- 498 data type.

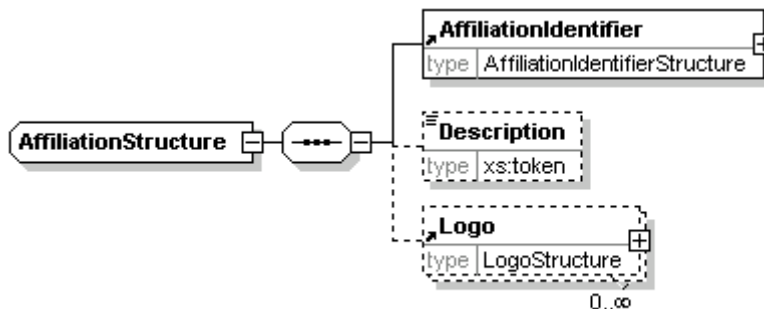
## 5.2.1 AffiliationIdentifierStructure



Element	Attribute	Type	Use	Comment
AffiliationIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	
	ExpectedConfirmationReference	ConfirmationReferenceType	optional	

503 This data type is used to identify an affiliation, such as a political party. The identifier indicates the  
 504 official name and ID of the organisation. It supports use of a short code for voting systems such  
 505 as SMS, and an expected confirmation reference for security systems that require this.

## 5.2.2 AffiliationStructure



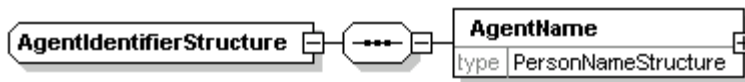
510 `AffiliationStructure` data type indicates membership of some organization such as a  
 511 political party. The description will normally be used to indicate the name usually associated with  
 512 the organisation, and so is the value that will usually be shown on a ballot. An organisation may  
 513 indicate several logos, each with a rôle. For example, one rôle might indicate that the logo should  
 514 be used on a ballot paper. Each logo can be identified by a URL or sent as a Base64 encoded  
 515 binary value. In the latter case, the format of the logo (BMP, TIFF, PNG, GIF or JPEG) must be  
 516 indicated.

517

### 5.2.3 AgentIdentifierStructure

518

519

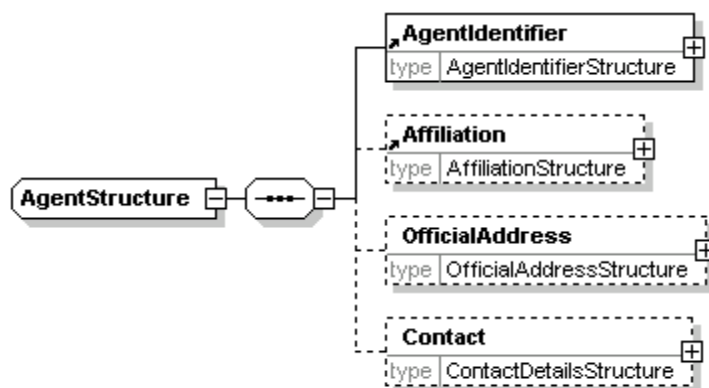


Element	Attribute	Type	Use	Comment
AgentIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

520 The agent identifier contains a name and ID. The data type for the name is localized using the  
 521 EML externals schema.

522

### 5.2.4 AgentStructure



523

Element	Attribute	Type	Use	Comment
AgentStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	Role	xs:token	optional	

524 A candidate in an election can have one or more agents, each agent having a specific rôle,  
 525 identified by the `Role` attribute. For example, an agent may be allowed access to the count, but  
 526 not to amend details of the candidate.

527 The agent has an identifier, comprising a name and ID, and an affiliation. He or she also has an  
 528 official address and a standard set of contact details.

529

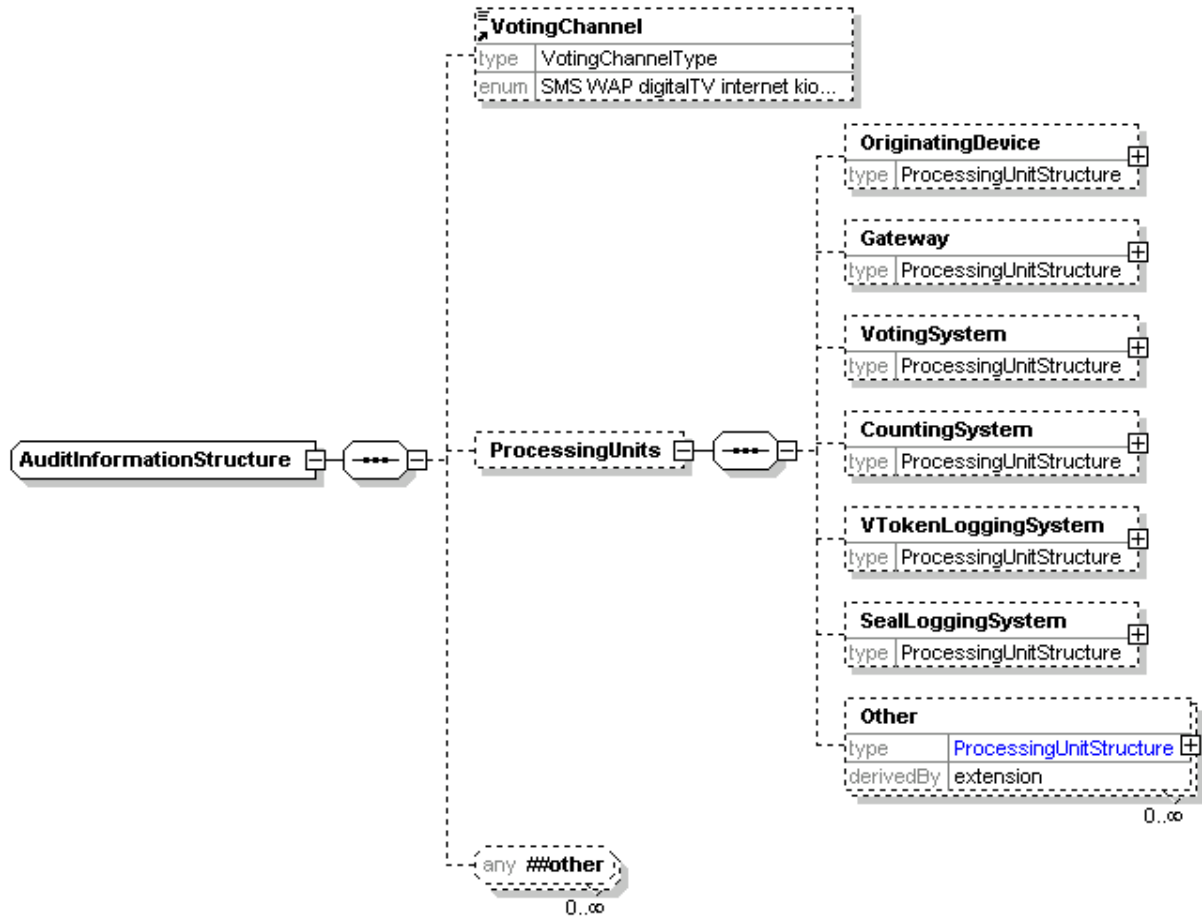
### 5.2.5 AreaStructure

530 The `AreaStructure` is an extension of `xs:token` to add the following attributes:

Element	Attribute	Type	Use	Comment
AreaStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	Type	xs:token	optional	

531 This data type is used to define elements defining the geographical area covered by a contest.  
 532 The `Type` attribute is used to indicate the type of area, such as "county".

533 **5.2.6 AuditInformationStructure**



534

Element	Attribute	Type	Use	Comment
Other	Role	xs:token (restricted)	required	Standard attribute for a ProcessingUnitStructure
	Type	xs:token	required	Additional attribute for this element

535 The `AuditInformationStructure` is used to define an element to provide information for audit  
 536 purposes. It allows the voting channel in use to be described, with the identities of those devices  
 537 that have participated in the message being sent. Each device has an attribute to describe its rôle  
 538 (see

539 ProcessingUnitStructure on page 37.  
 540 Where a device does not fit any of the categories here, it can be described as Other with the  
 541 addition of a Type attribute.

## 542 5.2.7 AuthorityIdentifierStructure

543 The AuthorityIdentifierStructure is an extension of xs:token to add the following  
 544 attributes:

Element	Attribute	Type	Use	Comment
AuthorityIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

545 This data type defines information to identify an election authority. This may include a system ID  
 546 and text description.

## 547 5.2.8 BallotIdentifierStructure

548  
 549  
 550  
 551

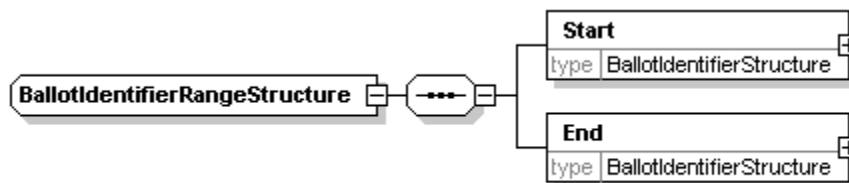


Element	Attribute	Type	Use	Comment
BallotIdentifierStructure	Id	xs:NMTOKEN	required	
	DisplayOrder	xs:positiveInteger	optional	

552 This data type is used to define an element that is an identifier for a ballot. This will usually use  
 553 the Id attribute as the identifier, but might use a name to indicate a set of identical ballots.  
 554 Elements using this data type will usually only be used for paper ballots.  
 555

## 556 5.2.9 BallotIdentifierRangeStructure

557  
 558  
 559  
 560  
 561  
 562  
 563

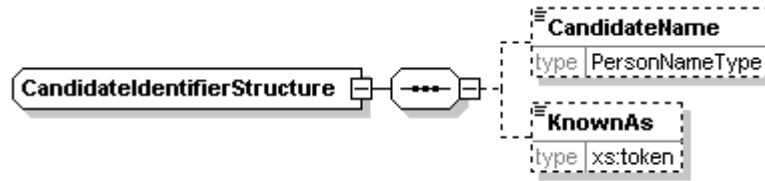


564 This data type is used to define an element that identifies a range of ballots. This might be used,  
 565 for example, to assign ranges of ballot identifiers to different reporting units for a contest. It is  
 566 unlikely that the ballot name would be used when defining range, the Id attribute being used  
 567 instead. Elements using this data type will usually only be used for paper ballots.

568

## 5.2.10 CandidateIdentifierStructure

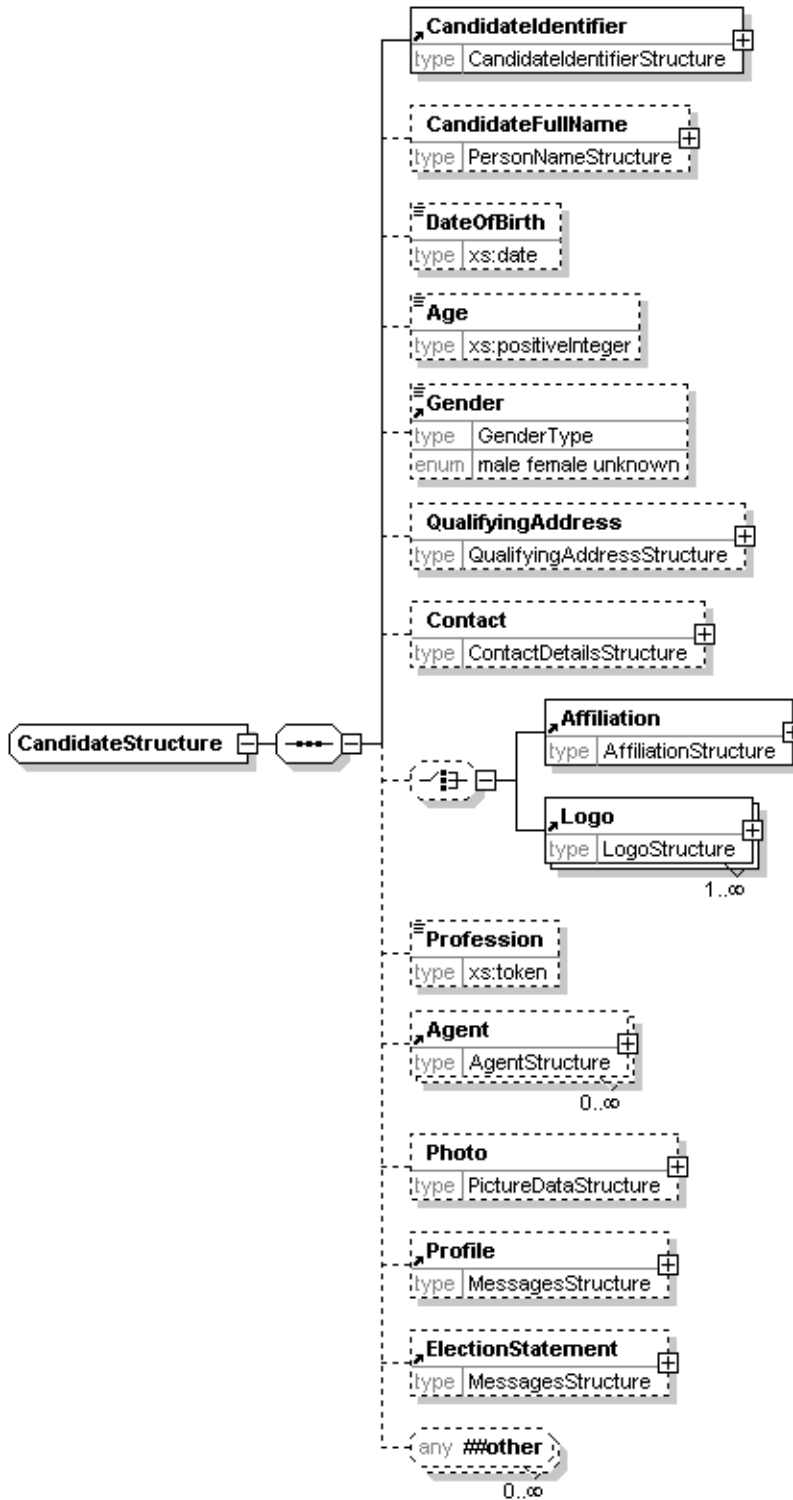
569



Element	Attribute	Type	Use	Comment
CandidateIdentifierStructure	Id	xs:NMTOKEN	required	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	
	ExpectedConfirmationReference	ConfirmationReferenceType	optional	

570 The candidate identifier indicates a system ID for the candidate and the candidate's name as it  
 571 will appear in a ballot. Sometimes an additional line is required on the ballot to help identify the  
 572 candidate. This will use the `KnownAs` element of the candidate identifier. A short code can also be  
 573 included, either for SMS voting or where the security mechanism in place requires it. An  
 574 `ExpectedConfirmationReference` attribute also allows for security mechanisms where the  
 575 confirmation reference may be different for each combination of voter and candidate.

### 5.2.11 CandidateStructure





578

Element	Attribute	Type	Use	Comment
CandidateStructure	Independent	YesNoType	optional	
	DisplayOrder	xs:positiveInteger	optional	

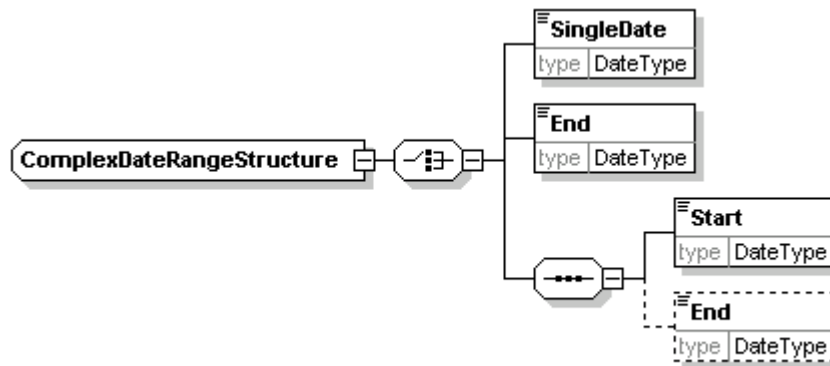
579 The candidate description includes all the information required about the candidate. In different  
 580 messages, the amount of information is reduced, either by restricting the information in EML or as  
 581 part of a localisation.

582 The candidate has an identifier. The full name of the candidate may also be provided, and  
 583 whether the candidate is an independent. This is supplied as an attribute rather than affiliation as  
 584 certain election types treat independents differently from other candidates, even though they may  
 585 define an affiliation.

586 The candidate profile describes the candidate. The election statement describes the opinions of  
 587 the candidate. Optionally, a photo may be included, either as a link or as Base64 encoded binary.

### 588 5.2.12 ComplexDateRangeStructure

589



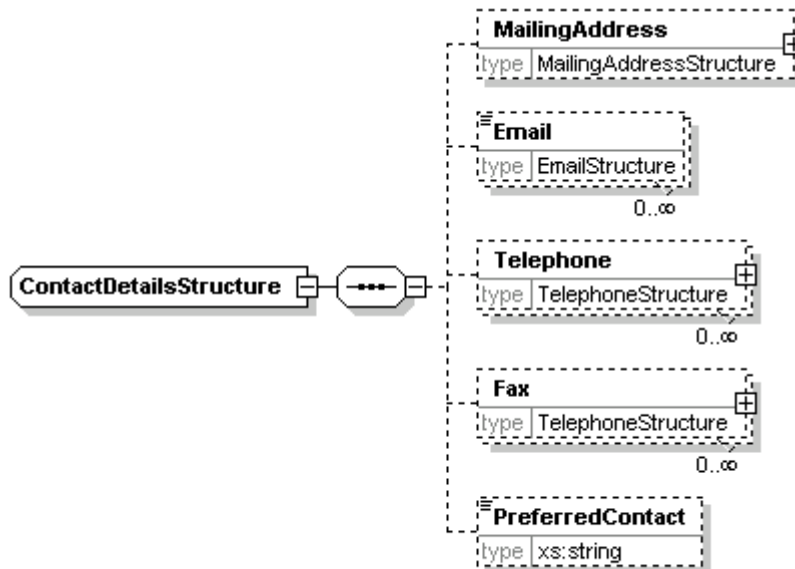
Element	Attribute	Type	Use	Comment
ComplexDateRangeStructure	Type	xs:token	required	

590 This data type is used to describe ranges of dates or dates and times. Each date can be a single  
 591 date, a start date, an end date or include both start and end dates.

592 The `Type` attribute is used to indicate the purpose of the date (e.g. "deadline for nominations"). It  
 593 is likely that this will be removed before release of EML version 4 and applied to elements instead  
 594 as an extension of this data type.

595  
596  
597

### 5.2.13 ContactDetailsStructure



Element	Attribute	Type	Use	Comment
ContactDetailsStructure	DisplayOrder	xs:positiveInteger	optional	

598  
599  
600  
601  
602  
603

This data type is used in many places throughout the EML schemas. The mailing address uses whatever format is defined in the EML externals schema document. Where several addresses or numbers can be given (for example, email addresses), there is a facility to indicate whichever is preferred. The overall preferred method of contact can also be provided by placing an XPath to the preferred method in the PreferredContact element.

604  
605  
606

### 5.2.14 ContestIdentifierStructure



Element	Attribute	Type	Use	Comment
ContestIdentifierStructure	Id	xs:NMTOKEN	required	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	

607  
608  
609

This data type is used to define an element that is an identifier for a contest. It holds a name and ID. A short code can also be included, for example, for SMS voting.

610

### 5.2.15 DocumentIdentifierStructure

611  
612

The DocumentIdentifierStructure is an extension of xs:token to add the following attribute:

Element	Attribute	Type	Use	Comment
DocumentIdentifierStructure	Href	xs:anyURI	required	

613

614 This allows identification of external documents relating to an event, election or contest. The  
615 document can have a name and URL.

## 616 5.2.16 ElectionGroupStructure

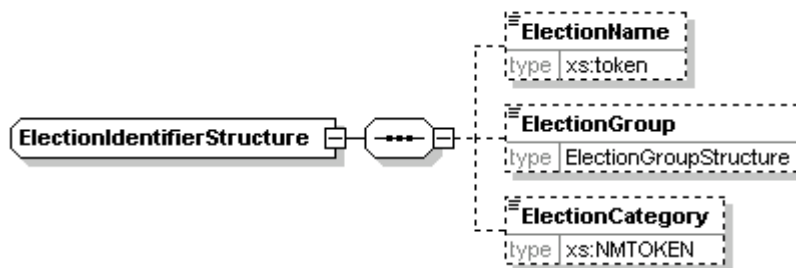
617 The ElectionGroupStructure is an extension of xs:token to add the following attribute:

Element	Attribute	Type	Use	Comment
DocumentIdentifierStructure	Id	xs:token	required	

618

619 The election group is used to group a number of elections together. This could be required, for  
620 example, under the additional member system, where two elections are held, the result of one  
621 influencing the result of the other. It could also be used at a company AGM, where proposals  
622 might be grouped for display purposes.

## 623 5.2.17 ElectionIdentifierStructure



624

Element	Attribute	Type	Use	Comment
ElectionIdentifierStructure	Id	xs:NMTOKEN	required	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	

625

626 The election identifier is used wherever the election needs to be specified. There is an Id  
627 attribute, which can often be used on its own to identify the election. In other cases, particularly  
628 where the content of a message is to be displayed, the election name can also be provided. The  
629 election group is used to group a number of elections together as described above.

630 The election category is used in messages where several elections are included in the message,  
631 but may be treated differently under localisation rules. Each election that requires different  
632 treatment will be given a category unique within that election event, allowing a Schematron  
633 processor to distinguish between the elections.

634

## 5.2.18 EmailStructure

635 The EmailStructure is an extension of the EmailType to add the following attribute:

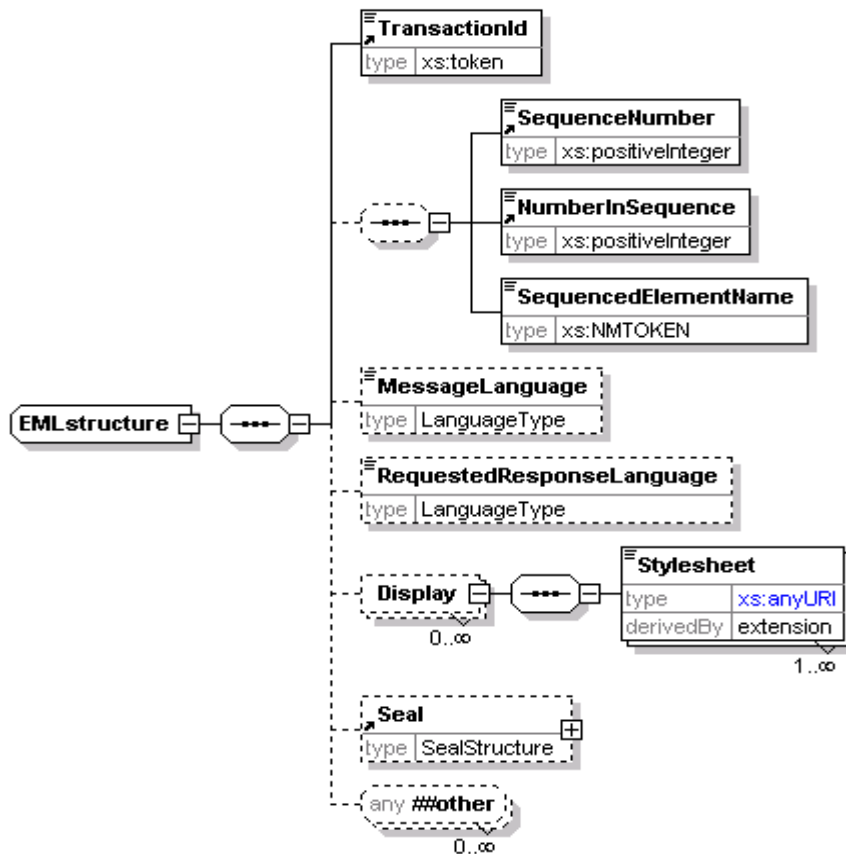
Element	Attribute	Type	Use	Comment
EmailStructure	Preferred	YesNoType	optional	

636

637 The Preferred attribute is used to distinguish which of several email addresses to use.

638

## 5.2.19 EMLstructure



639

640

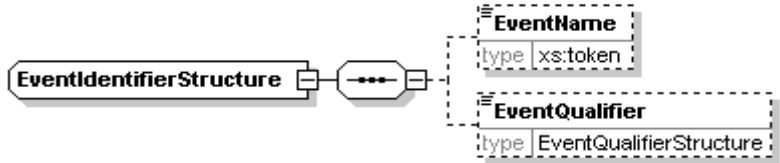
Element	Attribute	Type	Use	Comment
EMLstructure	Id	MessageTypeType	required	
	SchemaVersion	xs:NMTOKEN	required	
	ShortCode	ShortCodeType	optional	
Stylesheet	Type	xs:token	required	

641 The EML element defined by this data type forms the root element of all EML documents. The  
 642 transaction ID is used to group messages together, for example, when they are split using the  
 643 message splitting mechanism. This mechanism is implemented using the next three elements.  
 644 The optional message language indicates the language of the message using ISO 639 three  
 645 letter language codes, while the requested response language can be used to indicate the

646 preferred language for a response. This element is used in messages from the voter or candidate  
 647 to the election organizers.

648 The display element allows the definition of stylesheets to display the message. Multiple  
 649 stylesheets can be declared. When displaying on the web, the first is likely to be an XSLT  
 650 stylesheet, while the second might describe a CSS stylesheet to be incorporated as well. The  
 651 `Type` attribute of the `Stylesheet` element should contain a media types as defined in RFC 2046  
 652 Pt 2 [1] using the list of media types defined by IANA [2], for example, `text/xsl`. The final element  
 653 defined is the seal, which is used to seal the complete message.

654 **5.2.20 EventIdentifierStructure**



655

Element	Attribute	Type	Use	Comment
EventIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

656 The event identifier is used wherever the election event needs to be specified. There is an `Id`  
 657 attribute, which can often be used on its own to identify the event. In other cases, particularly  
 658 where the content of a message is to be displayed, the event name can also be provided. The  
 659 event qualifier is used to further identify the event.

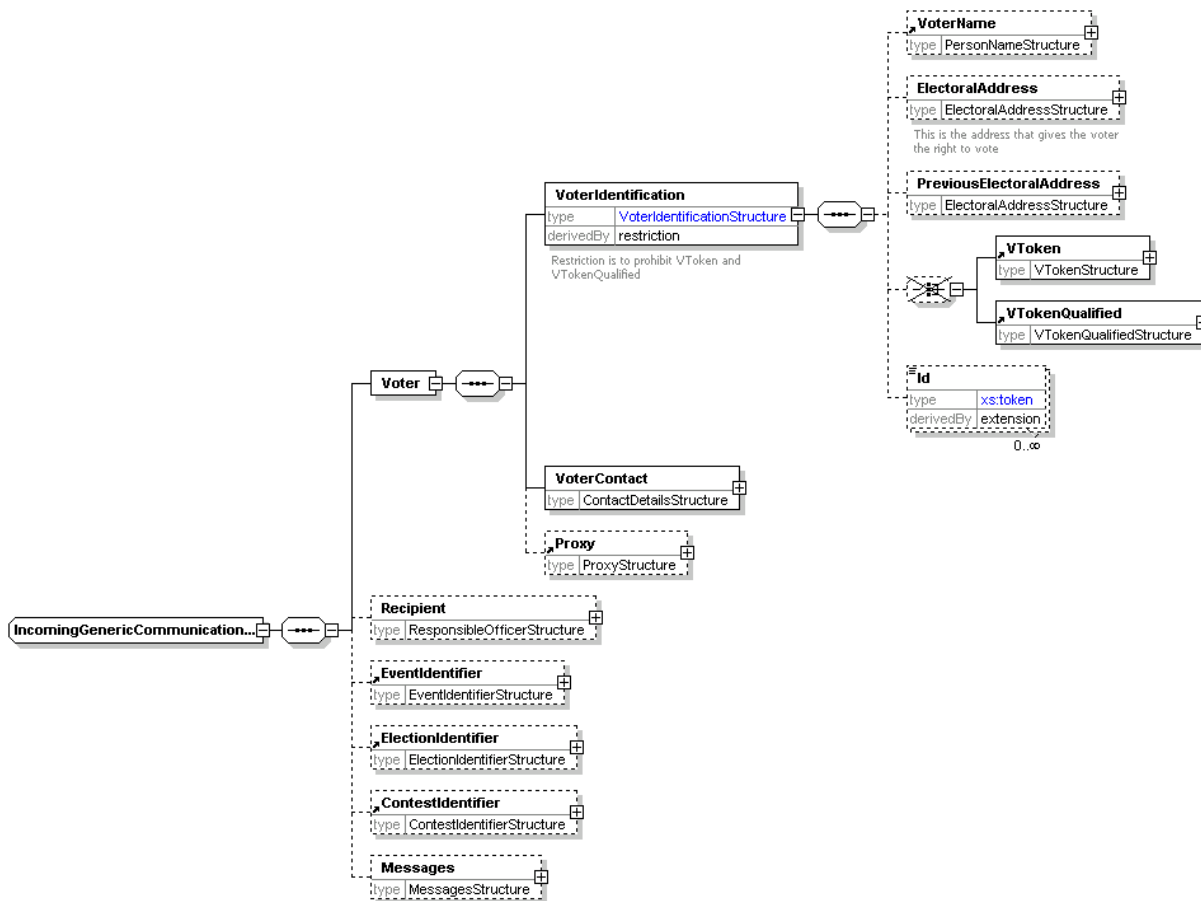
660 **5.2.21 EventQualifierStructure**

661 The `EventQualifierStructure` is an extension of `xs:token` to add the following attribute:

Element	Attribute	Type	Use	Comment
EventQualifierStructure	Id	xs:NMTOKEN	optional	

662 The event qualifier is used to further identify the event. For example, there might be "County  
 663 Elections" covering an entire country, but the events are organized at a county level, so the event  
 664 qualifier would identify the county.

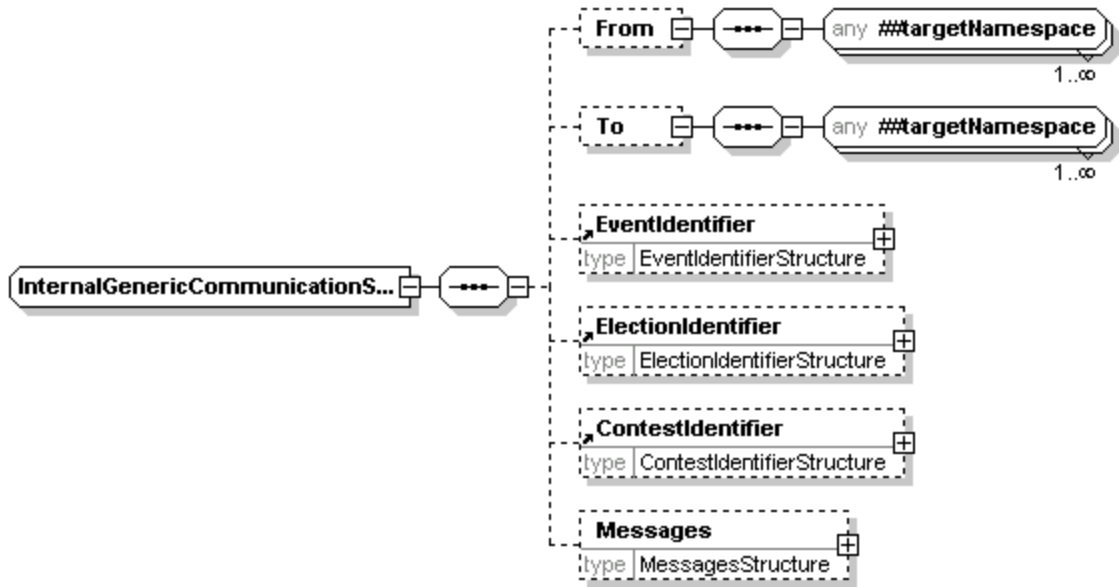
## 5.2.22 IncomingGenericCommunicationStructure



666

667 This data type provides a common structure for incoming communications. Individual message  
 668 types, such as that used for selecting a preferred voting channel (schema 360b) are based on  
 669 extensions of this type.

### 5.2.23 InternalGenericCommunicationStructure



671 This data type provides a common structure for communications between entities involved in the  
 672 organization of an election. Individual message types are based on extensions of this type. The  
 673 sender and recipient can use any elements defined within EML.

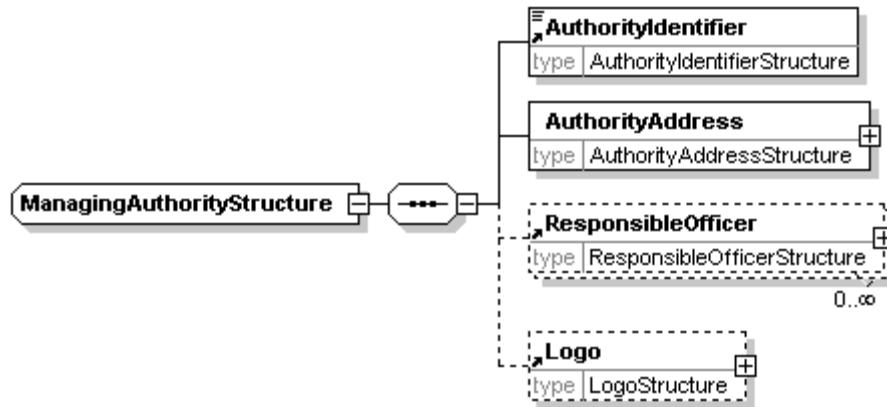
### 674 5.2.24 LogoStructure

675 The LogoStructure is an extension of the PictureDataStructure to add one attribute:

Element	Attribute	Type	Use	Comment
LogoStructure	Id	xs:NMTOKEN	optional	Standard attribute for a PictureDataStructure
	DisplayOrder	xs:positiveInteger	optional	Standard attribute for a PictureDataStructure
	Role	xs:token	optional	Additional attribute for this element

676 This element extends the picture data structure by adding an attribute to define the rôle of the  
 677 logo. This can be used to indicate the purpose of the logo (for example, that it is to appear on a  
 678 ballot).

### 5.2.25 ManagingAuthorityStructure



680

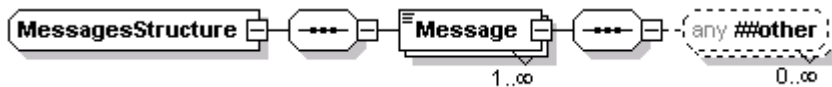
681 The managing authority is the body responsible for an election event, election, contest or  
 682 reporting unit. In most cases, not all of these will be required, but sometimes more than one is  
 683 necessary. For example, an election using the additional member system might be organized on  
 684 a regional basis, whilst local authorities organise their local election events. In this case, the  
 685 region becomes the managing authority for the contest, whilst the local authority is the managing  
 686 authority for the event. There will also be an authority responsible for the overall conduct of the  
 687 election, although this information might not be required.

688 The managing authority indicates the authority name, address, Id, any logo that might be required  
 689 for display during the election and a list of responsible officers.

### 5.2.26 MessageStructure

690

691



Element	Attribute	Type	Use	Comment
MessageStructure	DisplayOrder	xs:positiveInteger	optional	
Message	Format	xs:topken	optional	
	Type	xs:token	optional	
	Lang	LanguageType	optional	

692 The Message element is of 'mixed' type, so can have both text and element content. The  
 693 intention is that it should have one or the other. The Message element has three attributes: Lang  
 694 is used to indicate the language of the message using ISO 639 three letter language codes,  
 695 Format indicates the format of element content using the media types definition from RFC 2046  
 696 Pt 2 [1] and the list of media types defined by IANA [2], for example, text/html, and Type indicates  
 697 the purpose of the message.

### 5.2.27 NominatingOfficerStructure

698

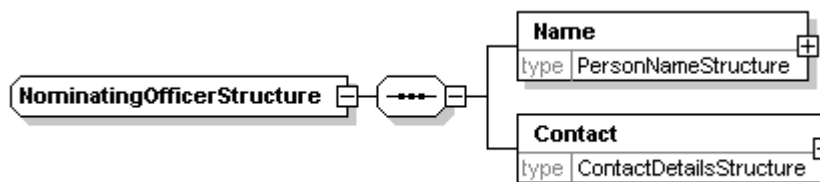
699

700

701

702

703



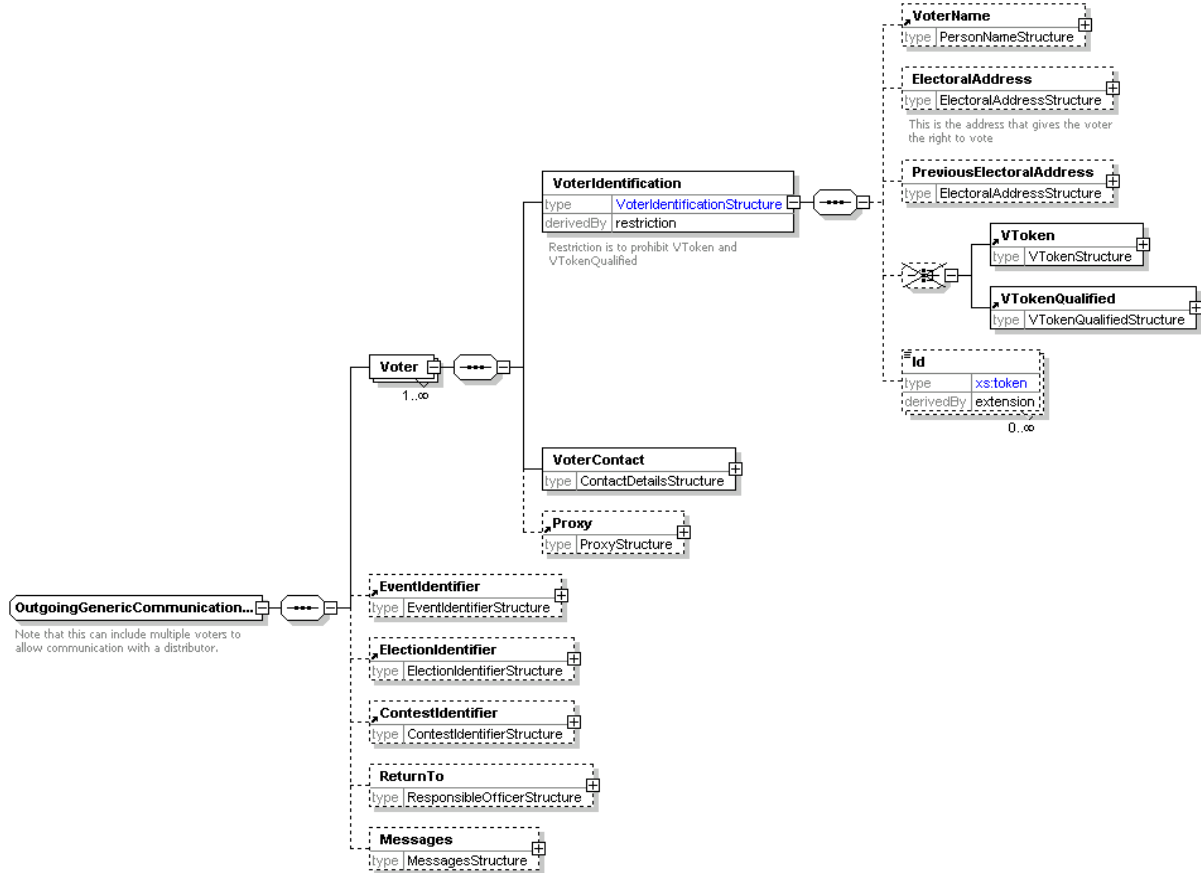


704

705 The nominating officer is the person nominating a party in an election run under, for example, the  
706 party list system. The data type includes a name and contact information.

707

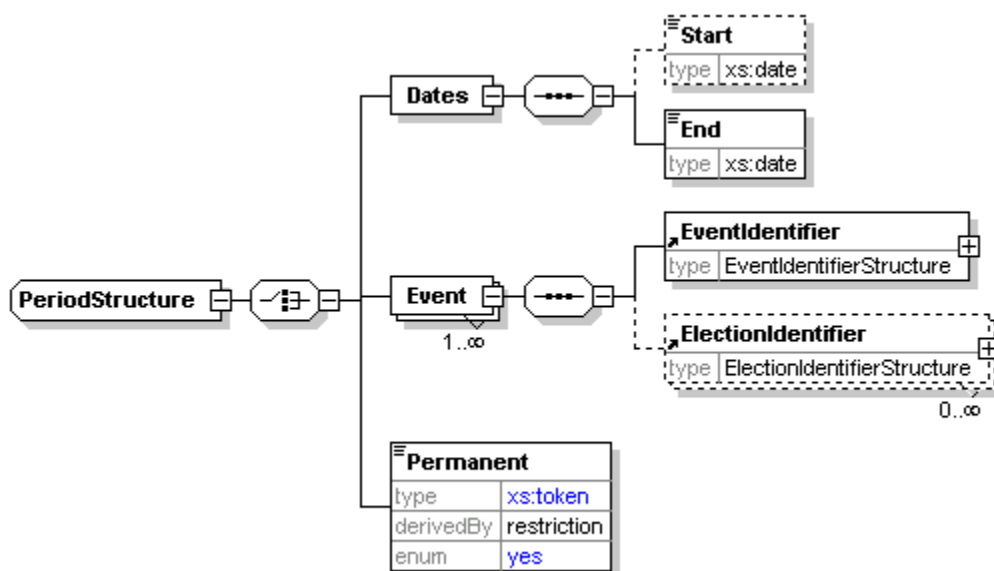
### 5.2.28 ManagingGenericCommunicationStructure



708

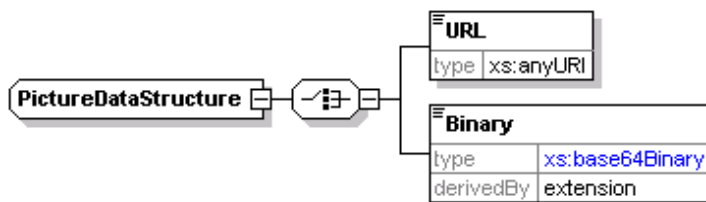
709 This data type provides a common structure for outgoing communications. Individual message  
710 types, such as that used for requesting the selection of a preferred voting channel (schema 360a)  
711 are based on extensions of this data type.

## 5.2.29 PeriodStructure



This element can be used when appointing a proxy or registering to vote using a specific channel (e.g. postal). It allows this registration to be for a period of time, for specific election events (and possibly elections within those events) or permanently.

## 5.2.30 PictureDataStructure

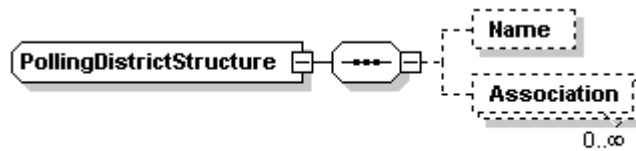


Element	Attribute	Type	Use	Comment
PictureDataStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
Binary	Format	xs:NMTOKEN (restricted)	required	

Where a picture (logo, map, photo) is provided, it may be given as either a link or as Base64 encoded binary data. In the latter case, the format of the logo (bmp, gif, jpeg, png or tiff) must be indicated using the `Format` attribute of the `Binary` element..

738  
739  
740  
741  
742

### 5.2.31 PollingDistrictStructure

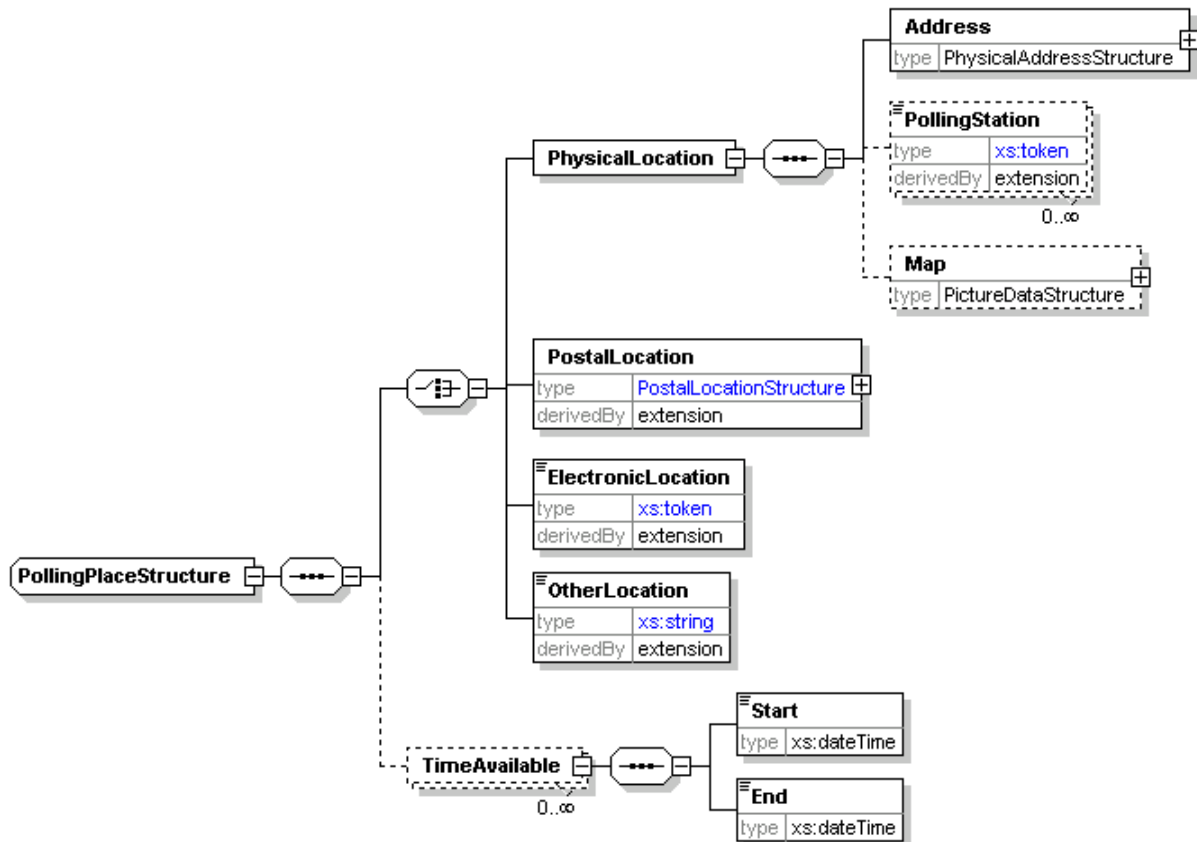


Element	Attribute	Type	Use	Comment
PollingDistrictStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

743 The polling district indicates where a voter is registered to vote. The polling district can have a  
744 name and an Id attribute. It can also be associated with other terms such as a constituency. This  
745 is done through the **Association** element, which has **Type** attribute and may have an **Id**  
746 attribute as well as a text value.

747  
748

### 5.2.32 PollingPlaceStructure



749

Element	Attribute	Type	Use	Comment
PollingPlaceStructure	Channel	VotingChannelType	required	
	DisplayOrder	xs:positiveInteger	optional	
PhysicalLocation	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
PostalLocation	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
ElectronicLocation	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
OtherLocation	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
PollingStation	Id	xs:NMTOKEN	optional	

750 In general, a polling place will be either a physical location (for paper or kiosk voting), a postal  
751 address (for postal votes) or an electronic location (for Internet, SMS, telephone and other  
752 electronic means of voting). However, it is possible that none of these types will meet every need,  
753 and so an `OtherLocation` element has been included. Each of these locations must indicate the  
754 channel for which it is to be used. If a single location supports multiple channels, it must be  
755 included multiple times.

756 A physical location has an address. Sometimes, several polling stations will be at the same  
757 address, so a polling station can be defined by name and/or Id within the address. Access to an  
758 external map can also be provided as a URI or Base64 encoded binary data.

759 An electronic location must indicate its address (e.g. phone number, URL).

760 An optional `TimeAvailable` element is also provided. In most cases, this is not required as the  
761 time a location is available is the same as the time the channel is available. However, there are  
762 circumstances, such as the use of mobile polling stations, where this is not the case.

### 763 **5.2.33 PositionStructure**

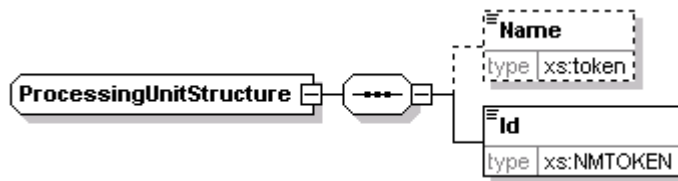
764 The `PositionStructure` is an extension of `xs:token` to add the following attributes:

Element	Attribute	Type	Use	Comment
PositionStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

765 The element defined by this type indicates the position (e.g. President) for which an election is  
766 being held. It has a text description and an optional ID.

767

## 5.2.34 ProcessingUnitStructure



768

Element	Attribute	Type	Use	Comment
ProcessingUnitStructure	Role	xs:token (restricted)	required	

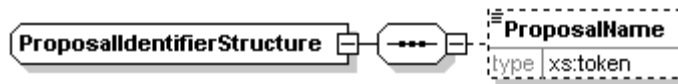
769 A processing unit is a physical system used in the election process. It is identified as part of audit  
 770 information by its ID (which might be an IP address) and optional name.

771 Each processing unit has an attribute to describe its rôle. The rôle can be "sender", "receiver",  
 772 "previous sender" or "next receiver". The latter two are used when there is a gateway involved.

773 For example, a 440 (cast vote) message might have an `OriginatingDevice` as its original  
 774 sender, a gateway as sender and voting system as receiver.

775  
776  
777  
778

### 5.2.35 ProposalIdentifierStructure

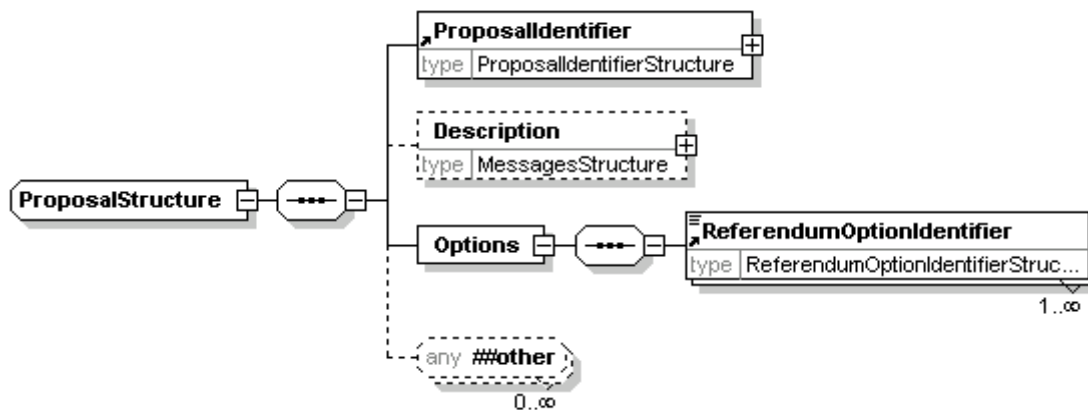


Element	Attribute	Type	Use	Comment
ProposalIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	
	ExpectedConfirmationReference	ConfirmationReferenceType	optional	

779 A proposal is used in a referendum. At a basic level, it is a piece of text with the options ('yes' and  
780 'no', 'for' and 'against' etc) to be voted on.  
781 The proposal identifier indicates a system ID for the proposal.. A short code can also be included,  
782 either for SMS voting or where the security mechanism in place requires it. An  
783 ExpectedConfirmationReference attribute also allows for security mechanisms where the  
784 confirmation reference may be different for each combination of voter and candidate.

785

### 5.2.36 ProposalStructure



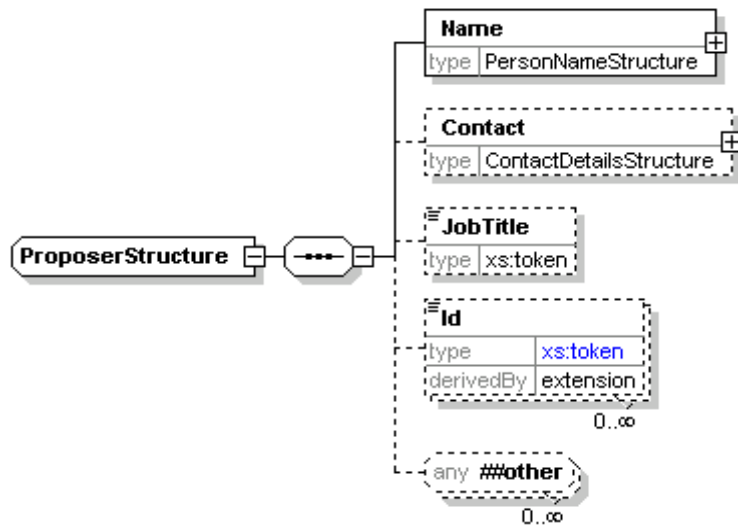
786

Element	Attribute	Type	Use	Comment
ProposalStructure	Type	xs:token	optional	

787 The proposal identifier provides a name and ID. The description is used to provide the information  
788 that will be displayed to the voter to indicate the aim of the proposal. The options are then used to  
789 indicate how the voter may vote.  
790 The Type attribute allows for referenda where there are different kinds of proposal. For example,  
791 "initiative" or "referendum".

792

### 5.2.37 ProposerStructure



793

Element	Attribute	Type	Use	Comment
ProposerStructure	Category	xs:token (restricted)	optional	

794

A proposer proposes, seconds or endorses a candidate or referendum proposal. A proposer can

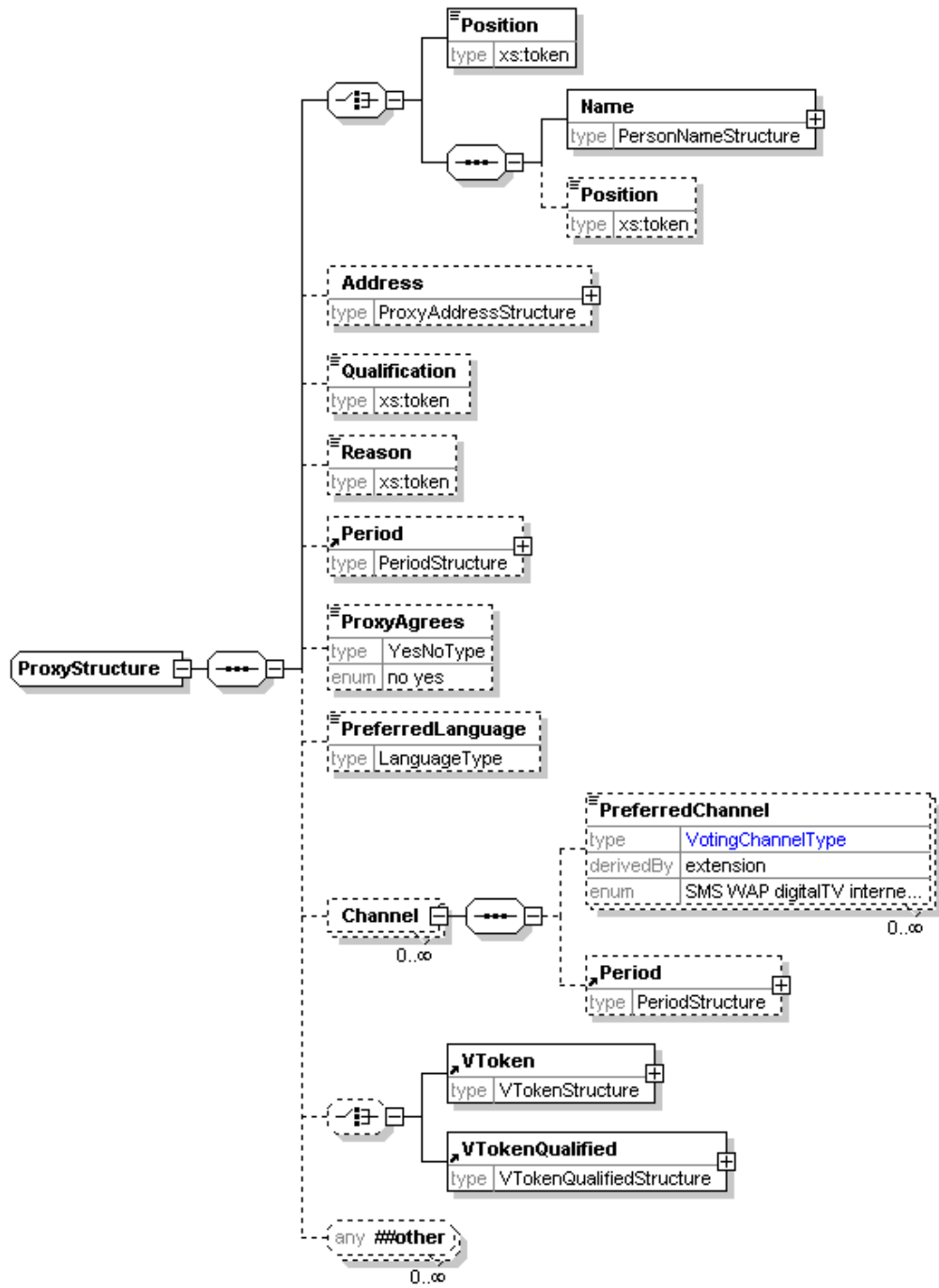
795

have a category, which indicates one of "primary", "secondary" or "other". A name is always

796

required, and additional information might be needed.

### 5.2.38 ProxyStructure



798

Element	Attribute	Type	Use	Comment
ProxyStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
PreferredChannel	Fixed	YesNoType	optional	

799



800 In many elections, a voter may appoint a proxy to vote on his or her behalf. That proxy may be  
 801 identified by position (for example, appointing the chairman as proxy at a company AGM), or by  
 802 name (for example, appointing your spouse as proxy for a public election), or both.

803 In some elections, the proxy must, for example, be a family member. This is indicated using the  
 804 `Qualification` element, while a reason for appointing a proxy can be indicated using the  
 805 `Reason` element.

806 A proxy can be permanent (i.e. appointed until revoked), appointed for one or more election  
 807 events (and individual elections within each event) or for a period of time. A proxy can also list his  
 808 or her preferred voting channels. These are listed in order of preference for a given period (which  
 809 may be specific election events, a date range or permanent), so that information can be sent  
 810 regarding the most appropriate voting channel at any election. The channel may be fixed, for  
 811 example, if registering to vote by a specific channel prevents voting by other means.

812 A proxy may also have a voting token, indicating the right to vote, or a qualified voting token,  
 813 indicating that there is a question over their right to vote.

### 814 **5.2.39 ReferendumOptionIdentifierStructure**

815 The `ReferendumOptionIdentifierStructure` is an extension of `xs:token` to add the  
 816 following attributes:

Element	Attribute	Type	Use	Comment
ReferendumOptionIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
	ShortCode	ShortCodeType	optional	
	ExpectedConfirmationReference	ConfirmationReferenceType	optional	

817 A referendum option is used to indicate the possible answers to a referendum question, such as  
 818 "yes" and "no" or "for" and "against".

819 The referendum option identifier has a text description and can have a system ID. A short code  
 820 can also be included, either for SMS voting or where the security mechanism in place requires it.  
 821 An `ExpectedConfirmationReference` attribute also allows for security mechanisms where the  
 822 confirmation reference may be different for each combination of voter and option.

### 823 **5.2.40 ReportingUnitIdentifierStructure**

824 The `ReportingUnitIdentifierStructure` is an extension of `xs:token` to add the following  
 825 attributes:

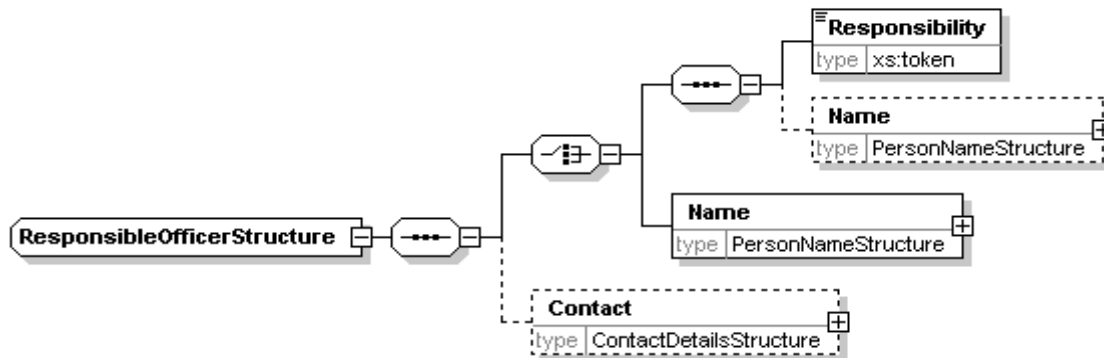
Element	Attribute	Type	Use	Comment
ReportingUnitIdentifierStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	

826 A reporting unit is an entity that reports partial information relating to a contest (votes or the  
 827 results of a count) without having the full set of information required to generate a result. This will  
 828 happen when votes from several independently managed areas must be amalgamated to  
 829 produce a result.

830 The reporting unit identifier structure defines a string with an optional `Id`.

831

### 5.2.41 ResponsibleOfficerStructure



832

Element	Attribute	Type	Use	Comment
ResponsibleOfficerStructure	Id	xs:NMTOKEN	optional	

833 A responsible officer is someone who has some sort of rôle to play in the organization of an  
 834 election. Each responsible officer has a name and/or responsibility (such as 'returning officer')  
 835 and optional contact information. Local rules will usually indicate the values allowed in the  
 836 Responsibility element.

### 5.2.42 ScrutinyRequirementStructure

838 The ScrutinyRequirementStructure is an extension of xs:token to add the following  
 839 attribute:

Element	Attribute	Type	Use	Comment
ScrutinyRequirementStructure	Type	xs:token	required	

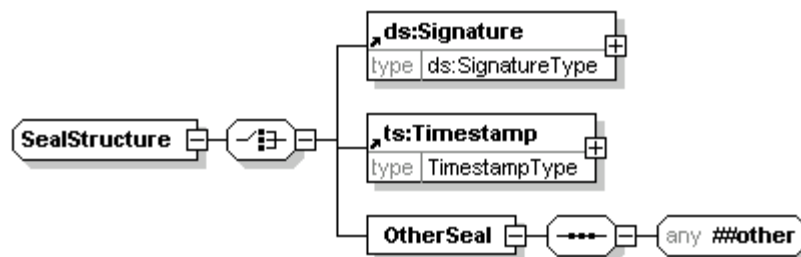
840 A scrutiny requirement has two parts, a Type attribute and a text value. The Type specifies a  
 841 condition that a candidate must meet, such as an age or membership requirement or the payment  
 842 of a fee. The text describes how that condition has been met. For example:

```
843 <ScrutinyRequirement Type="dateofbirth">8 June  

  844 1955</ScrutinyRequirement>
```

### 5.2.43 SealStructure

848



849

Element	Attribute	Type	Use	Comment
OtherSeal	Type	xs:token	required	

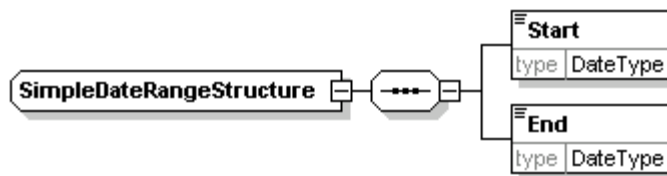
850 The seal is used to protect information such as a vote, voting token or complete message. The  
851 seal provides the means of proving that no alterations have been made to a message or  
852 individual parts of a message such as a vote or collection of votes, from when they were originally  
853 created by the voter. The seal may also be used to authenticate the identity of the system that  
854 collected a vote, and provide proof of the time at which the vote was cast.

855 If a message is to be divided, each part must be separately sealed to protect the integrity of the  
856 data. For example, if votes in several elections are entered on a single ballot, and these votes are  
857 being counted in separate locations, each vote must be separately sealed.

858 A seal may be any structure which provides the required integrity characteristics, including an  
859 XML signature [1] or a time-stamp.

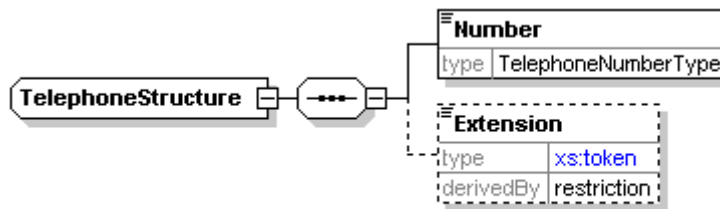
860 The XML signature created by the voting system provides integrity and authentication of the  
861 identity of the system that collected the vote. The time-stamp provides integrity of the vote and  
862 proof of the time that the vote was cast.

### 863 5.2.44 SimpleDateRangeStructure



864

865 This data type is used to describe ranges of dates or dates and times.



### 872 5.2.45 TelephoneStructure

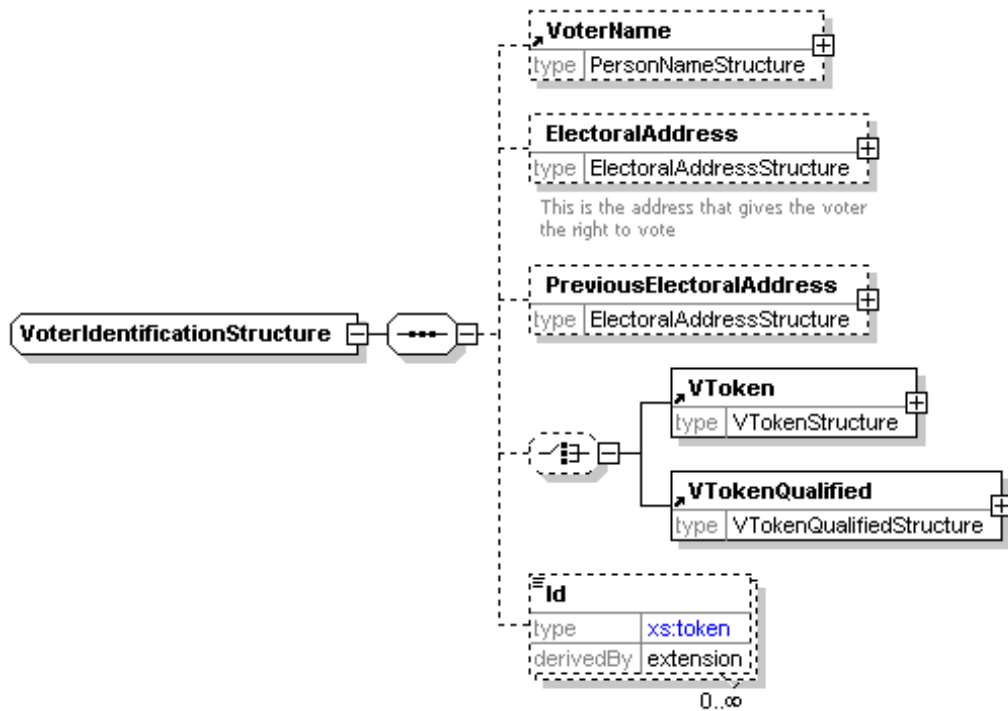
873

Element	Attribute	Type	Use	Comment
TelephoneStructure	Preferred	YesNoType	optional	
	Mobile	YesNoType	optional	

874 This is an extension of the TelephoneType and adds an Extension element and the two  
875 attributes Preferred and Mobile of YesNoType. The Preferred attribute indicates which of  
876 several phone numbers or fax numbers is preferred.

877  
878

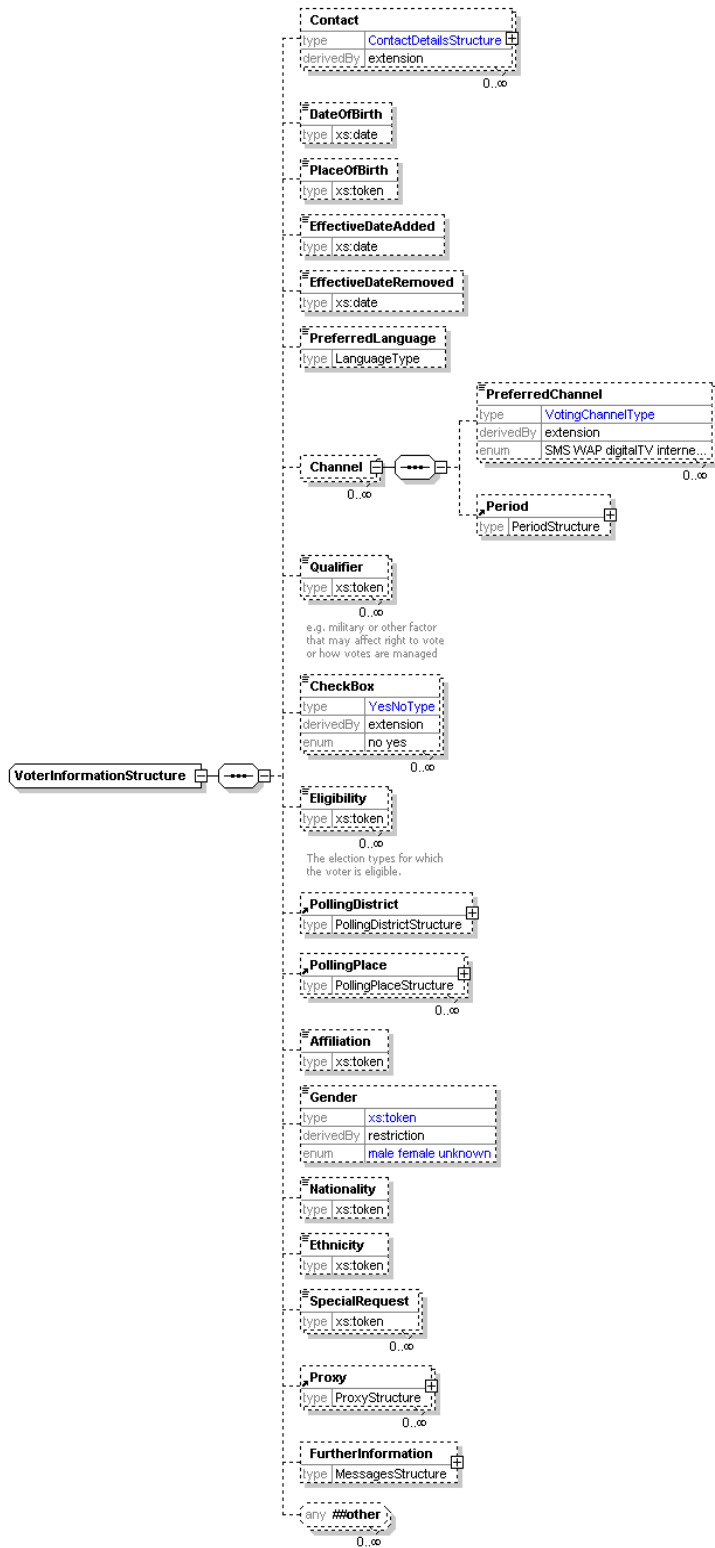
## 5.2.46 VoterIdentificationStructure



Element	Attribute	Type	Use	Comment
VoterIdentificationStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
Id	Type	xs:token	required	

879 An element defined by this data type is used wherever identification of a voter is required. It  
 880 contains the voter's name and electoral address (the address that gives them the right to vote in a  
 881 specific contest), the voting token (either normal or qualified) and a number of identifiers (such as  
 882 an electoral registration number). It may also include a previous electoral address if this is  
 883 required (for example, because a voter has not been at his or her current address for more than a  
 884 predefined period).  
 885

## 5.2.47 VoterInformationStructure



Element	Attribute	Type	Use	Comment
VoterInformationStructure	Id	xs:NMTOKEN	optional	
	DisplayOrder	xs:positiveInteger	optional	
ContactDetailsStructure	DisplayOrder	xs:positiveInteger	optional	standard attribute for this data type
	ElectionId	xs:NMTOKEN	optional	additional attribute
PreferredChannel	Fixed	YesNoType	optional	
Checkbox	Type	xs:token	required	

888 This contains more information about the voter. It contains all the information that would typically  
889 be included on an electoral register other than that used for identification of the voter. In many  
890 cases, it will be restricted to only include the information required in a specific message type.

891 A voter can list his or her preferred voting channels. These are listed in order of preference for a  
892 given period (which may be specific election events, a date range or permanent), so that  
893 information can be sent regarding the most appropriate voting channel at any election. The  
894 channel may be fixed, for example, if registering to vote by a specific channel prevents voting by  
895 other means.

896 The *Qualifier* element is used to hold information that might affect a voter's right to vote or how  
897 the voting process is managed. Suitable enumerations for this are likely to be added as part of  
898 localisation. The *CheckBox* element with its *Type* attribute allows binary information such as  
899 whether the voter's entry on the electoral register can be sold, or whether the voter wants to  
900 participate in the count. The eligibility indicates what election types a voter is eligible to participate  
901 in.

902 Special requests are requests from the voter, for example, for wheelchair access to a polling  
903 station.

## 904 5.2.48 VTokenStructure



905

Element	Attribute	Type	Use	Comment
Component	Type	xs:NMTOKEN	required	

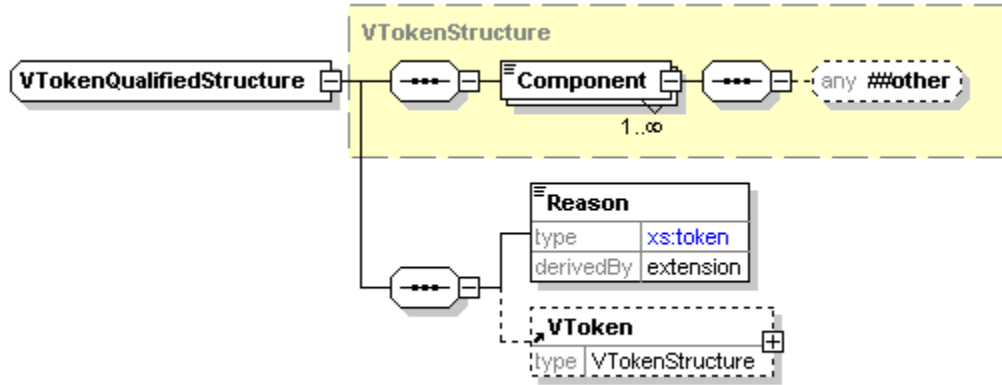
906 The voting token contains the information required to authenticate the voter's right to vote in a  
907 specific election or contest. A voting token can consist of a continuous string of encoded or  
908 encrypted data, alternatively it may be constructed from several data components that a user may  
909 input at various stages during the voting process (such as PIN, password and other coded data  
910 elements). The totality of the voting token data proves that a person with the right to vote in the  
911 specific election has cast the vote.

912 Depending on the type of election, the voter may need to cast their votes anonymously, thus not  
913 providing a link to the voter's true identity. In this case the voting token data will not identify the  
914 actual person casting the vote; it just proves that the vote was cast by a person with the right to  
915 do so. Election rules may require a link to be maintained between a vote and a voter, in which  
916 case a link is maintained between the voting token data and the voter's identity.

917 The components of the voting token are identified by a *Type* attribute and may contain text or  
918 markup from any namespace depending on the token type. The content could be defined further  
919 in separate schemas for specific types of token.

920

## 5.2.49 VTokenQualifiedStructure



921

Element	Attribute	Type	Use	Comment
Reason	Type	xs:token	required	

922 There are occasions when a normal voting token cannot be used. For example, if a voter is  
 923 challenged, or an election officer claims the voter has already voted. In these circumstances a  
 924 qualified voting token can be used and treated appropriately by the election system according to  
 925 the election rules. For example, challenged votes might be ignored unless there were sufficient to  
 926 alter the result of the election, in which case each vote would be investigated and counted if  
 927 deemed correct to do so.

928 The VTokenQualifiedStructure is therefore an extension of the VTokenStructure to add  
 929 the additional information required. This additional information comprises a reason for  
 930 qualification (as a Reason element with a Type attribute and textual description) and possibly an  
 931 original VToken.

## 932 **5.3 Elements**

933 The following elements are simply specified by their similarly-named data type and are not  
934 described further here:

935 Affiliation, AffiliationIdentifier, Agent, AgentIdentifier, Area,  
936 AuditInformation, AuthorityIdentifier, BallotIdentifier,  
937 BallotIdentifierRange, Candidate, CandidateIdentifier, ContactDetails,  
938 ContestIdentifier, CountingAlgorithm, DocumentIdentifier,  
939 ElectionIdentifier, EventIdentifier, EventQualifier, Gender , Logo,  
940 ManagingAuthority, MessageType, NominatingOfficer, NumberOfPositions,  
941 Period, PollingDistrict, PollingPlace, Position, Proposal,  
942 ProposalIdentifier, Proposer, Proxy, ReferendumOptionIdentifier,  
943 ReportingUnitIdentifier, ResponsibleOfficer, ScrutinyRequirement, Seal,  
944 VToken, VTokenQualified

### 945 **5.3.1 Accepted**

946 YesNoType

947 This element indicates that a candidate, referendum proposal or vote has been accepted.

### 948 **5.3.2 Election Statement**

949 MessagesStructure

950 This is the candidate's message to voters.

### 951 **5.3.3 MaxVotes**

952 xs:positiveInteger

953 The maximum number of votes allowed (also known as the vote limit). This defaults to the value  
954 of "1".

### 955 **5.3.4 MinVotes**

956 xs:nonNegativeInteger

957 The minimum number of votes allowed. This defaults to the value of "0".

### 958 **5.3.5 NumberInSequence**

959 xs:positiveInteger

960 The number of partial messages when a message is split. See "Spitting of Messages"

### 961 **5.3.6 NumberOfSequence**

962 This element represents the number of identical positions that will be elected as the result of a  
963 contest. For example, in a contest for a Town Council, three councillors might be elected as the  
964 result of the contest in one part of the town. The element is an xs:positiveInteger and  
965 defaults to a value of "1".

### 966 **5.3.7 PersonName**

967 This element uses the `PersonNameStructure` defined in the EML externals schema.

### 968 **5.3.8 Profile**

969 MessagesStructure



970 This is the candidate's profile statement.

971 **5.3.9 SequenceNumber**

972 `xs:positiveInteger`

973 The sequence number of a partial message when a message is split. See "Splitting of  
974 Messages".

975 **5.3.10 TransactionId**

976 `xs:token`

977 A reference code for a specific transaction, which may comprise several messages.

978 **5.3.11 VoterName**

979 `PersonNameStructure`

980 The name of a voter.

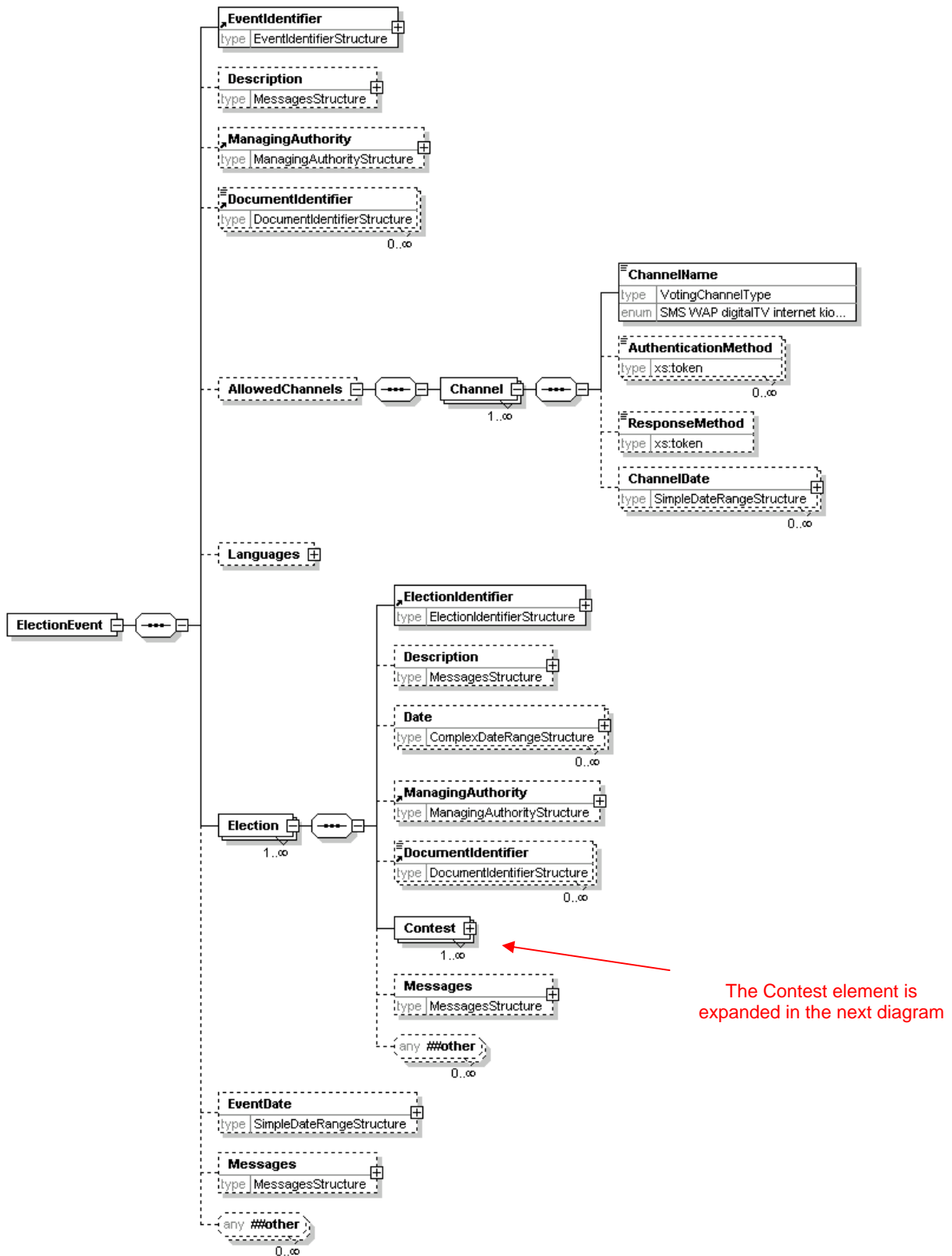
---

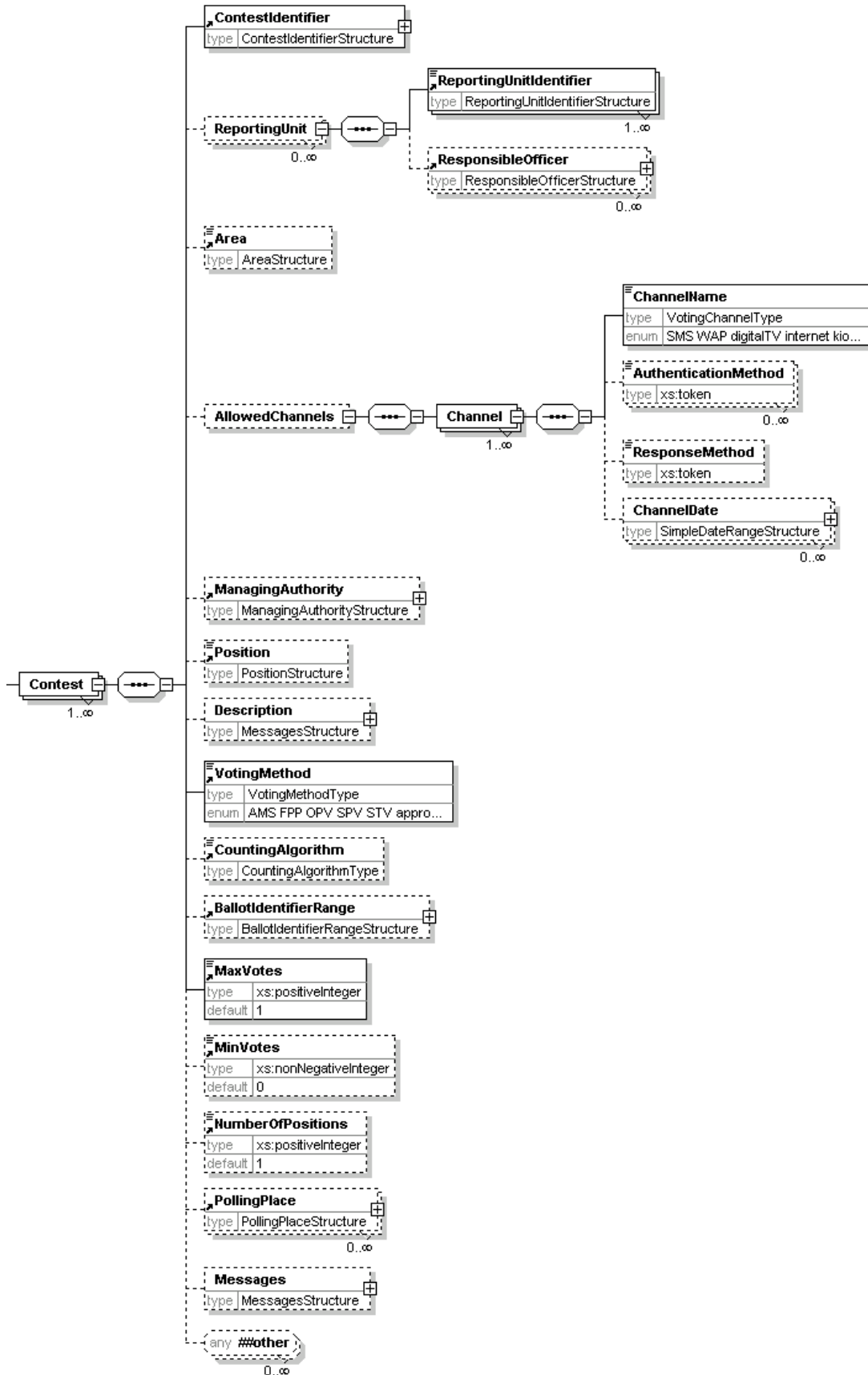
981 **6 The EML Message Schemas**

982 This section describes the EML messages and how the message specifications change for this  
983 application. It uses the element and attribute names from the schemas.

984 Attributes are shown where they are not the standard attributes of data types already described.

## 6.1 Election Event (110)





Element	Attribute	Type	Use	Comment
AllowedChannels	DisplayOrder	xs:positiveInteger	optional	
Contest	DisplayOrder	xs:positiveInteger	optional	

988

### 6.1.1 Description of Schema

989 This schema is used for messages providing information about an election or set of elections. It is  
990 usually used to communicate information from the election organisers to those providing the  
991 election service.

992 The message therefore provides information about the election event, all elections within that  
993 event and all contests for each election.

994 For the election event, the information includes the ID and name of the event, possibly with a  
995 qualifier on the event. This qualifier is used when an event has several local organisers. For  
996 example, for a UK general election, each constituency organises its own contests. The election  
997 event is therefore the general election, whilst the qualifier would indicate the constituency. Other  
998 information regarding an election event comprises the languages to be used, the start and end  
999 dates of the event, potentially a list of external documents that are applicable (such as the rules  
1000 governing the election), a description and information about the managing authority.

1001 The managing authority can be indicated for the event, each election, each contest within the  
1002 election and each reporting unit.

1003 An election can have a number of dates associated with it. For example, there is likely to be a  
1004 period allowed for nomination of candidates and a date when the list of eligible voters is fixed.  
1005 Each date can be expressed as a single date when something happens, a start date, an end  
1006 date, or both start and end dates. These dates can be either just a date or both a date and time  
1007 using the subset of the ISO 8601 format supported by XML Schema.

1008 Like the event, an election can have both a managing authority and referenced documents.  
1009 Finally, there is a `Messages` element for additional information.

1010 A contest has a name and ID. It can also have reporting unit identifiers. A contest may need to  
1011 specify its geographical area independently from its name, for which purpose the `Area` element is  
1012 provided. Each contest can specify the voting channels allowed. In general, the list of possible  
1013 channels will be further restricted as part of a local customisation. Each channel can specify  
1014 several methods for authenticating the voter, such as PIN and password, and a response  
1015 method, indicating the type of response to be given to a cast vote. Finally, facilities are provided  
1016 to indicate the dates and times when the channel will be available to the voter.

1017 As described previously, a contest can indicate its managing authority. It may also indicate the  
1018 position (such as 'President') for which votes are being cast. The `Description` allows for  
1019 additional text describing the contest. Each contest indicates the voting method being used, whilst  
1020 the `CountingAlgorithm` indicates the method of counting (such as the d'Hondt or Meeks  
1021 method) that will be used. The minimum and maximum number of votes to be cast by each voter  
1022 can also be indicated.

1023 A list of polling places can be provided. These can be either physical locations for people to go to  
1024 vote, postal addresses for postal votes or electronic locations. An 'other location' is also allowed  
1025 for cases where these do not meet the requirements. A location can also say when it will be  
1026 available. This is intended for mobile polling stations that will only be available at a given address  
1027 for a part of the voting period.

1028 Finally, a `Messages` element allows for additional information that might be communicated to the  
1029 voter later through other messages.

1030

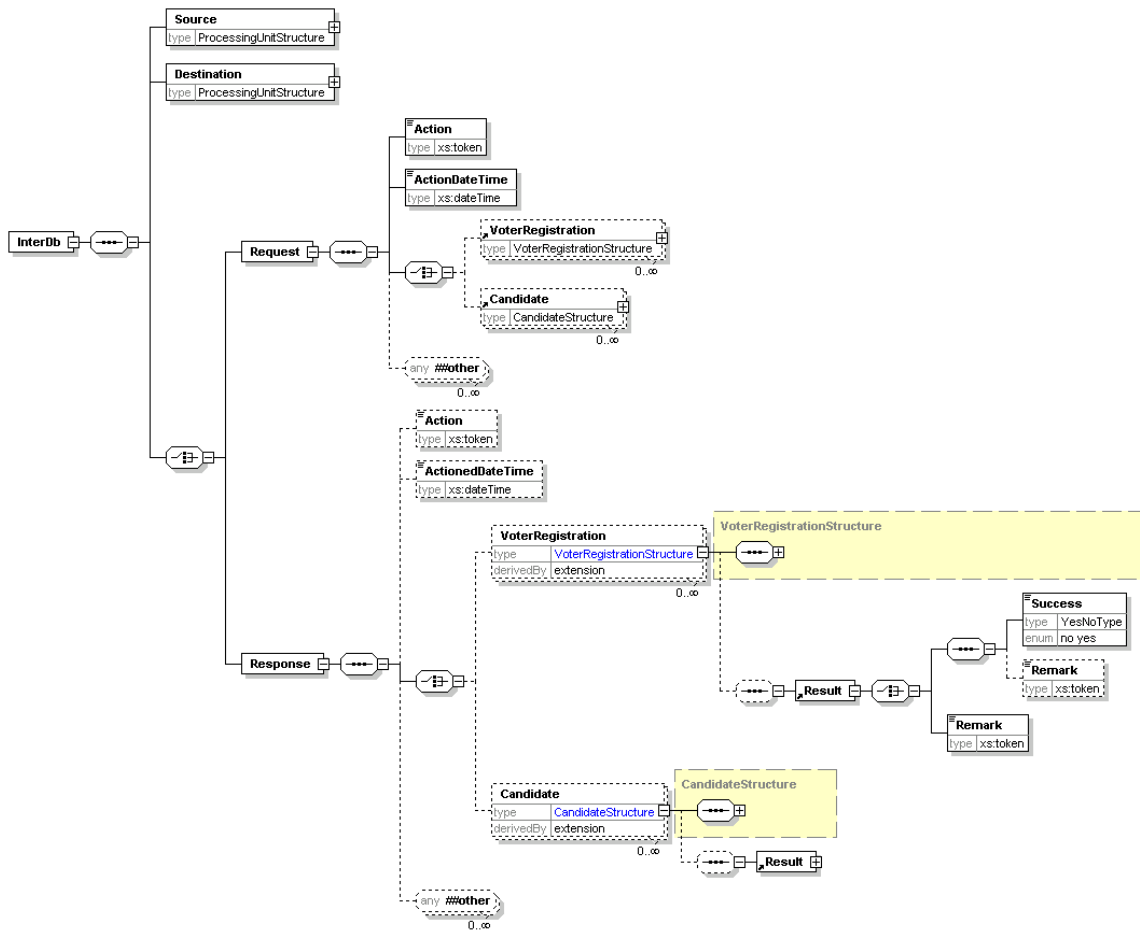
### 6.1.2 EML Additional Rules

Error Code	Error Description
3110-001	The allowed channels must not be declared at both the election event level and the contest level.

1031

1032

## 6.2 Inter Database (120)



1033

1034

### 6.2.1 Description of Schema

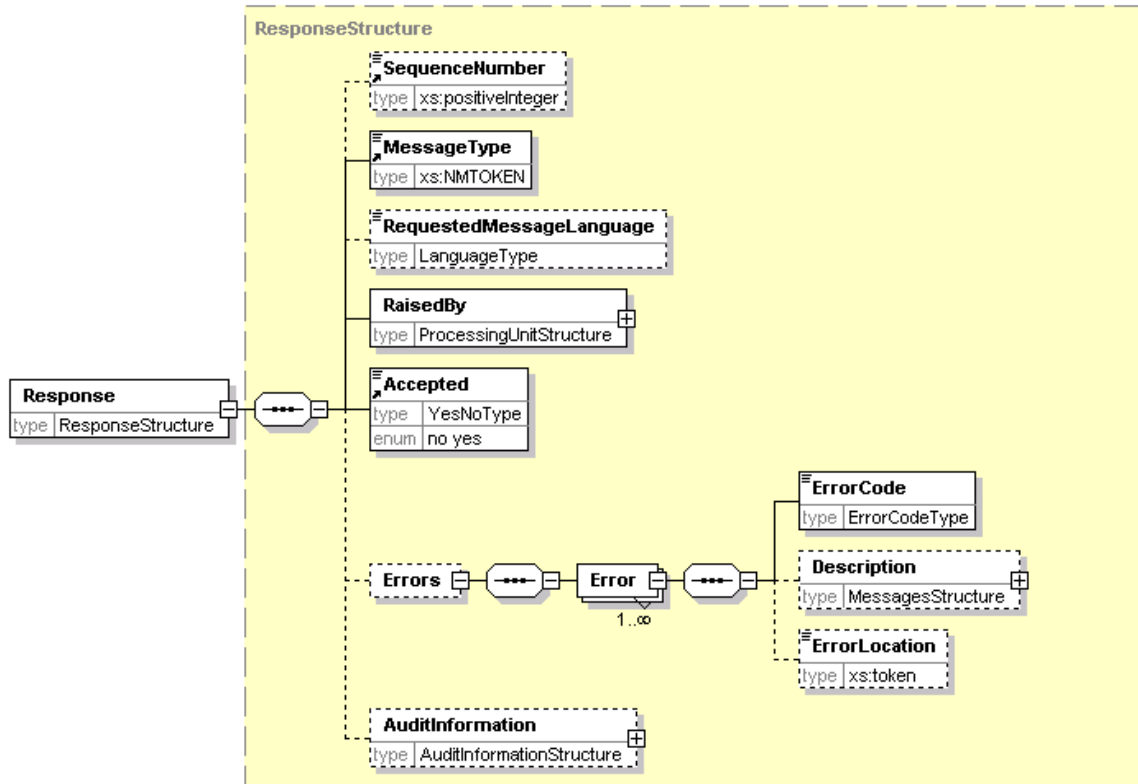
1035 This schema is used for messages requesting services from other electoral registers or candidate  
 1036 databases. This can, for example, be used to de-dupe databases, check that a candidate in an  
 1037 election is only standing in one contest or confirm that the proposers of a candidate are included  
 1038 on a relevant electoral register. The schema is in two parts, so a message will be either a request  
 1039 or a response.

1040 Both request and response start by identifying the source and destination as processing units.

1041 A request has an `Action` code to identify the request being made. Possible actions include, but  
 1042 are not limited to, "add", "delete", "replace", "confirm" and "return". The code "confirm" returns  
 1043 success if the person indicated is included in the database. The code "return" causes the  
 1044 receiving the database to return the full information for the person identified. The  
 1045 `ActionDateTime` is used to specify when the action should be carried out, and then there is an  
 1046 optional list of voters or candidates.

1047 A response has a similar structure. It could be that the `Action` code is no longer required, so this  
 1048 is now optional. The `TransactionID` must match that given in the request. The `Result` is either  
 1049 a binary `Success` flag or a remark or both. Again, there is a date and time, but in this case it is  
 1050 the date and time at which the action took place.

## 6.3 Response (130)



1052

1053

### 6.3.1 Description of Schema

1054 Some messages have a defined response message that provides useful information. However,  
 1055 there is a need for a more general response, either to indicate that a message has been  
 1056 accepted, or to indicate the reasons for rejection.

1057 The message includes information to identify the message to which the response applies (by  
 1058 using the same transaction id in the EML element and, if necessary, including the sequence  
 1059 number of the message to which the response applies in the Response element), with  
 1060 information on the entity raising the message, whether the message was accepted and  
 1061 information about the errors if it was not. The desired language for a display message can also be  
 1062 included to allow a downstream processor to substitute a language-specific error message if  
 1063 required.

1064 If the message is reporting an error, the location of the error within the message can be indicated.  
 1065 Usually, this will be an XPath to the location of the error. However, errors detected by an XML  
 1066 parser may be in a different format, such as a line number.

1067 Note that a single response can be raised for a series of sub-messages with the same transaction  
 1068 ID. This allows indication, for example, that a sub-message was missing.

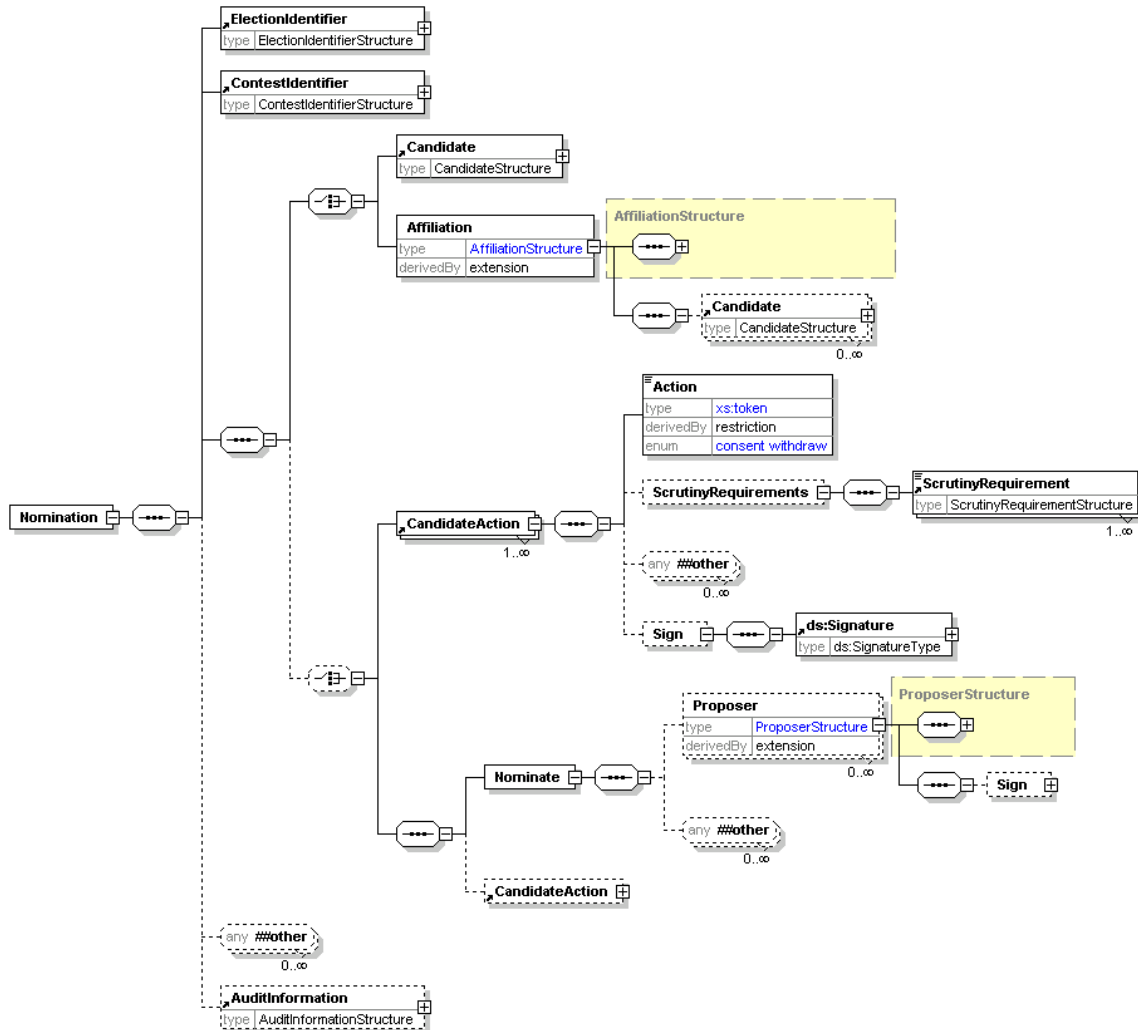
1069

### 6.3.2 Additional EML Rules

Error Code	Error Description
3130-001	If the message is not accepted, there must be an Errors element



## 6.4 Candidate Nomination (210)



1071

1072

### 6.4.1 Description of Schema

1073

Messages conforming to this schema are used for four purposes:

1074

1. nominating candidates in an election;

1075

2. nominating parties in an election;

1076

3. consenting to be nominated; or

1077

4. withdrawing a nomination.

1078

Candidate consent can be combined in a single message with a nomination of the candidate or party or sent separately.

1079

1080

Note that the message does not cover nomination for referendums.

1081

The election and contest must be specified. When a candidate is being nominated, there must be information about the candidate and one or more proposers. The candidate must supply a name. Optionally, the candidate can provide contact information, an affiliation (e.g. a political party) and textual profiles and election statements. These two items use the `MessagesStructure` to allow text in multiple languages. There is also scope to add additional information defined by the election organiser.

1082

1083

1084

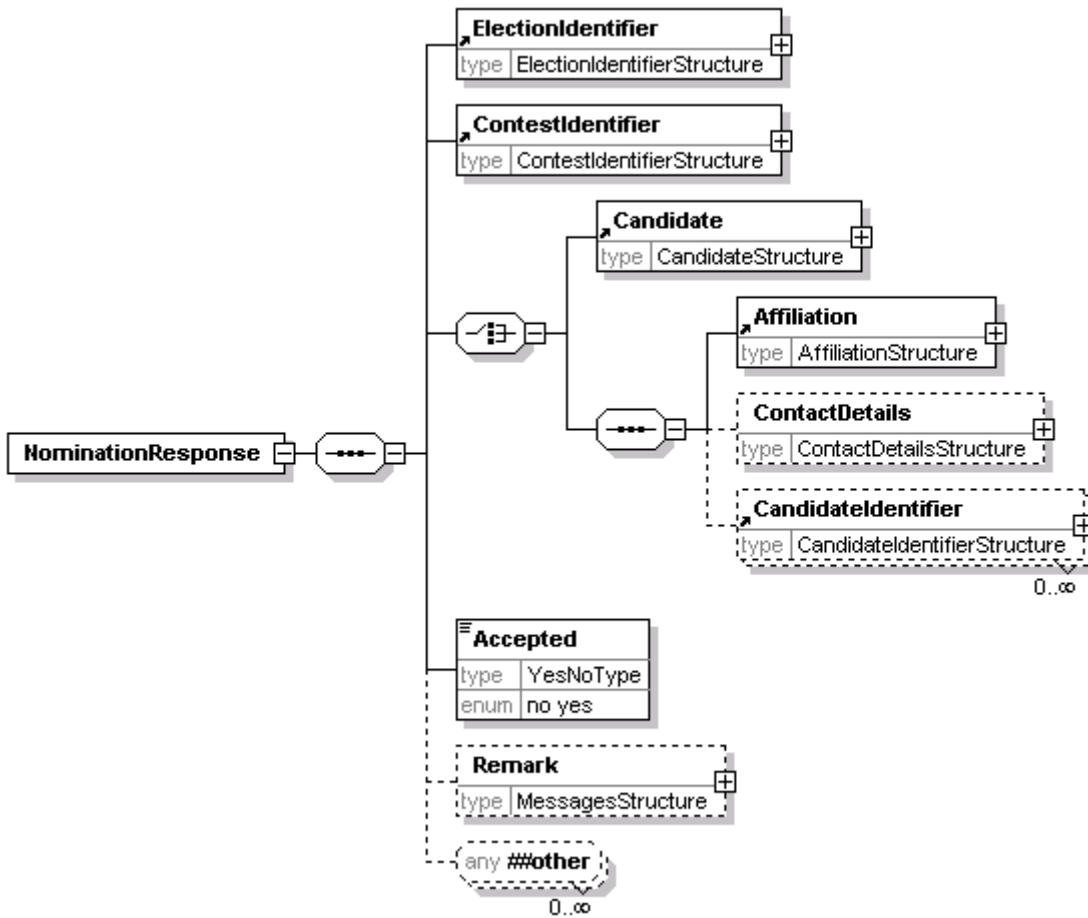
1085

1086

1087 The proposers use the standard proposer declaration with a mandatory name and optional  
1088 contact information and job title. Again, additional information can be required.  
1089 If a party is being nominated, the primary proposer will be the contact. Information on candidates  
1090 in a party list can also be provided.  
1091 Candidates, either individuals or on a party list, must define the action being taken and may  
1092 provide scrutiny information. The scrutiny requirements indicate how the candidate has met any  
1093 conditions for standing in this election. This could include indicating that a deposit has been paid  
1094 or providing a reference to prove that he or she lives in the appropriate area. This information can  
1095 be signed independently of the complete message.

1096  
1097

## 6.5 Response to Nomination (220)



1098

1099

### 6.5.1 Description of Schema

1100 This message is sent from the election organiser to the candidate or nomination authority for a  
 1101 party to say whether the nomination has been accepted. Along with the acceptance information  
 1102 and the basic information of election, contest and party and candidate names, the candidate's  
 1103 contact details and affiliation can be included and a remark explaining the decision.

1104

### 6.5.2 EML Additional Rules

1105

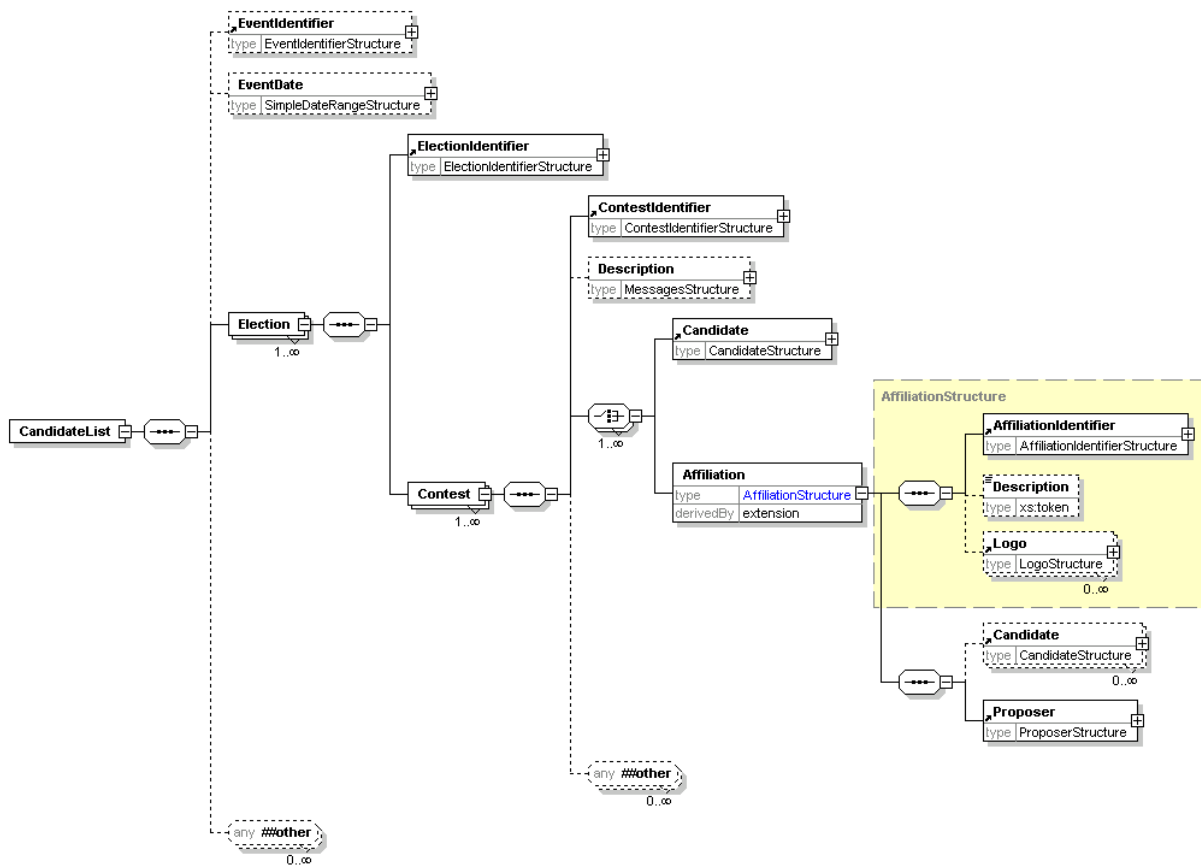
Error Code	Error Description
3220-001	If the nomination has not been accepted, a reason for rejection is required in the Remark element

1106

1107

## 6.6 Candidate List (230)

1108



1109

### 6.6.1 Description of Schema

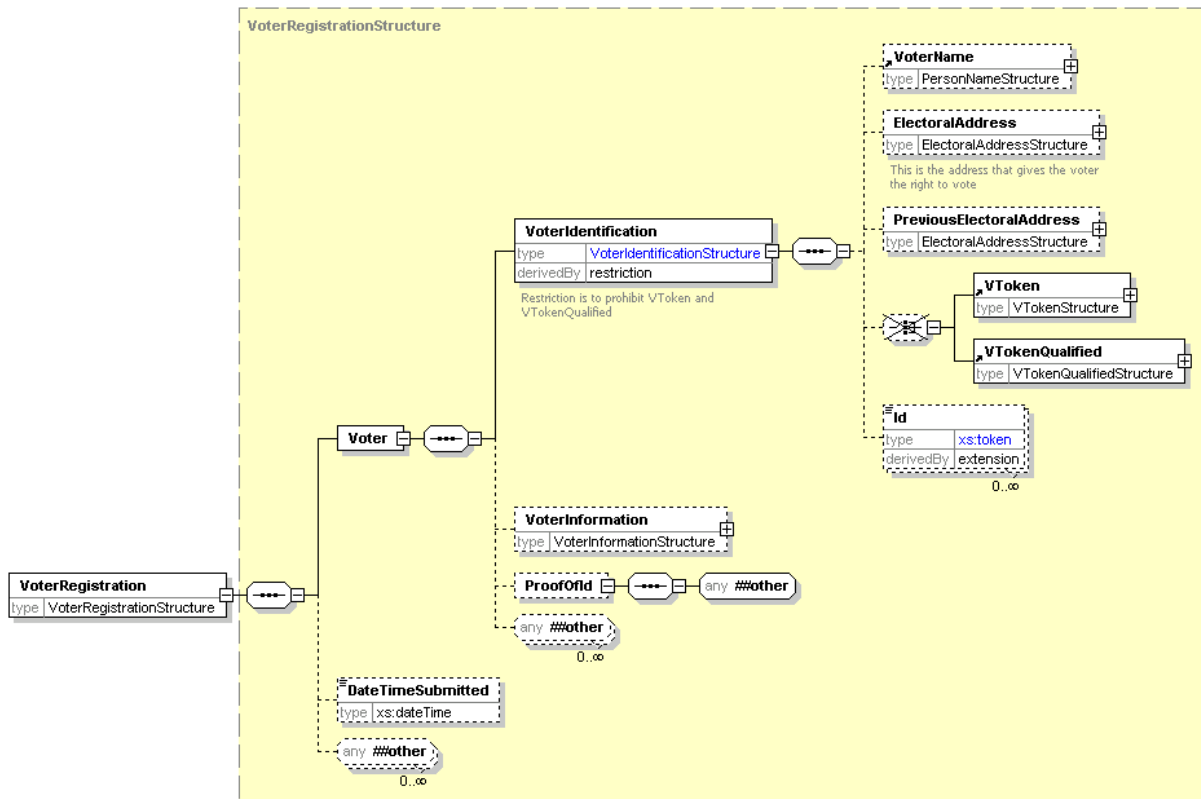
1110

This schema is used for messages transferring candidate lists for specified contests. It has the election event, election and contest identifiers, and optionally the event dates and a contest description. The list itself can be either a list of candidates, each with a name, address, optional affiliation and other useful data, or a list of parties. In the latter case, contact information and a list of candidates under a party list system can also be included.

1115

1116  
1117  
1118

## 6.7 Voter Registration (310)



1119

### 6.7.1 Description of Schema

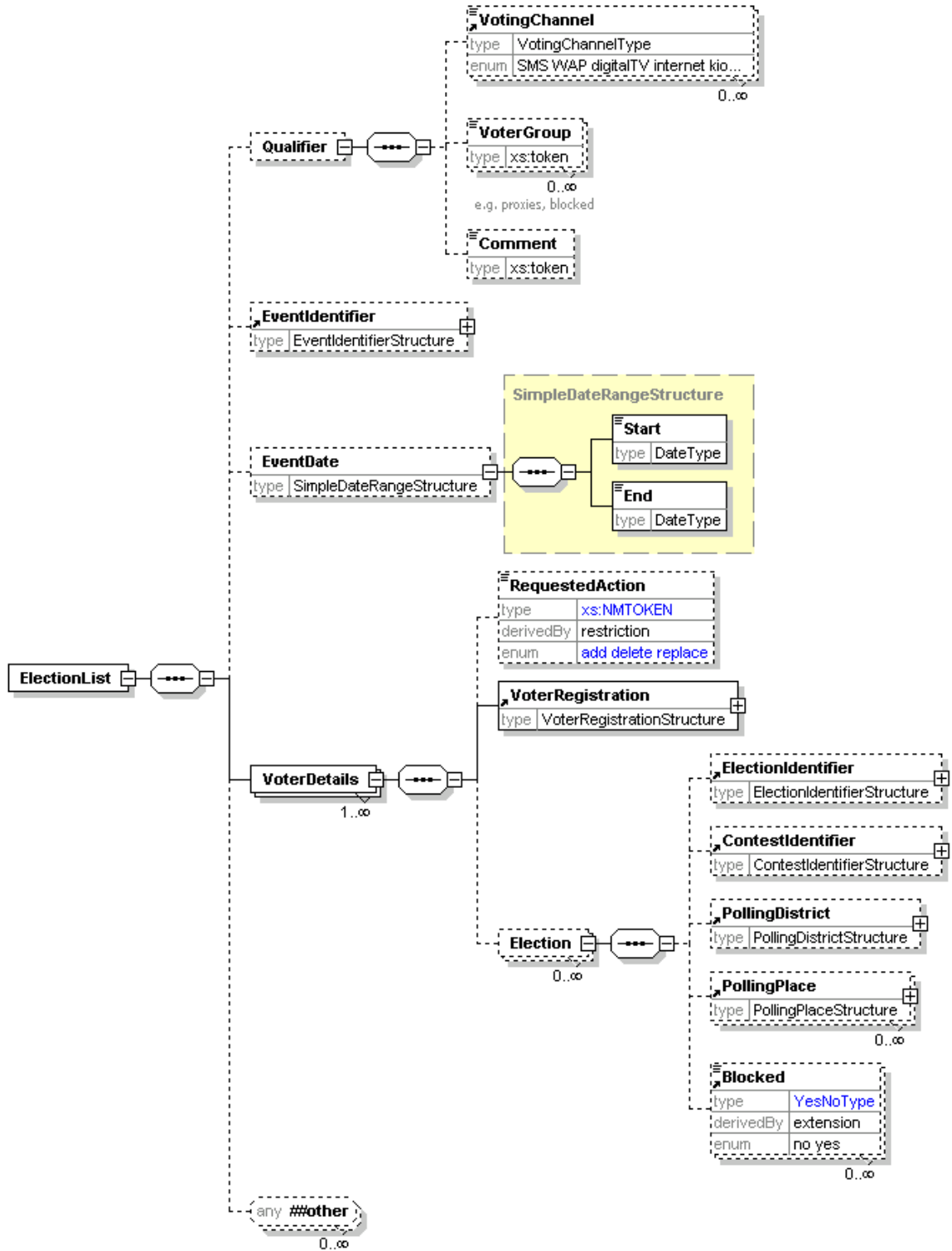
1120 This schema is used for messages registering voters. It uses the  
1121 `VoterIdentificationStructure`, with the exception that no `VToken` or `VTokenQualified` is  
1122 allowed. The `VoterInformationStructure` is used unchanged. Proof of ID can be provided.  
1123 There is the facility for the transmission channel (for example a trusted web site) to add the time  
1124 of transmission.

1125

### 6.7.2 EML Additional Rules

Error Code	Error Description
3310-001	The Proxy must not have a <code>VToken</code> or <code>VTokenQualified</code>

### 6.8 Election List (330)



Element	Attribute	Type	Use	Comment
Blocked	Reason	xs:token	optional	
	Channel	VotingChannelType	optional	

1129

### 6.8.1 Description of Schema

1130 This schema is primarily used for messages communicating the list of eligible voters for an  
 1131 election or set of elections. It can also be used for any other purpose that involves the transfer of  
 1132 voter information where the 120-interDB message is not appropriate. Partial lists are allowed  
 1133 through the use of the `Qualifier`, `Blocked` and `VoterGroup` elements. So, for example, a list  
 1134 of postal voters or a list of proxies can be produced.

1135 For each voter, information is provided about the voter himself or herself, and optionally about the  
 1136 elections and contests in which the voter can participate. The information about the voter is the  
 1137 same as that defined in the 310-voterregistration schema. Added to this can be a list of elections,  
 1138 each identifying the election and the contest in which this voter is eligible to vote, and the polling  
 1139 places available. Any voter can have a `Blocked` element set against them with an optional  
 1140 `Reason` and `Channel`. This allows a list to be produced for a polling place indicating those that  
 1141 have already voted by another means or who have registered for a postal vote. It can also be  
 1142 used if the complete electoral register must be transmitted (perhaps as a fraud prevention  
 1143 measure) but some people on the register are no longer eligible to vote.

1144

### 6.8.2 EML Additional Rules

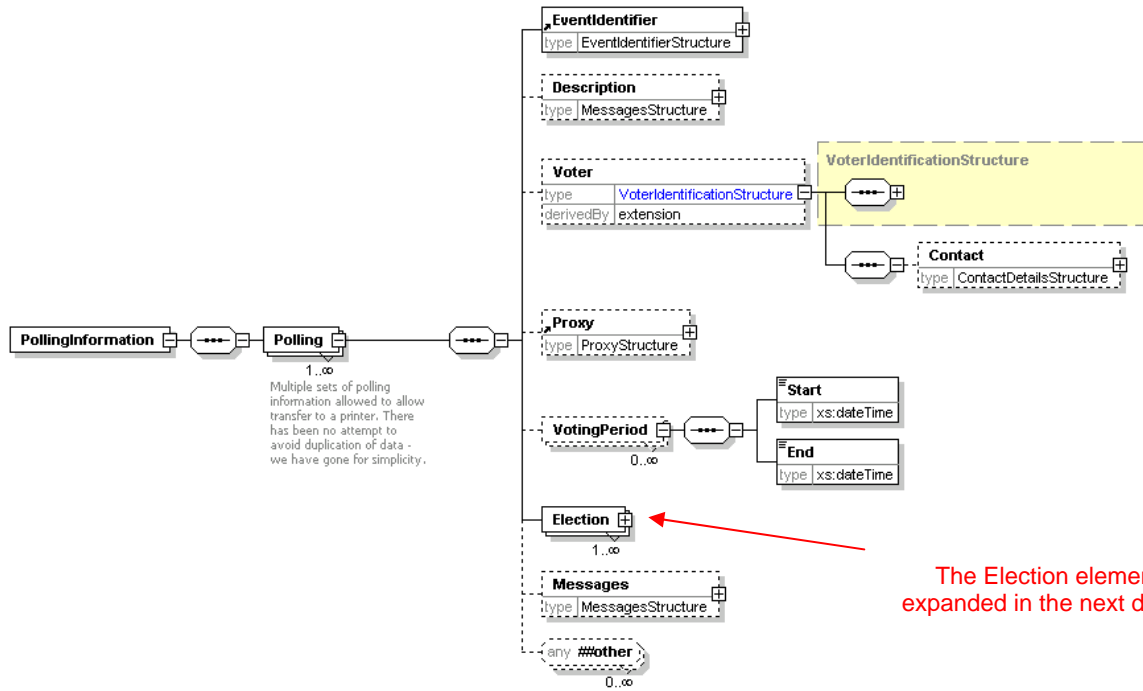
Error Code	Error Description
3330-002	The polling district can only be included for either the voter or the election.
3330-003	The polling place can only be included for either the voter or the election.

1145

1146

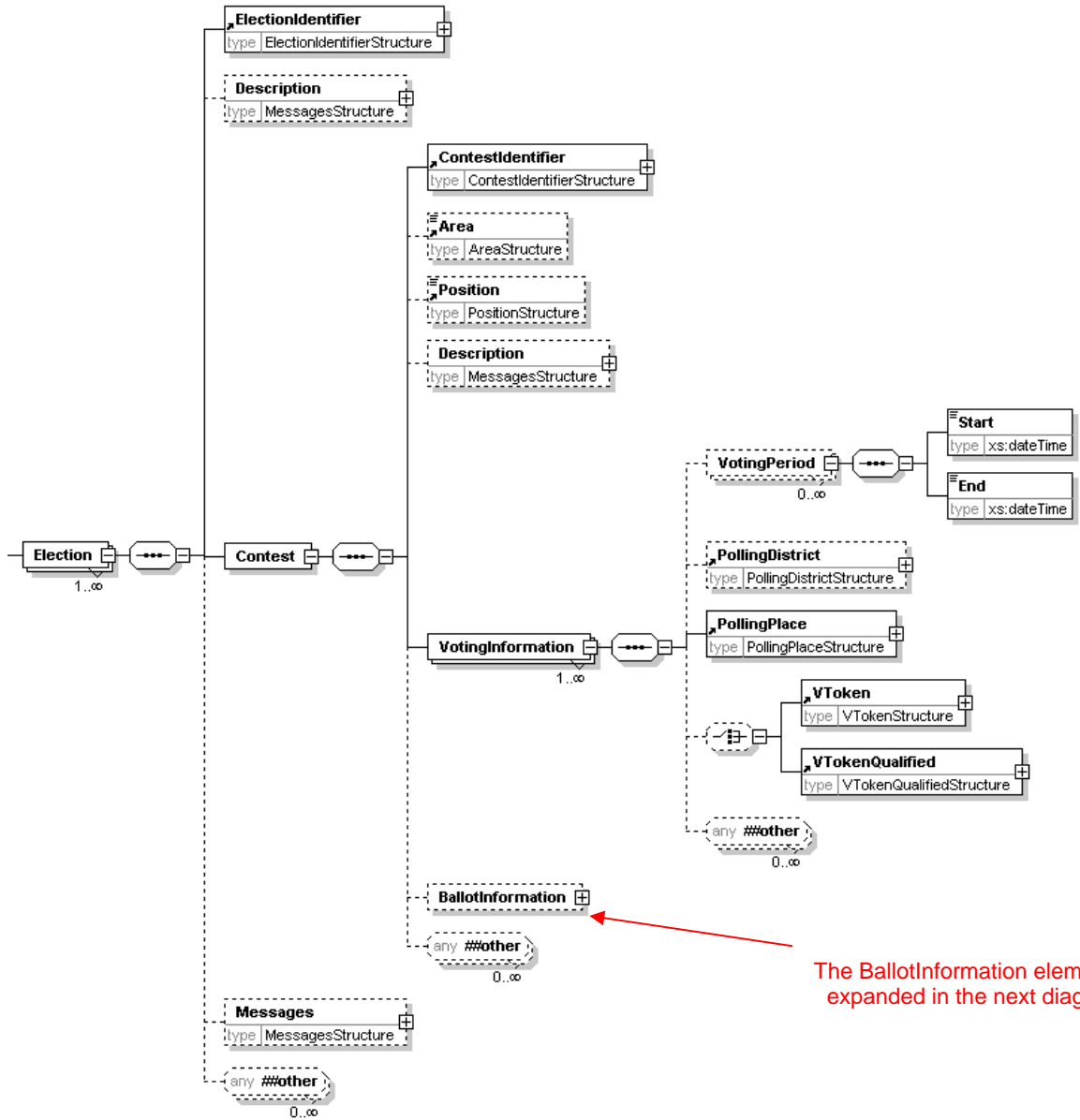
## 6.9 Polling Information (340)

1147

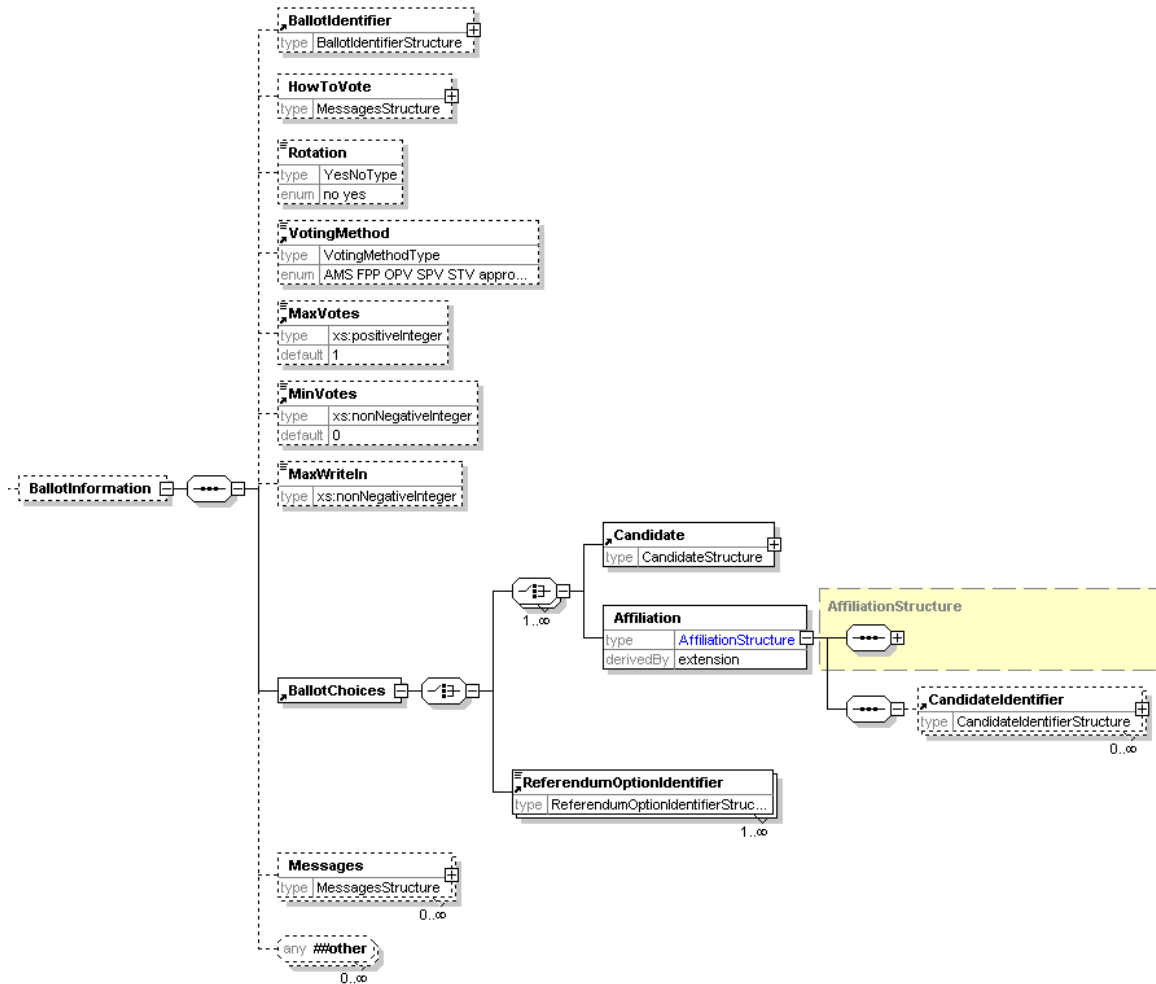


1148





The BallotInformation element is expanded in the next diagram



1150  
1151

Element	Attribute	Type	Use	Comment
BallotChoices	Contested	YesNoType	optional	
VotingPeriod	DisplayOrder	xs:positiveInteger		
VotingInformation	DisplayOrder	xs:positiveInteger	optional	
	Channel	VotingChannelType	optional	

1152

### 6.9.1 Description of Schema

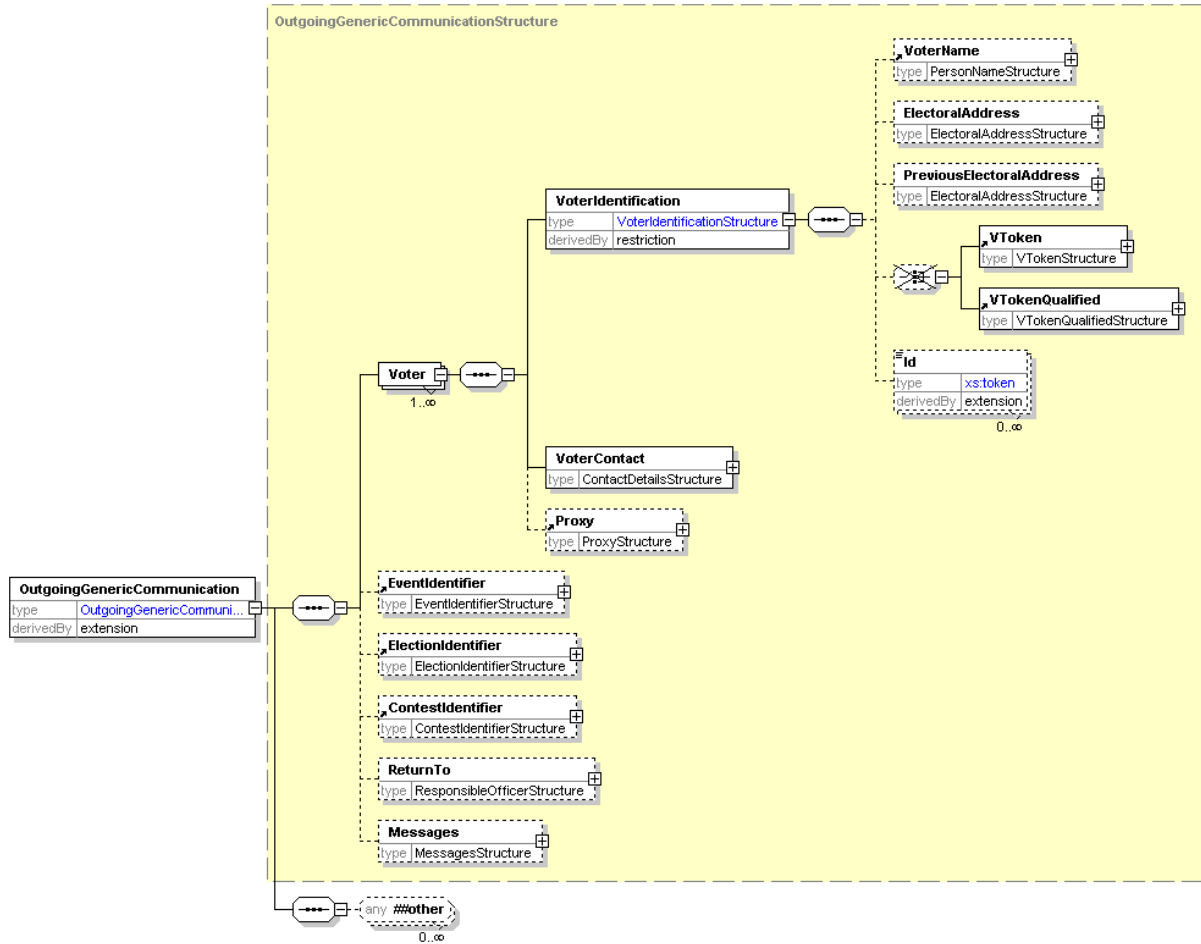
1153 The polling information message defined by this schema is sent to a voter to provide details of  
 1154 how to vote. It can also be sent to a distributor, so multiple sets of information are allowed. In the  
 1155 case of SMS voting, ballot information may also be required, so this can be included. Either one  
 1156 or several sets of polling information may be sent to each voter for any election event.

1157 Some information about the voter and any proxy may be included, for example to print on a  
 1158 polling card. This can also include a mailing address for a distributor to use.

1159 Information about the elections and contests is included for the benefit of the voter. For each  
 1160 voting channel, this includes where to vote (which could be a polling station, address for postal  
 1161 voting, URL for Internet voting, phone number for SMS voting etc) and the times that votes can  
 1162 be placed. Use of the `DisplayOrder` attribute on these allows the display or printing of  
 1163 information to be tailored from within the XML message.

1164 Ballot information may be included if required. This is a subset of the information defined in the  
1165 410-ballots schema. In this case, it is likely that the short code for a candidate will be used for  
1166 SMS voting. It is possible that an expected response code will be provided as well. Both the short  
1167 code and expected response code may be tailored to the individual voter as part of a security  
1168 mechanism.

## 6.10 Outgoing Generic Communication (350a)



1170

1171

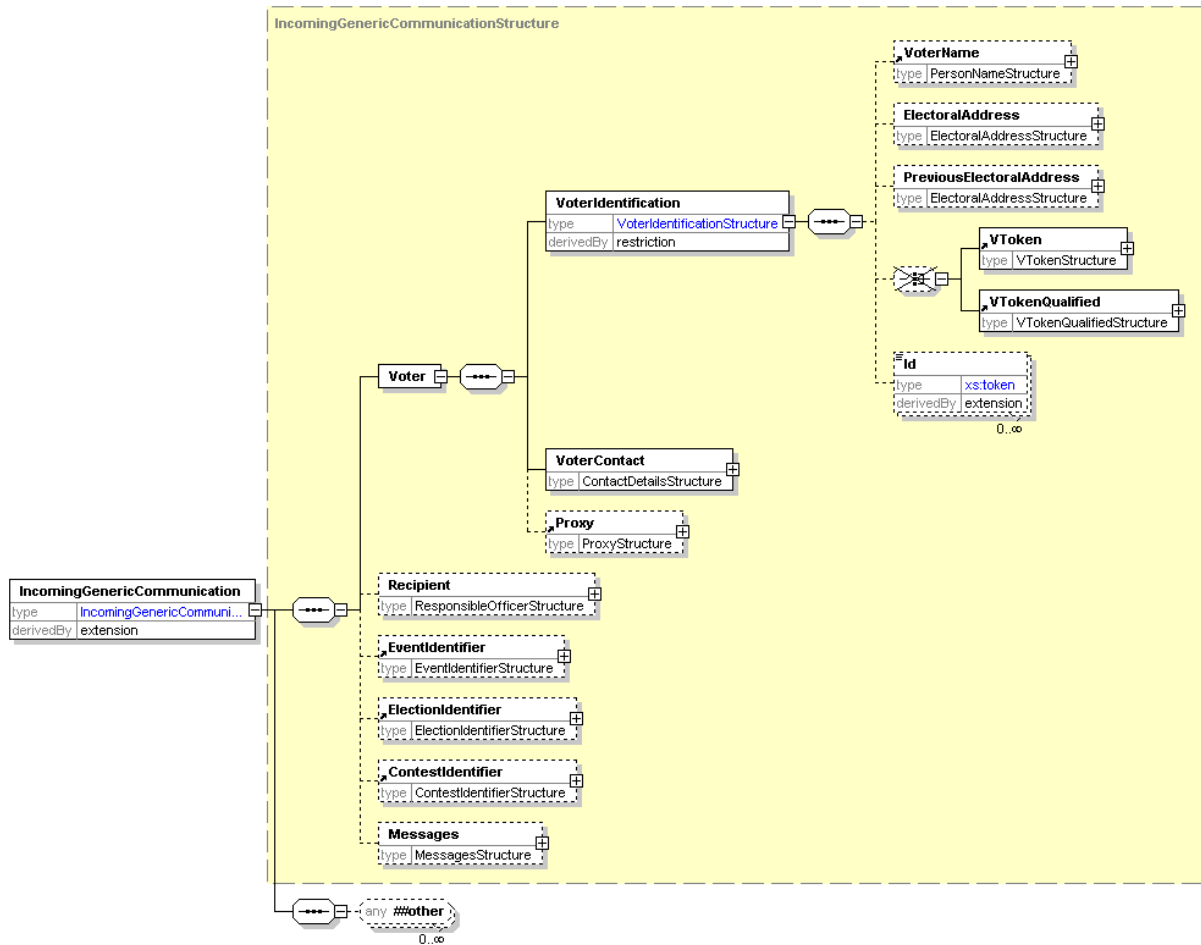
### 6.10.1 Description of Schema

1172 This schema provides a common structure for communications to the voter. Individual message  
 1173 types can be designed based on extensions of this schema.

1174 The voter must always provide a name and might provide one or more identifiers. These are  
 1175 shown as a restriction of the VoterIdentificationStructure, the restriction being to leave  
 1176 out the VToken and VTokenQualified. Contact details are also required, and it is expected that  
 1177 at least one of the allowed contact methods will be included. Inclusion of proxy information is  
 1178 optional.

1179 The identifiers for the election event, election and contest are optional. There is then an element  
 1180 in which a message can be placed in any of several different formats according to the channel  
 1181 being used.

## 6.11 Incoming Generic Communication (350b)



1183

1184

### 6.11.1 Description of Schema

1185 This schema provides a common structure for communications from the voter. Individual  
1186 message types can be designed based on extensions of this schema.

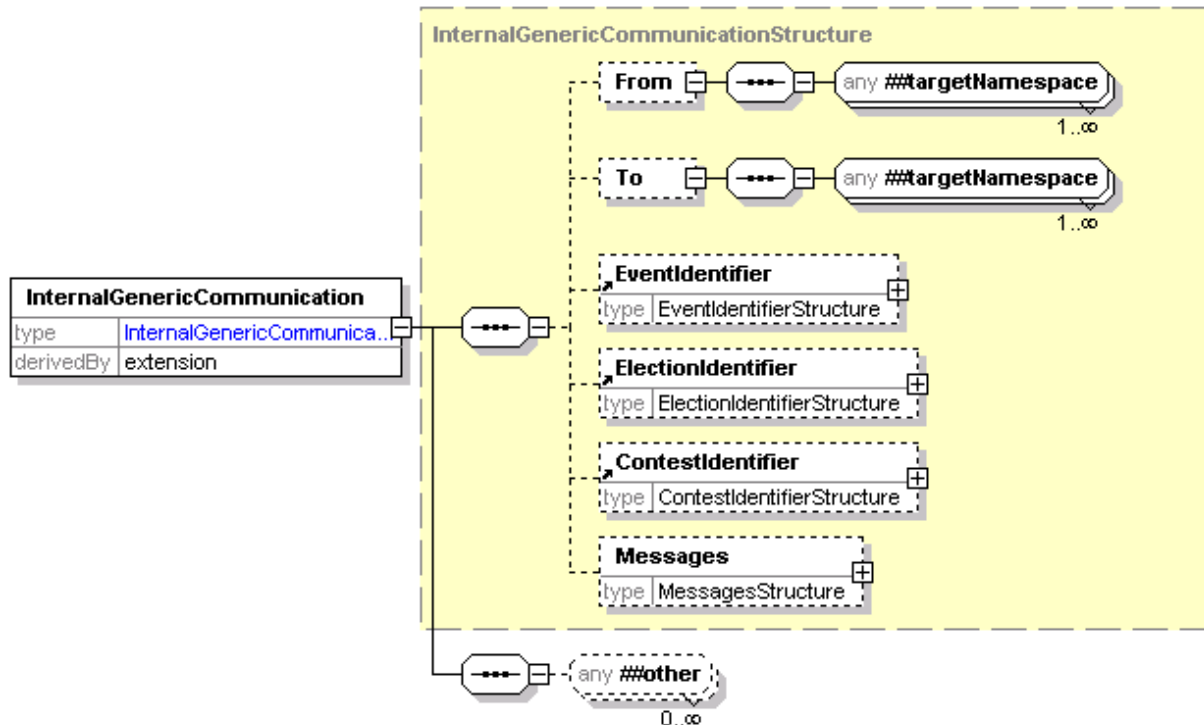
1187 The voter's name must be provided and there can be one or more identifiers. These are shown  
1188 as a restriction of the VoterIdentificationStructure, the restriction being to leave out the  
1189 VToken and VTokenQualified. Contact details are also required, and it is expected that at least  
1190 one of the allowed contact methods will be included. Inclusion of proxy information is optional.

1191 The identifiers for the election event, election and contest are optional. There is then an element  
1192 in which a message can be placed in any of several different formats according to the channel  
1193 being used.

1194

1195  
1196

## 6.12 Internal Generic (350c)



1197

### 6.12.1 Description of Schema

1198  
1199  
1200

This schema provides a common structure for communications between those involved in organizing an election. Individual message types can be designed based on extensions of this schema.

1201  
1202

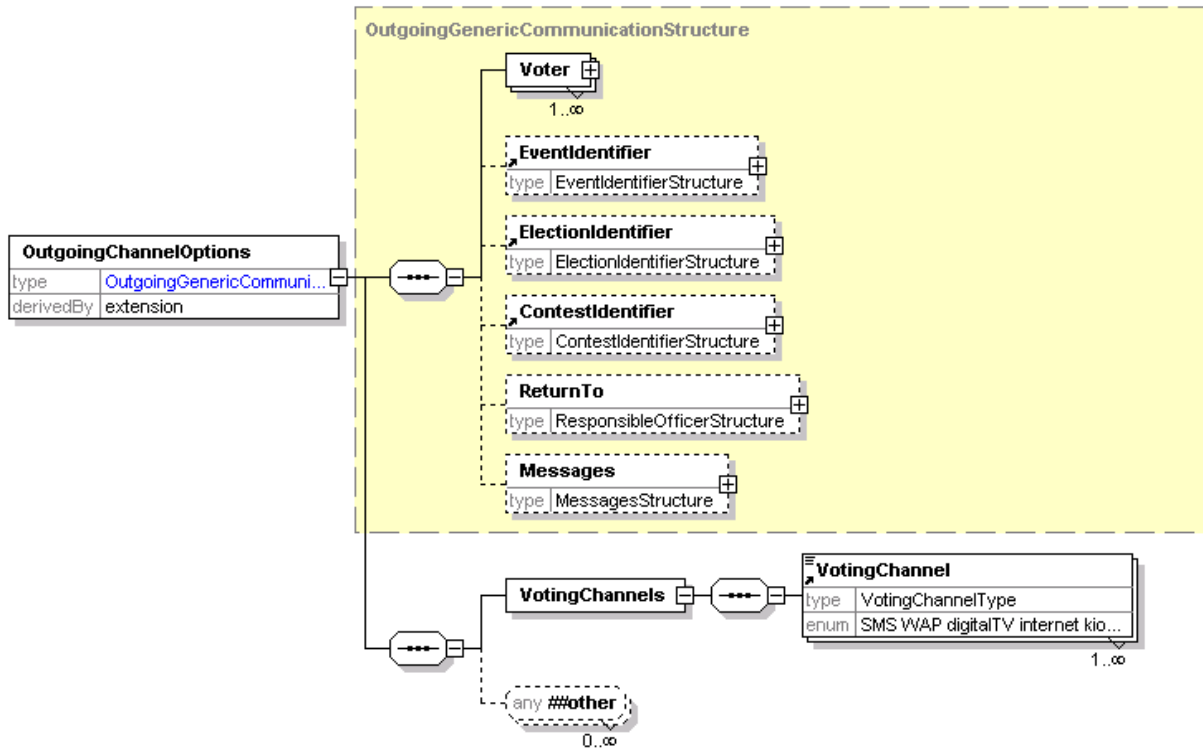
There are optional `To` and `From` elements, which can contain any EML elements. It is expected that these will usually be a responsible officer or a person's name and contact information.

1203  
1204  
1205

The identifiers for the election event, election and contest are optional. There is then an element in which a message can be placed in any of several different formats according to the channel being used.

1206  
1207

## 6.13 Outgoing Channel Options (360a)



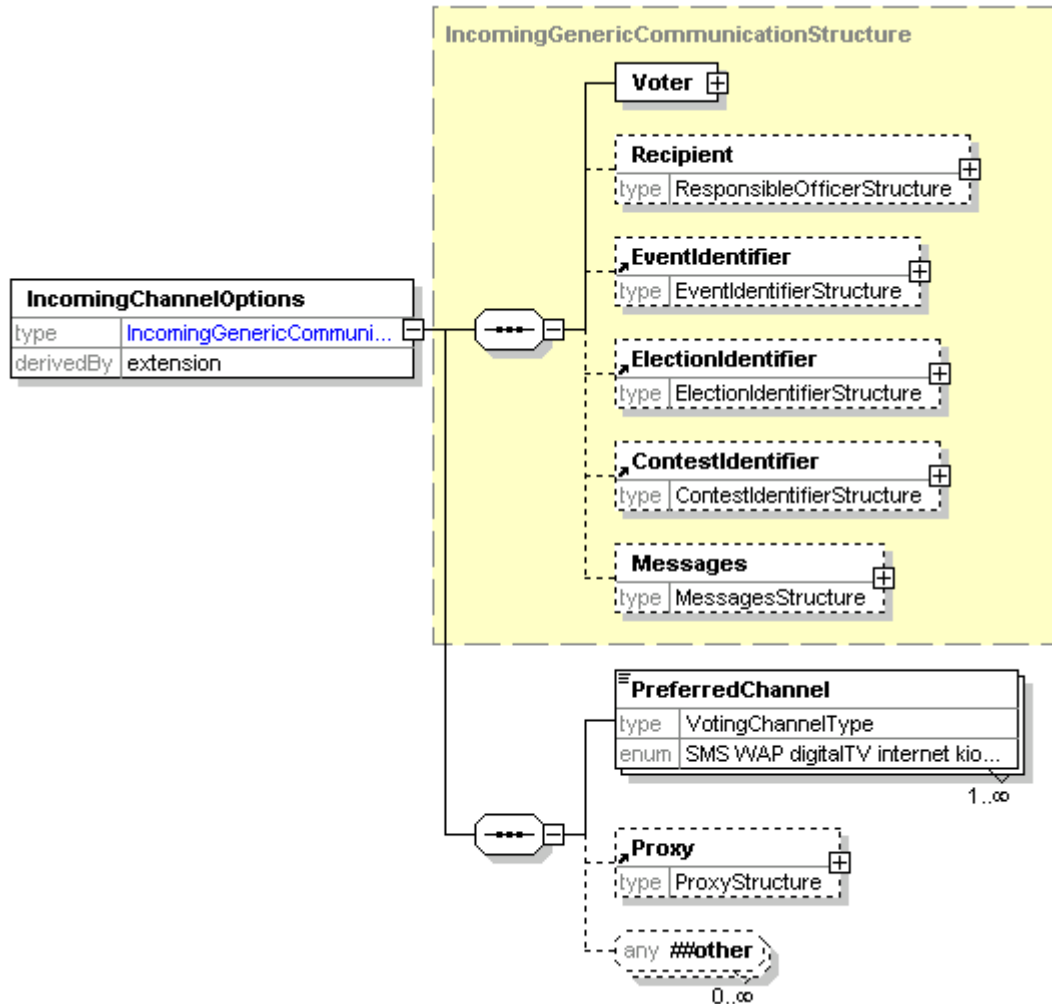
1208  
1209  
1210  
1211

### 6.13.1 Description of Schema

This schema is used for messages offering a set of voting channels to the voter. It is an extension of schema 350a. A message conforming to this schema will include a list of allowed channels, either to request general preferences or for a specific election event or election within the event.

1212

## 6.14 Incoming Channel Options (360b)



1213

1214

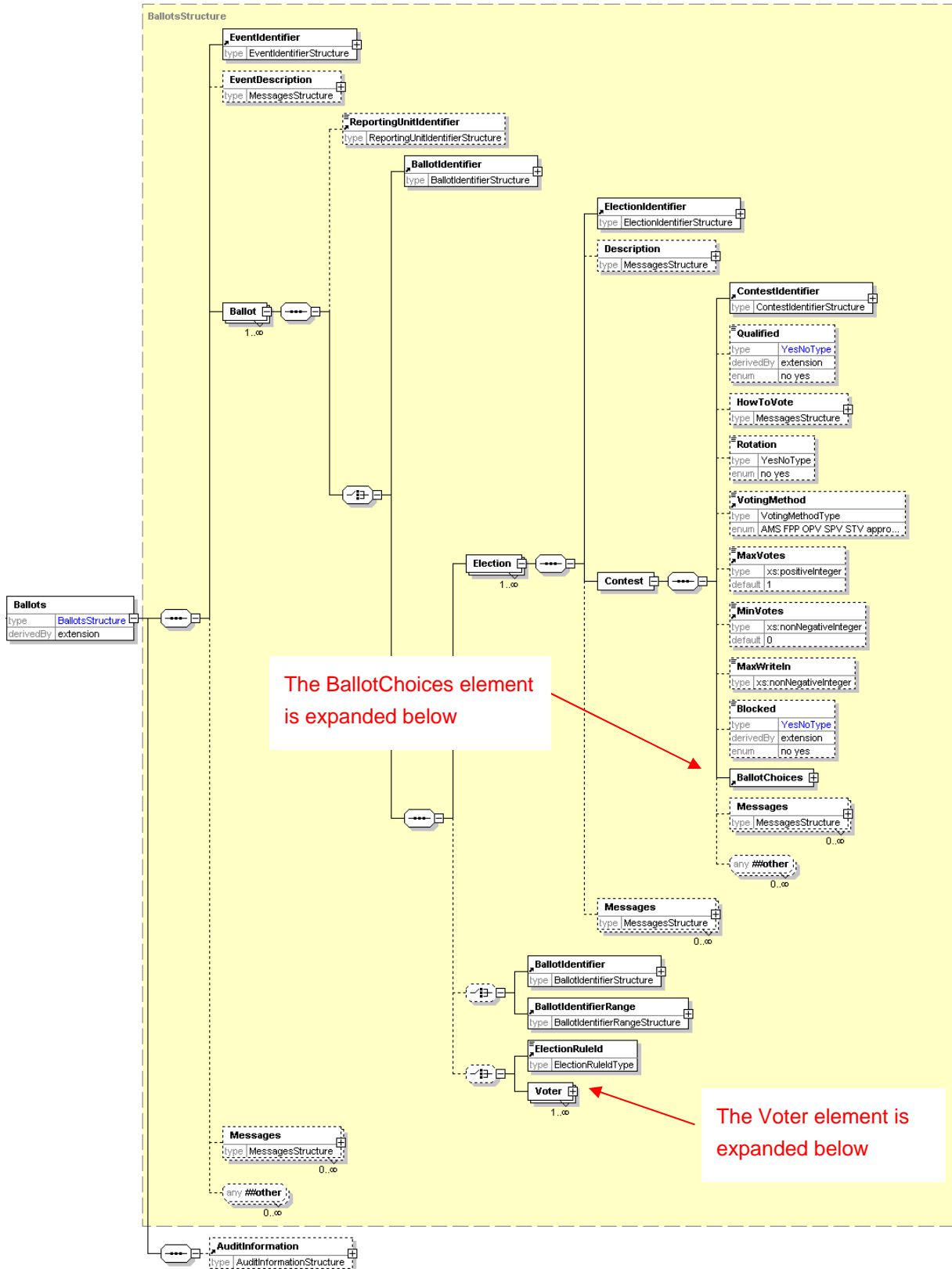
### 6.14.1 Description of Schema

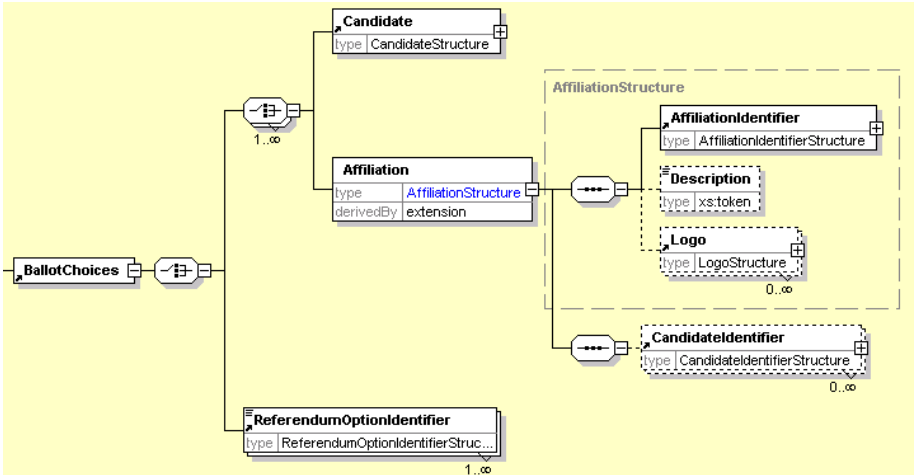
1215 This schema is used for messages indicating one or more preferred voting channels. It may be  
1216 sent in response to 360a or as an unsolicited message if this is supported within the relevant  
1217 jurisdiction.

1218 It is an extension of schema 350b, and indicates a preferred voting channels in order of  
1219 preference.

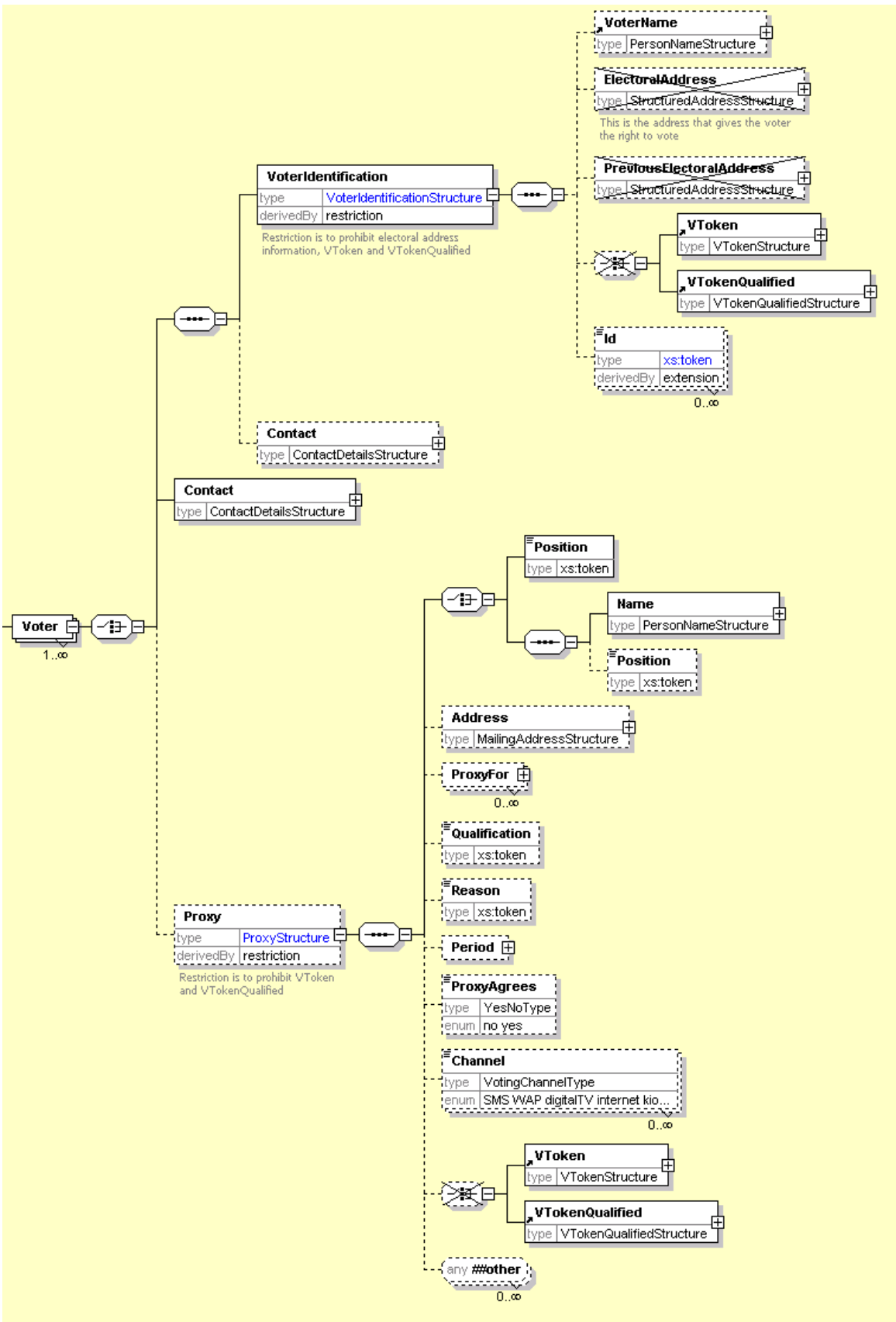


### 6.15 Ballots (410)





1221  
1222



Element	Attribute	Type	Use	Comment
Contest	DisplayOrder	xs:positiveInteger	optional	
	Completed	YesNoType	optional	
Qualified	Reason	xs:token	required	
Blocked	Reason	xs:token	optional	
	Channel	VotingChannelType	optional	
BallotChoices	Contested	YesNoType	optional	

1224

### 6.15.1 Description of Schema

1225 This schema is used for messages presenting the ballot to the voter or providing a distributor with  
1226 the information required to print or display multiple ballots.

1227 In the simplest case, a distributor can be sent information about the election event and a ballot ID  
1228 to indicate the ballot to print.

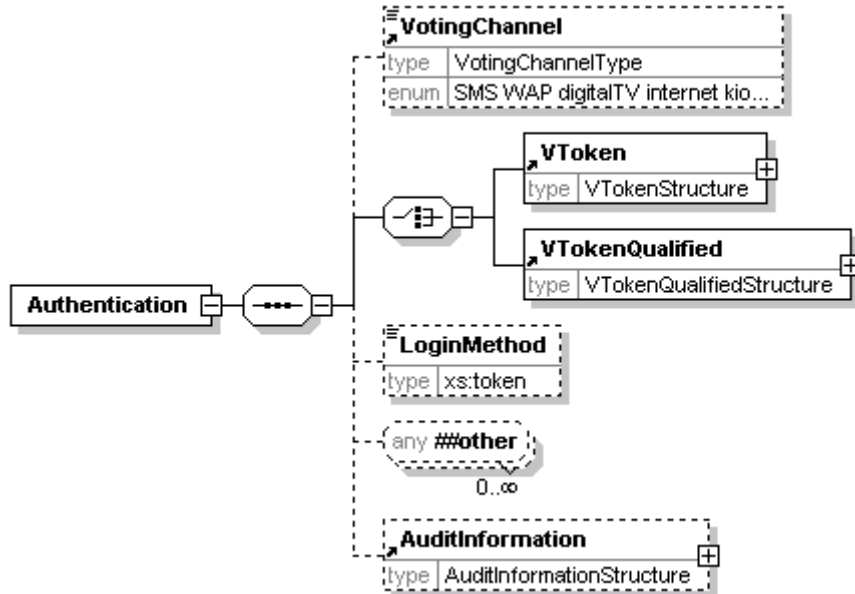
1229 In other cases, the full information about the elections will be sent with either an election rule ID to  
1230 identify the voters to whom that election applies or a set of voter names and contact information.  
1231 If the ballot is being sent directly to the voter, this information is not required. Since printed ballot  
1232 papers are likely to require a unique identifier printed on them, the range to be used for each  
1233 ballot type can be defined.

1234 The election information starts with the election identifier and description. This is followed by  
1235 information related to the contest and any other messages and information required. Note that  
1236 each voter can only vote in a single contest per election, so only a single iteration of the `Contest`  
1237 element is required.

1238 A contest must have its identifier and a list of choices for which the voter can vote. A voter can  
1239 vote for a candidate, an affiliation (possibly with a list of candidates) or a referendum proposal.  
1240 There is also a set of optional information that will be required in some circumstances. Some of  
1241 this is for display to the voter (`HowToVote` and `Messages`) and some controls the ballot and  
1242 voting process (`Rotation`, `VotingMethod`, `MaxVotes`, `MinVotes`, `MaxWriteIn`).

1243

## 6.16 Authentication (420)



1244

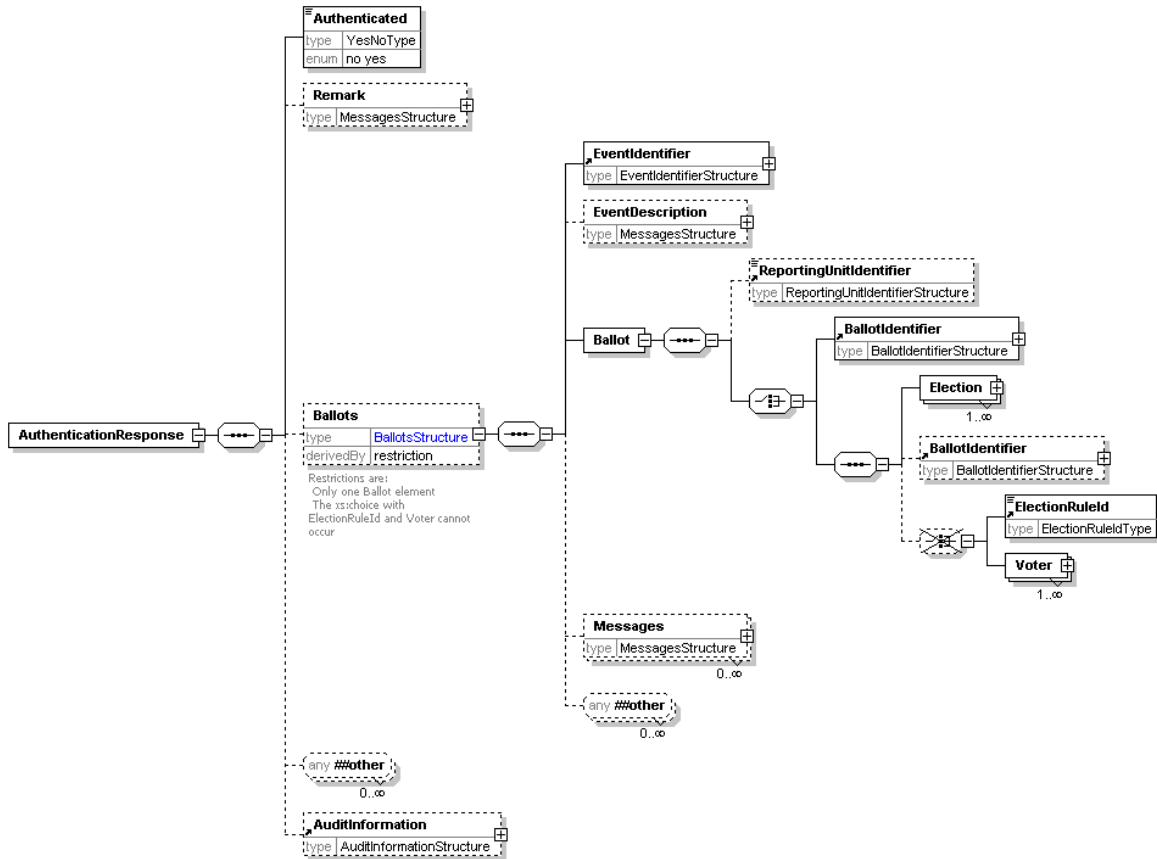
1245

### 6.16.1 Description of Schema

1246 The authentication message defined by this schema may be used to authenticate a user during  
 1247 the voting process. Depending on the type of election, a voter's authentication may be required.  
 1248 The precise mechanism used may be channel and implementation specific, and can be indicated  
 1249 using the `LoginMethod` element. In some public elections the voter must be anonymous, in  
 1250 which case the prime method used for authentication is the voting token. The voting token can  
 1251 contain the information required to authenticate the voter's right to vote in a specific election or  
 1252 contest, without revealing the identity of the person voting. Either the `VToken` or the  
 1253 `VTokenQualified` must always be present in an authenticated message. The `VotingChannel`  
 1254 identifies the channel by which the voter has been authenticated.

1255

## 6.17 Authentication Response (430)



1256

Element	Attribute	Type	Use	Comment
Contest	DisplayOrder	xs:positiveInteger	optional	
	Completed	YesNoType	optional	
Qualified	Reason	xs:token	required	
Blocked	Reason	xs:token	optional	
	Channel	VotingChannelType	optional	
BallotChoices	Contested	YesNoType	optional	

1257

### 6.17.1 Description of Schema

1258

The authentication response is a response to message 420. It indicates whether authentication

1259

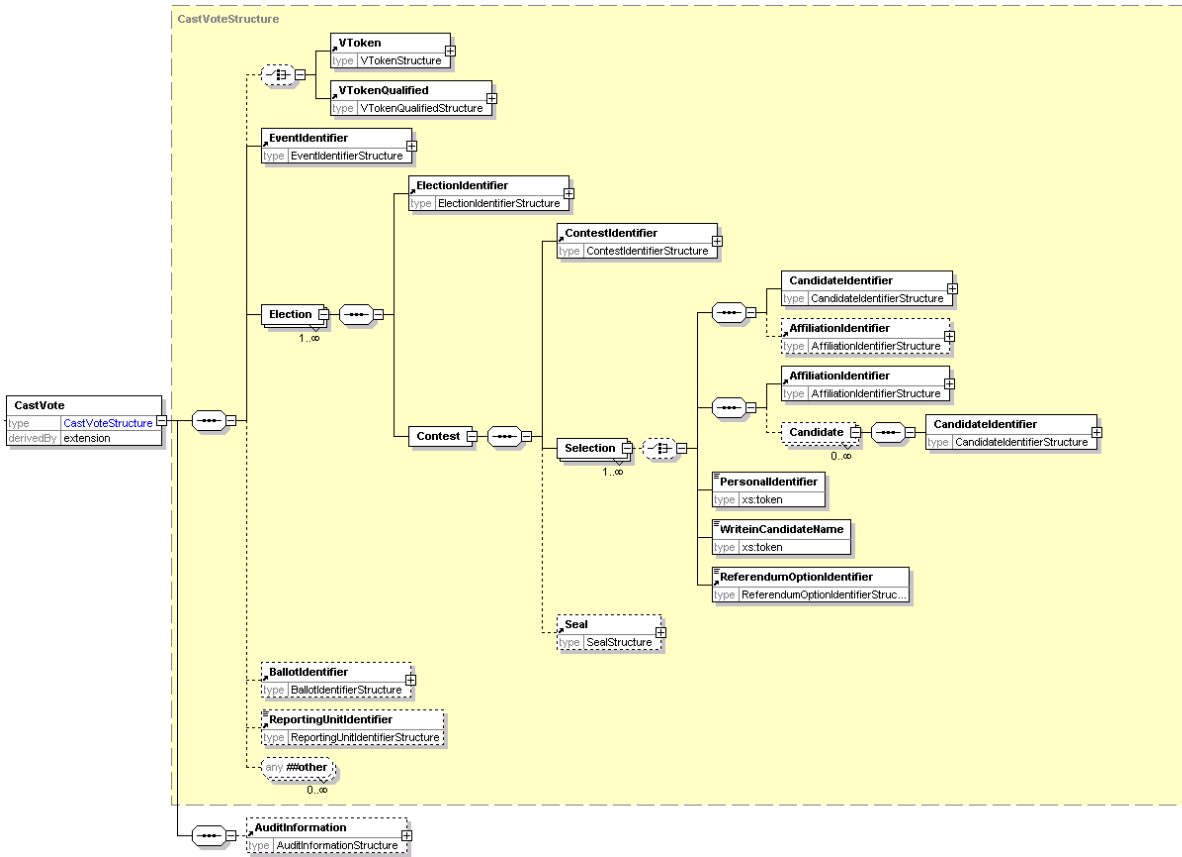
succeeded using the `Authenticated` element, and might also present the ballot to the user.

1260

This is a restriction of the `Ballots` element to allow only a single ballot per reply.

1261

## 6.18 Cast Vote (440)



1262

Element	Attribute	Type	Use	Comment
CastVote	Spoilt	xs:token	optional	
Contest	Spoilt	xs:token	optional	
Selection	Value	VotingValueType	optional	
	ShortCode	ShortCodeType	optional	
Candidate	Value	VotingValueType	optional	

1263

### 6.18.1 Description of Schema

1264 This message represents a cast vote, which comprises an optional voting token (which may be  
 1265 qualified) to ensure that the vote is being cast by an authorized voter, information about the  
 1266 election event, each election within the event and the vote or votes being cast in each election, an  
 1267 optional reference to the ballot used, the identifier of the reporting unit if applicable and a set of  
 1268 optional audit information.

1269 For each election, the contest is identified, with a set of, possibly sealed, votes. The votes are  
 1270 sealed at this level if there is a chance that the message will be divided, for example so that votes  
 1271 in different elections can be counted in different locations.

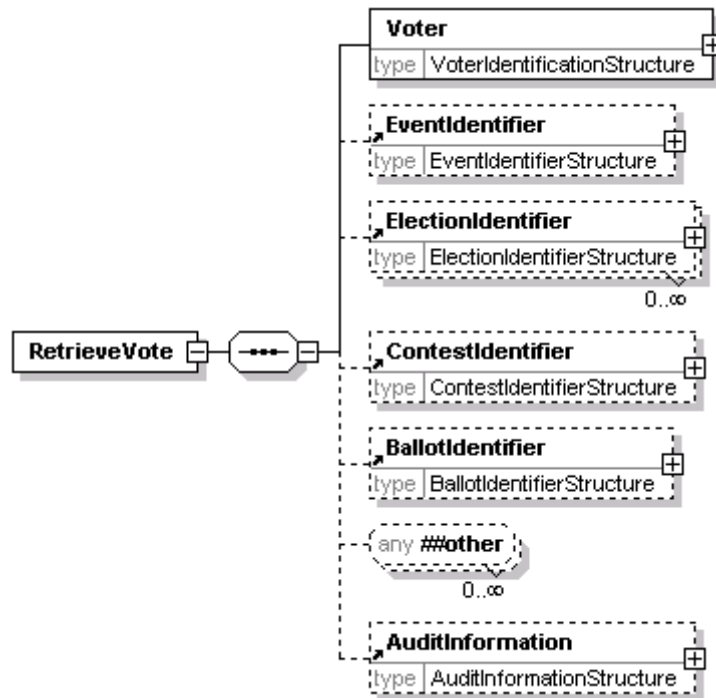
1272 The selection of candidates, affiliations or a referendum option uses the Selection element. If  
 1273 an election requires preferences to be expressed between candidates, multiple Selection  
 1274 elements will be used, each of these having a suitable Value attribute. Some elections allow

1275 write-in candidates, and these are handled in a similar way. Preferences can also be expressed  
1276 between parties, using the `Affiliation` element. The `PersonalIdentifier` is used in  
1277 elections where each voter is given an individual list of codes to indicate their selection.  
1278 A more complex election might request the voter to vote for a party, then express a preferences  
1279 of candidates within the party. In this case, the `Affiliation` element is used to indicate the  
1280 party selected, and multiple `CandidateIdentifier` elements, each with a `Value` attribute are  
1281 used to express candidate preferences.  
1282 Preferences in a referendum are handled in the same way as they are for candidates and parties,  
1283 using the `ReferendumOptionIdentifier`.



1284

## 6.19 Retrieve Vote (445)



1285

1286

### 6.19.1 Description of Schema

1287

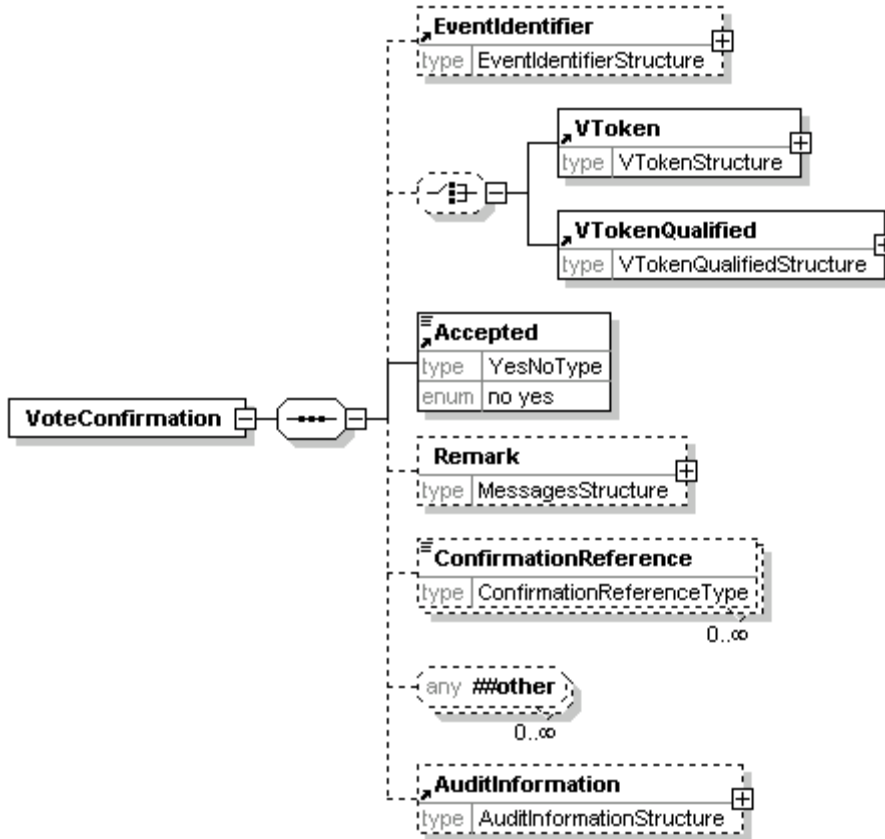
This message is used for voting systems that include a pre-ballot box from which votes can be retrieved and amended before being counted. When a vote is retrieved, it should be deleted from the pre-ballot box.

1288

1289

1290

## 6.20 Vote Confirmation (450)



1291

1292

### 6.20.1 Description of Schema

1293

The vote confirmation message can be used to show whether a vote has been accepted and provide a reference number in case of future queries. Some voting mechanisms require multiple ConfirmationReference elements. If the vote is rejected, the Remark element can be used to show a reason.

1294

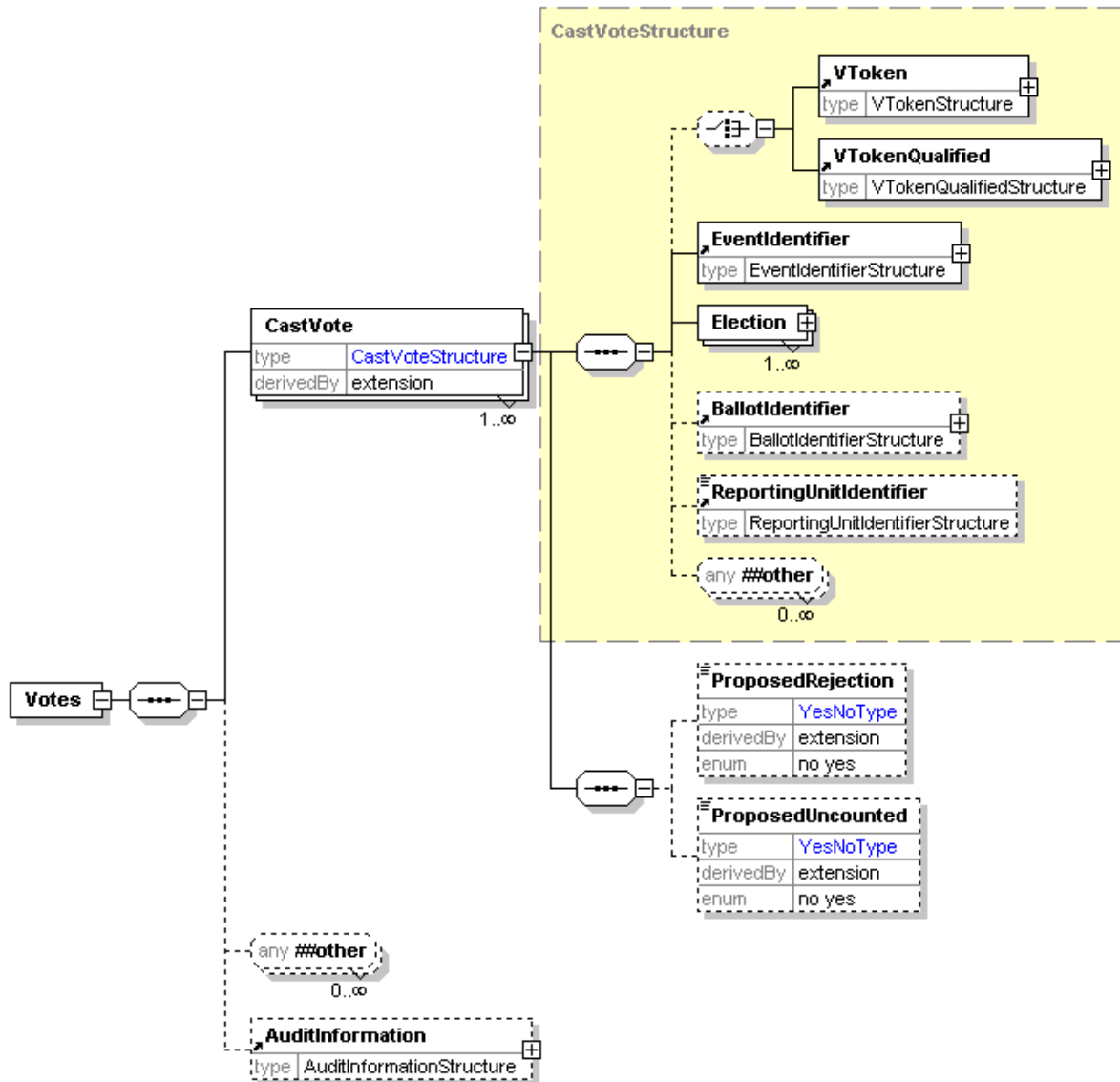
1295

1296

1297

## 6.21 Votes (460)

1298



1299 See 440-CastVote for the detail of the **CastVoteStructure**.

Element	Attribute	Type	Use	Comment
CastVote	Spoilt	xs:token	optional	
Contest	Spoilt	xs:token	optional	
Selection	Value	VotingValueType	optional	
	ShortCode	ShortCodeType	optional	
Candidate	Value	VotingValueType	optional	
ProposedRejection	Reason	xs:token	optional	
	ReasonCode	xs:token	required	
	Objection	YesNoType	optional	

ProposedUncounted	Reason	xs:token	optional	
	ReasonCode	xs:token	required	
	Objection	YesNoType	optional	

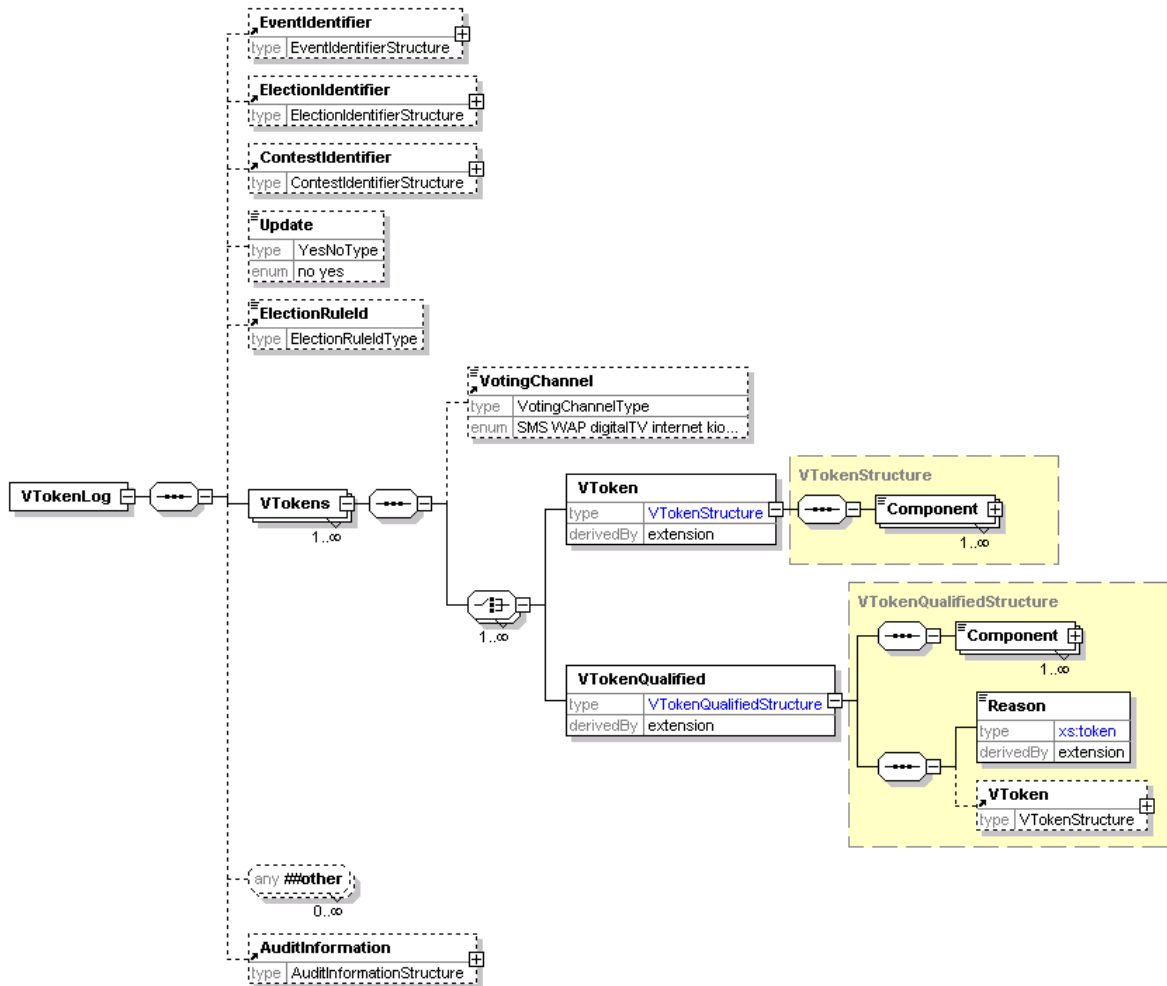
1300

### 6.21.1 Description of Schema

1301 This schema is used to define a message comprising a set of votes being transferred for  
1302 counting. It is a set of `CastVote` elements from schema 440 with the addition of the  
1303 `ProposedRejection` and `ProposedUncounted` elements and audit information for the voting  
1304 system. If a vote is rejected, for example, because a voter has chosen to spoil a ballot paper,  
1305 many authorities will want to count that vote as having been cast. The `UncountedVotes` element  
1306 is reserved for those cases where that record is not required, for example when the result is  
1307 thought to be fraudulent. A `ProposedRejection` or `ProposedUncounted` element must have a  
1308 `ReasonCode` attribute, and may have a `Reason` attribute to describe the code. They may also  
1309 have an `Objection` attribute. This indicates that someone has objected to this vote being  
1310 rejected or the proposal that it should not be counted.

1311

## 6.22 VToken Log (470)



1312

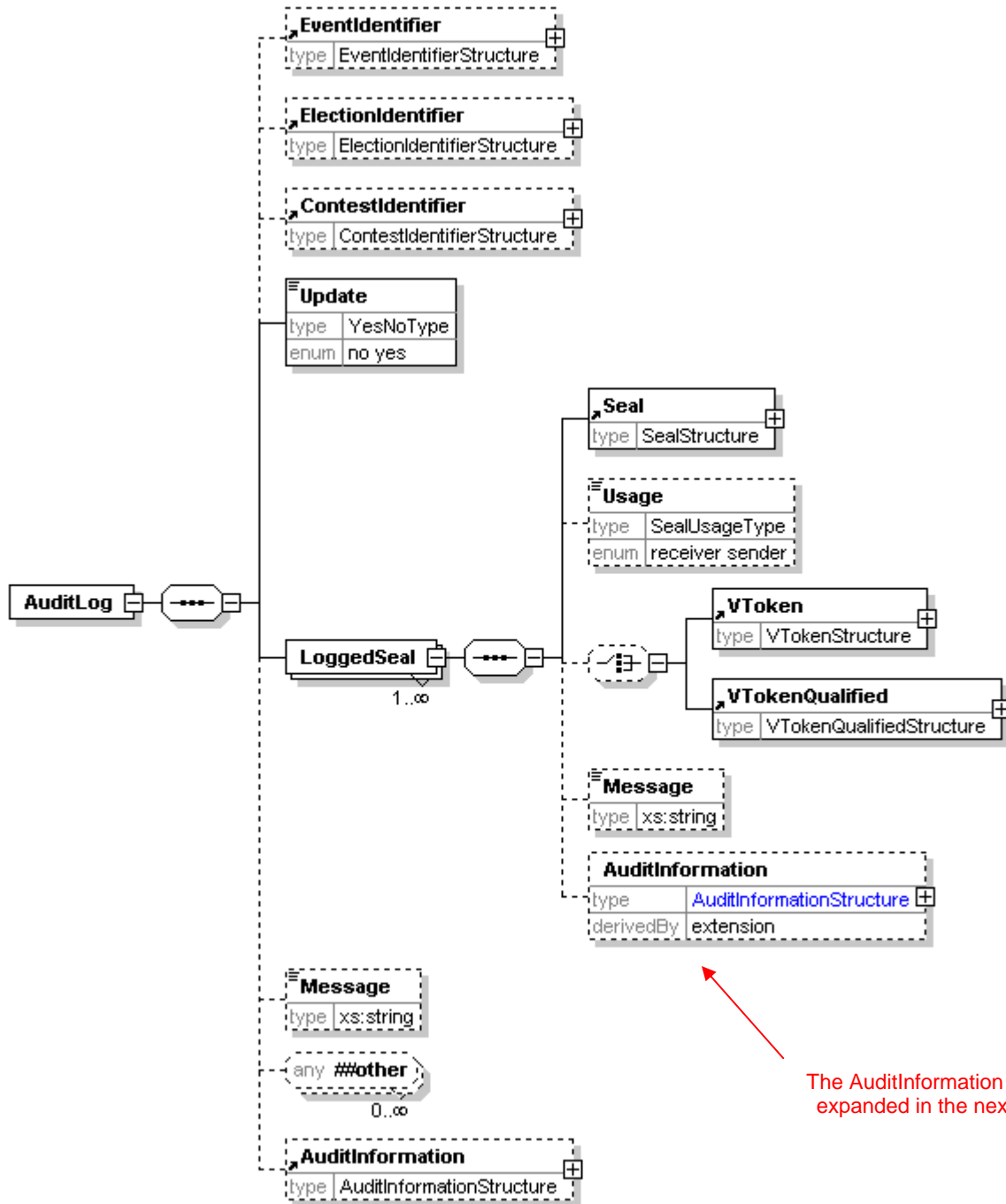
Element	Attribute	Type	Use	Comment
VToken	Status	xs:token (restricted)	required	
VTokenQualified	Status	xs:token (restricted)	required	

1313

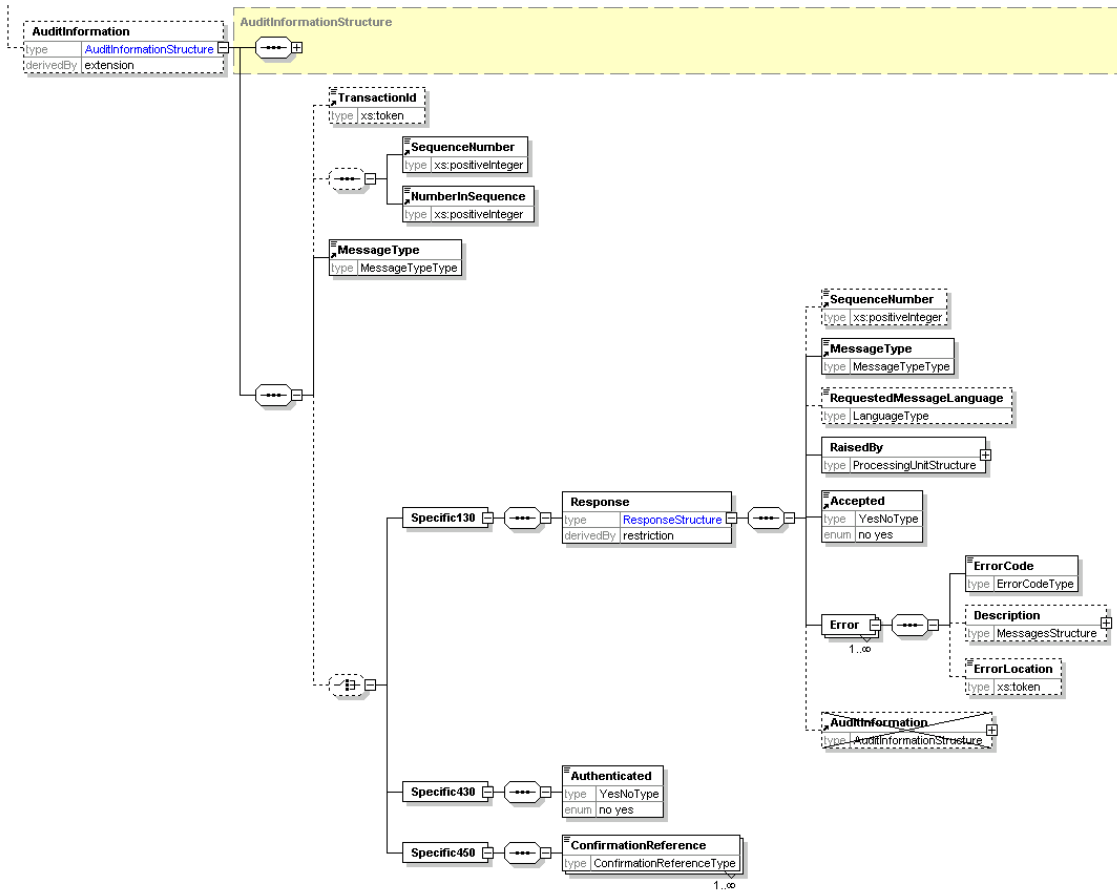
### 6.22.1 Description of Schema

1314 The message defined by this schema is used to add voting tokens (which may be qualified) to an  
 1315 audit log. The VToken or VTokenQualified is extended by the addition of a Status attribute  
 1316 with a value of voted or unvoted for the VToken and voted, unvoted and withdrawn for the  
 1317 VTokenQualified. In addition to sending single tokens as they are used, the schema can be  
 1318 used to validate a message sending multiple tokens optionally grouped by voting channel. This  
 1319 might be used instead of sending tokens as they used or, for example, to send the unused tokens  
 1320 at the end of an election. The Update element can be used to indicate that an existing log is  
 1321 being updated rather than the message containing a complete new log. The logging system can  
 1322 also be identified for audit purposes.

### 6.23 Audit Log (480)



The AuditInformation element is expanded in the next diagram



1326

1327

### 6.23.1 Description of Schema

1328

The message defined by this schema is used to log the use of each seal with associated information for audit purposes.

1329

1330

An audit log message can be transmitted individually as the message causing the log entry is sent or received, or the logs can be stored, and several seals logged at once. Ideally, every device that can create or consume a message will create a log entry so that pairs of entries can be matched. The most important messages to log are those associated with the voting process itself, and these are shown below.

1331

1332

1333

1334

1335

	<i>Originating Device</i>	<i>Gateway</i>	<i>Voting System</i>	<i>Counting System</i>	<i>Vtoken Logging System</i>	<i>Seal Logging System</i>	<i>Other</i>	<i>Notes</i>
130								4
410	next receiver	receiver	sender					
420	previous sender	sender	receiver					
430	next receiver	receiver	sender				sender / receiver	3
440	previous sender	sender	receiver					
445	previous sender	sender	receiver					
450	next receiver	receiver	sender					
460			sender	receiver				
470			sender	sender	receiver		sender	
480	sender	sender	sender	sender	sender	receiver	sender	2
510				sender			receiver	
520				sender			sender / receiver	

**Notes:**

1. In some cases (e.g. a kiosk) there may be no gateway involved. In this case, the values in the Gateway column apply to the Originating Device.
2. Creators and receivers of 480 (audit log) messages may not be required to log the seals. In particular, if an audit log message is sent per seal created or received, the seal on the 480 message must not be logged.
- 3 "Other" may be the sender when the message is sent to a printer. In this case, the receiver will also be an "Other".
4. An audit log should only be created when the message is used to communicate an error. Most devices can send or receive 130 messages.

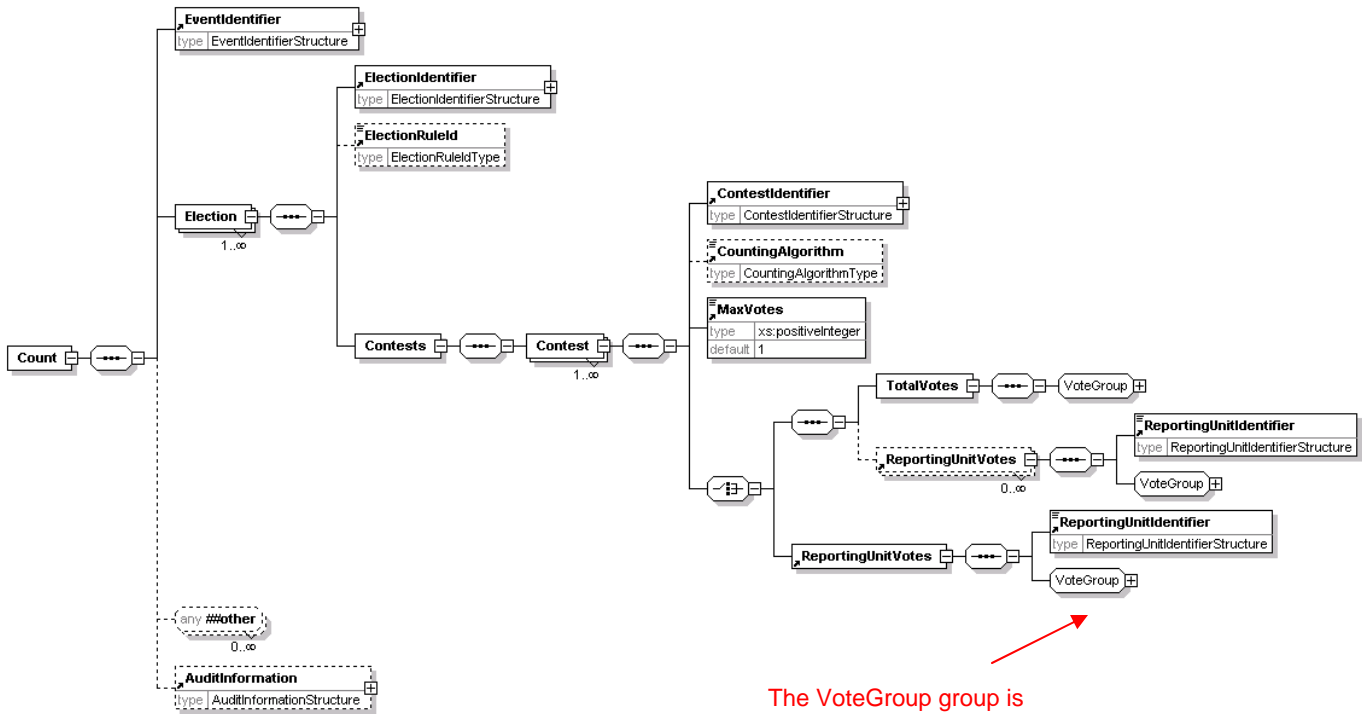
1336

1337 The message may contain the name and ID of the event, election and contest. It can also indicate  
 1338 whether this is an update to an existing log or a new log. Following the logged seals, a text  
 1339 message can be added as well as audit information for the audit logging message itself.

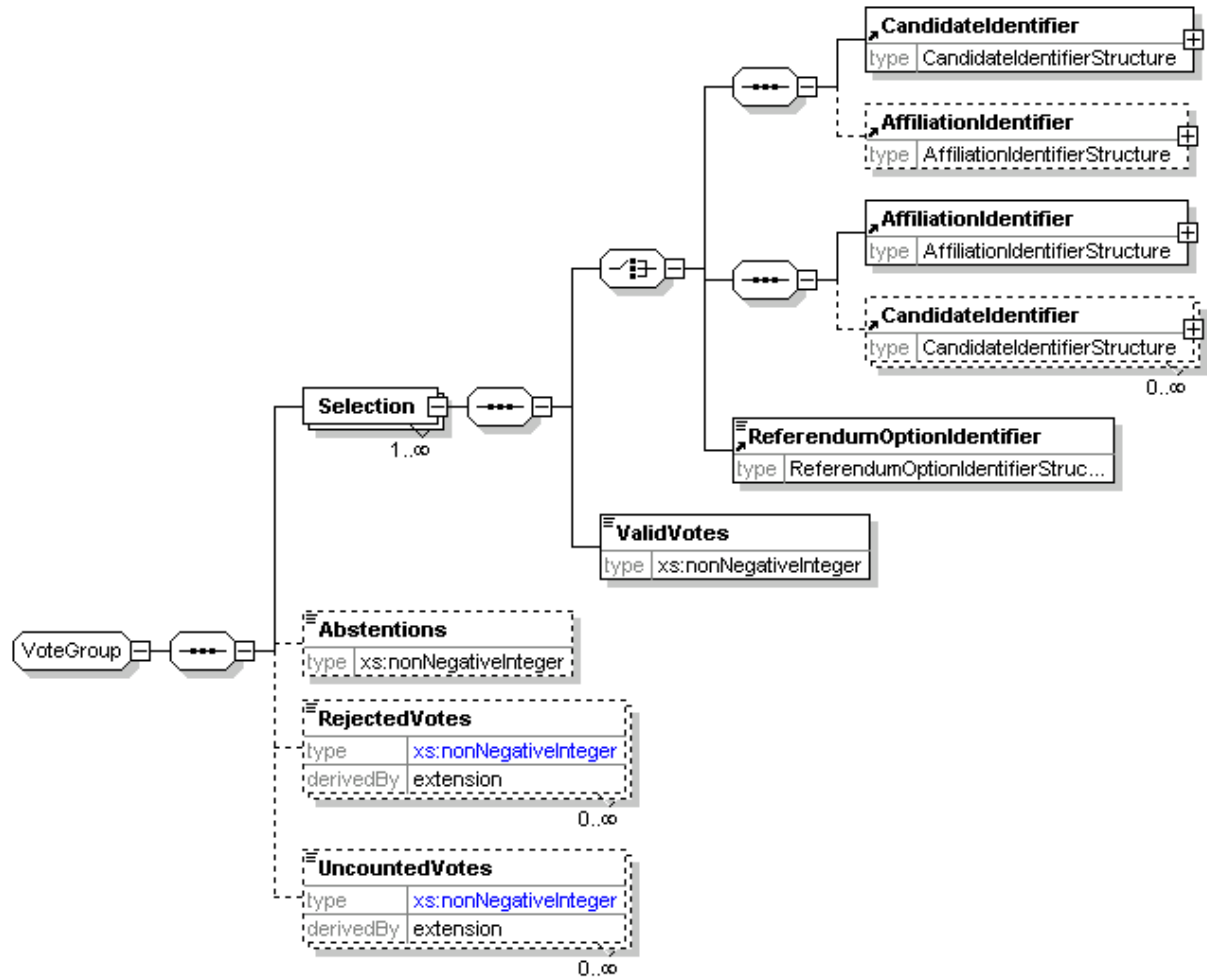
1340 Each seal being logged must indicate whether the device sending the log was the sender or  
 1341 receiver of the sealed message. It may be accompanied by the voting token associated with the  
 1342 seal and possibly additional audit information. This will be the audit information from the message  
 1343 being logged with additional information about the message. Most of this is common to all  
 1344 message types, but some message types require specific audit information. One of these is the  
 1345 130-response message. When this is used to convey an error, almost the complete message  
 1346 payload (the *Response* element and its contents apart from the audit information) is logged with  
 1347 the usual message-independent data.



## 6.24 Count (510)



The VoteGroup group is expanded in the next diagram



1350

Element	Attribute	Type	Use	Comment
Selection	Value	VotingValueType	optional	
RejectedVotes	Reason	xs:token	optional	
	ReasonCode	xs:token	required	
UncountedVotes	Reason	xs:token	optional	
	ReasonCode	xs:token	required	

1351

### 6.24.1 Description of Schema

1352

The count message defined by this schema is used to communicate the results of one or more contests that make up one or more elections within an election event. It may also be used to communicate the count of a single reporting unit for amalgamation into a complete count.

1353

1354

The message includes the election event identifier, and for each election, the election identifier, an optional reference to the election rule being used and information concerning the set of contests.

1355

1356

1357

In some cases, reporting for a contest may be required at a lower level (for example, for each county in a state). For this reason, reporting may be done at the level of the reporting unit, the total votes, or for a total vote and the breakdown according to the multiple reporting units.

1358

1359

1360

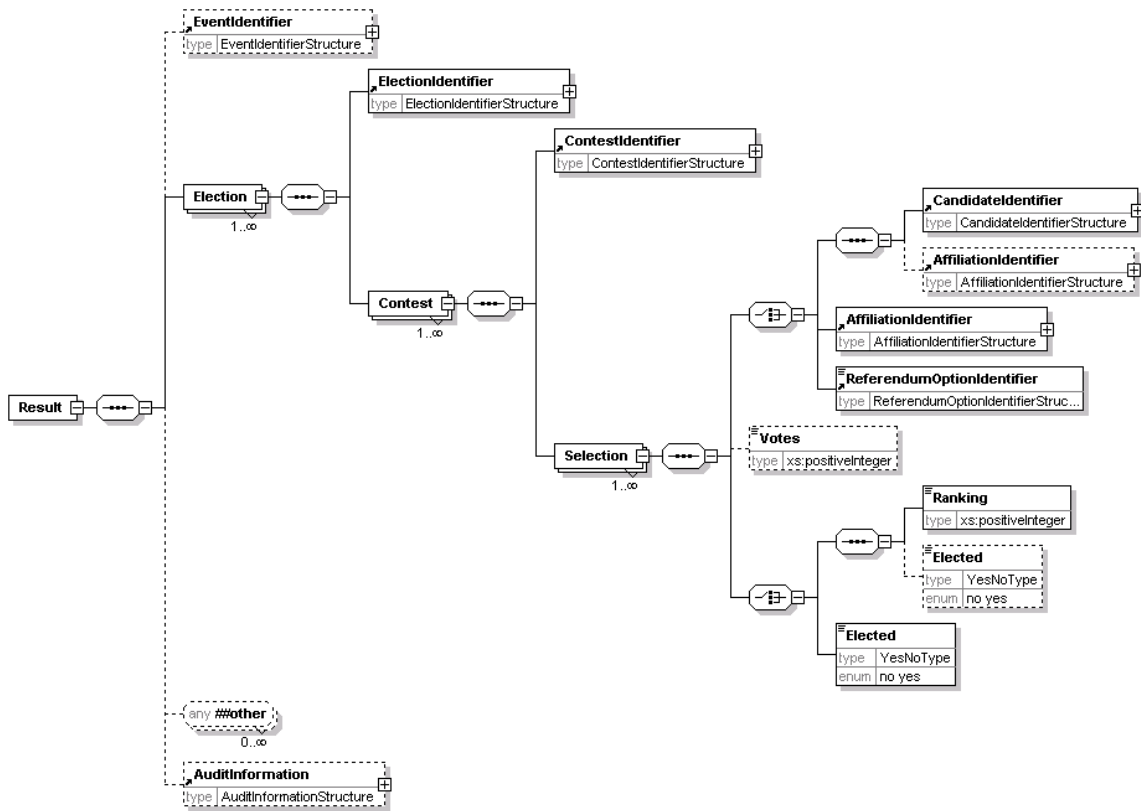
1361 Each contest indicates its identifier, and optionally the counting system and the maximum number  
1362 of votes that each voter could cast. The key information is that about the votes cast for each of  
1363 the choices available and the numbers of abstentions and rejected and uncounted votes. If a vote  
1364 is rejected, for example, because a voter has chosen to spoil a ballot paper, many authorities will  
1365 want to count that vote as having been cast. The `UncountedVotes` element is reserved for those  
1366 cases where that record is not required, for example when the result is thought to be fraudulent.  
1367 Both the `UncountedVotes` and `RejectedVotes` elements have `Reason` (optional) and  
1368 `ReasonCode` (mandatory) attributes to indicate why the votes were treated as they have been.  
1369 The former is a textual description, and the latter a code.

1370 For each choice available to the voter, the identifier and number of valid votes are mandatory.  
1371 The other information provided depends on the type of election. For example, the `Value` attribute  
1372 of the `Selection` element can be used to indicate whether a candidate was a first or second  
1373 choice in an election run under the single transferable vote system. In the simplest cases, the  
1374 identifier for the candidate (perhaps with the party), the party or the referendum option are given.  
1375 If the voter was able to vote for a party and provide a preference for candidates within the party,  
1376 the `AffiliationIdentifier` element is used, and multiple `CandidateIdentifier` elements  
1377 may be used, each with a `Count` attribute. This count is the result of whatever algorithm has been  
1378 used to calculate the ranking of the candidates.

1379

## 6.25 Result (520)

1380



1381

### 6.25.1 Description of Schema

1382

Messages described by this schema can be used to communicate the results of simple election types. One specific use is to provide an input into the calculation algorithm for elections using the additional member system.

1383

1384

1385

The main part of the schema is held within the `Selection` element. This allows a choice of candidate, affiliation or referendum option identifiers to be defined with the position that choice achieved (first, second etc). Optionally, the number of votes can be shown. A candidate can be associated with his or her affiliation if required. Write in candidates will be shown in the same way as other candidates, although they will only have an `Id` attribute if this is assigned in the election system after the votes are cast.

1386

1387

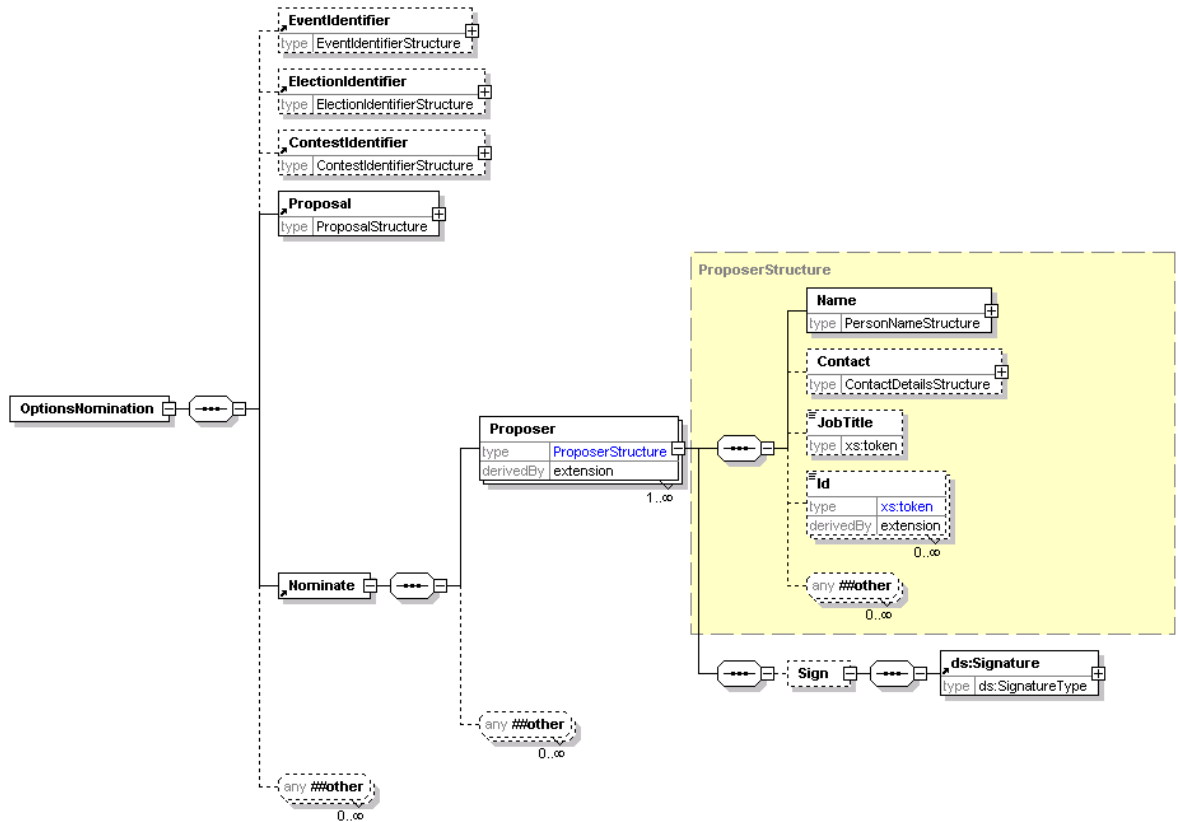
1388

1389

1390

1391  
1392

## 6.26 Options Nomination (610)



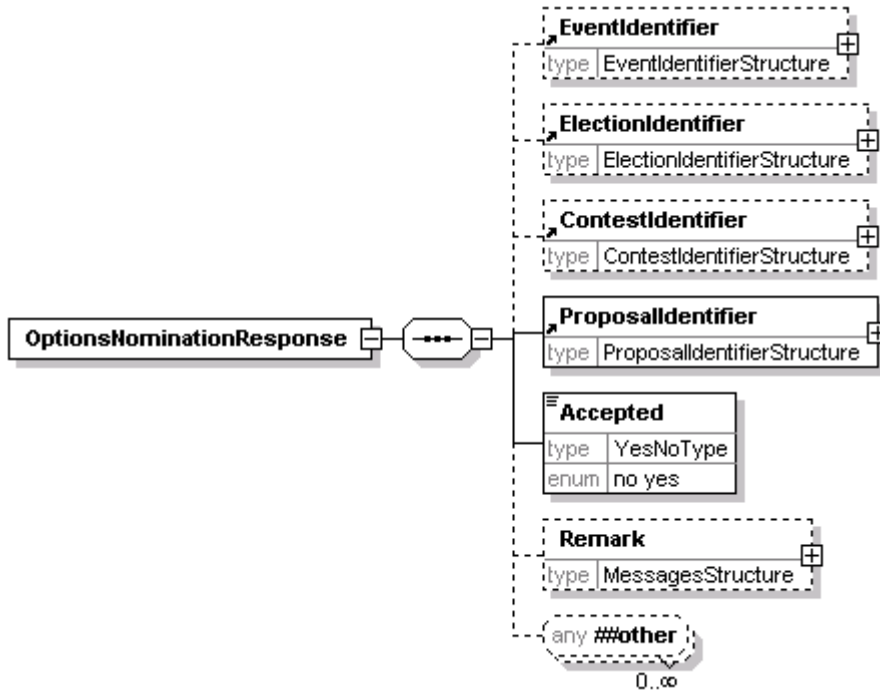
1393  
1394  
1395  
1396

### 6.26.1 Description of Schema

This schema is used to submit proposals, for example for a referendum or company AGM. It uses the generic Proposal element to define the proposal itself. One or more proposers can be named and may sign the nomination.

1397  
1398

## 6.27 Options Nomination Response (620)



1399

1400

### 6.27.1 Description of Schema

1401 This message is sent from the election organiser to the proposer to say whether the nomination  
1402 has been accepted. Along with the acceptance information and the basic information of election,  
1403 contest and identifier for the proposal, a remark can be made explaining the decision.

1404

### 6.27.2 EML Additional Rules

Error Code	Error Description
3620-001	If the nomination has not been accepted, a reason for rejection is required in the Remark element

1405

1406

## 6.28 Options List (630)

1407

### 6.28.1 Description of Schema

1408

This schema is used for messages transferring lists of proposals for a referendum. It may identify

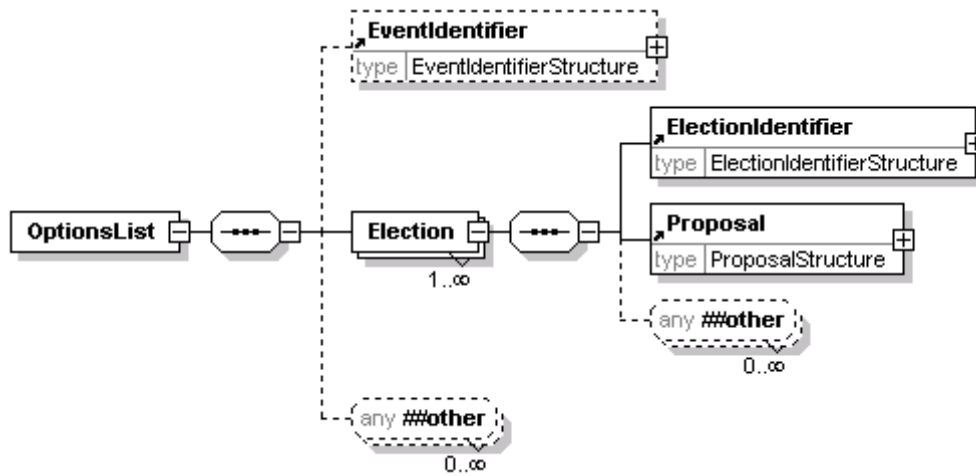
1409

the election event, and provides details about the election. Each proposal in a referendum counts

1410

as an election, so each election identified will hold a single proposal.

1411



1412

---

## 1413 7 References

- 1414 1 Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types *IETF*  
1415 <http://www.ietf.org/rfc/rfc2046.txt>
- 1416 2 MIME Media Types *IANA*  
1417 <http://www.iana.org/assignments/media-types/>
- 1418 3 XML-Signature Syntax and Processing *W3C*  
1419 <http://www.w3.org/TR/xmlsig-core/>
- 1420 4 XML Path Language (XPath) Version 1.0 *W3C*  
1421 <http://www.w3.org/TR/xpath>



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